Sindh Barrages Improvement Project (SBIP)

Prequalification Assessment Report for Contract SBIP/G2

February 2016

Irrigation Department, Government of Sindh

CONFIDENTIAL
Sindh Barrages Improvement Project (SBIP)

Prequalification Assessment Report for Contract SBIP/G2
February 2016

Irrigation Department, Government of Sindh
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## Issue and revision record

<table>
<thead>
<tr>
<th>Revision</th>
<th>Date</th>
<th>Originator</th>
<th>Checker</th>
<th>Approver</th>
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<td>5 February 2016</td>
<td>JD Prytherch</td>
<td>M Gulzar</td>
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<td>A Qureshi</td>
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<td>M Gulzar</td>
<td>JD Prytherch</td>
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</table>

### Information class: Standard

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Executive Summary

<table>
<thead>
<tr>
<th>Name of Project:</th>
<th>Sindh Barrages Improvement Project</th>
</tr>
</thead>
<tbody>
<tr>
<td>IBRD Loan or IDA Credit No.</td>
<td>5684-PAK</td>
</tr>
<tr>
<td>Contract Name:</td>
<td>Barrage Rehabilitation Works</td>
</tr>
<tr>
<td>Identification Number:</td>
<td>SBIP/G2</td>
</tr>
<tr>
<td>Date of Submission:</td>
<td>Prequalification applications submitted on 4th January 2016</td>
</tr>
</tbody>
</table>

Prequalification Assessment

This document is a report on the assessment of the prequalification submissions received for contract SBIP/G2 – Barrage Rehabilitation Works which is intended to be let as part of the Sindh Barrages Improvement Project.

Prequalification applications have been received from 17 firms. Of these 17 applications six meet the required criteria in the Prequalification Document and are therefore recommended for qualification.

Of the 11 applicants that did not qualify, nine were disqualified for not meeting the criteria for annual average construction turnover (3.2).

One applicant was disqualified for not meeting the general and specific construction experience requirements (4.1, 4.2a and 4.2b).

One applicant was disqualified for not fully meeting the requirements for eligibility and also not meeting the specific construction experience requirements (4.2b).

The latter two applicants are discussed further below.

The firms that have met the required criteria in the Prequalification Document and are therefore recommended for qualification based on strict compliance with the requirements are shown in Table ES.1.

<table>
<thead>
<tr>
<th>Ref</th>
<th>Applicant</th>
<th>Country of registration</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>M/s Power China – JHMC Joint Venture</td>
<td>China / China</td>
</tr>
<tr>
<td>02</td>
<td>M/s Descon Engineering Ltd</td>
<td>Pakistan</td>
</tr>
<tr>
<td>07</td>
<td>M/s CHEC – GHEC Joint Venture</td>
<td>China / China</td>
</tr>
<tr>
<td>10</td>
<td>M/s JSC Trust Hydromontazh</td>
<td>Russia</td>
</tr>
<tr>
<td>11</td>
<td>M/s Sinotec – ZZHP Joint Venture</td>
<td>China / China</td>
</tr>
<tr>
<td>16</td>
<td>M/s Zarubezhvodstroy PC</td>
<td>Russia</td>
</tr>
</tbody>
</table>
Potential Waivers

PIC has been asked by PMO Barrages to consider potential waivers for the two applicants who did not fully meet the construction experience criteria (4.1, 4.2a and 4.2b) but did meet the other qualification criteria.

These applicants are as follows:
- Applicant 4 – M/s Shahrukh - CLIC JV
- Applicant 5 – M/s AlQ JV (AGE, ISIK and QBA)

For a contract of the size and complexity of SBIP/G2 any potential waivers require careful consideration and are discussed in detail in Section 4.3 of the report.

The qualification criteria for SBIP/G2 were carefully considered and it is the view of the PIC that, considering the size and complexity of the contract, only those firms that fully met the requirements should qualify.

However, should PMO Barrages grant waivers on the issues discussed in Section 4.3, and should the funding agency indicate its concurrence, then the qualified applicants would be as shown in Table ES.2.

<table>
<thead>
<tr>
<th>Ref</th>
<th>Applicant</th>
<th>Country of registration</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>M/s Power China – JHMC Joint Venture</td>
<td>China / China</td>
</tr>
<tr>
<td>02</td>
<td>M/s Descon Engineering Ltd</td>
<td>Pakistan</td>
</tr>
<tr>
<td>04</td>
<td>M/s Shahrukh – CLIC Joint Venture</td>
<td>Pakistan / China</td>
</tr>
<tr>
<td>05</td>
<td>M/s AlQ Joint Venture (M/s AGE and M/s QBA only)</td>
<td>Turkey / Pakistan</td>
</tr>
<tr>
<td>07</td>
<td>M/s CHEC – GHEC Joint Venture</td>
<td>China / China</td>
</tr>
<tr>
<td>10</td>
<td>M/s JSC Trust Hydromontazh</td>
<td>Russia</td>
</tr>
<tr>
<td>11</td>
<td>M/s Sinotec – ZZHPC Joint Venture</td>
<td>China / China</td>
</tr>
<tr>
<td>16</td>
<td>M/s Zarubezhvodstroy PC</td>
<td>Russia</td>
</tr>
</tbody>
</table>
1 Introduction

1.1 General

This document is a report on the assessment of the prequalification submissions received for contract SBIP/G2 – Barrage Rehabilitation Works which is intended to be let as part of the Sindh Barrages Improvement Project.

Summary details of the contract are given below.

The bidding process is ‘International Competitive Bidding’ (ICB) – using the World Bank Large Works Contract.

The assessment process was carried out by the Project Implementation Consultants (PIC) - Mott MacDonald Ltd in association with MM Pakistan. This report is thus the work of the PIC.

In undertaking this evaluation, the approach advocated in the World Bank’s “Prequalification Document for Procurement of Works and User’s Guide” (September 2011) has been adopted.

1.2 Description of Contract

Contract SBIP/G2 – Barrage Rehabilitation Works will comprise the following works:

- Replacement of the barrage gates, and the gates for three of the four head regulators at Guddu Barrage;
- Rehabilitation of the mechanical and electrical equipment for operating the barrage gates
- Provision of equipment and workshop for the future operation and maintenance of the barrage;
- Minor repairs to the barrage and head work civil structures
- Construction of a new left hand pocket divide wall
1.3 Prequalification Process Data

The key basic data relating to the prequalification process is shown in Table 1.1 below.

Table 1.1: Basic Data Sheet

<table>
<thead>
<tr>
<th>Project title</th>
<th>Sindh Barrages Improvement Project</th>
</tr>
</thead>
<tbody>
<tr>
<td>Loan/Credit number:</td>
<td>5684-PAK</td>
</tr>
<tr>
<td>Brief Description of Works:</td>
<td>See Section 1.2.</td>
</tr>
<tr>
<td>Project ID No</td>
<td>P 131324</td>
</tr>
<tr>
<td>Name of Executing Agency</td>
<td>Project Management Office, Sindh Barrages Improvement Project, Irrigation Department, Government of Sindh</td>
</tr>
<tr>
<td>Prequalification Ref:</td>
<td>SBIP/G2 – Barrage Rehabilitation Works</td>
</tr>
<tr>
<td>Date of issuance of PQ Document:</td>
<td>The SPN (Specific Procurement Notice) (request for prequalification) published on the WB websites 9 October 2015. The SPN published in national newspapers and on the websites of SBIP on 5 October 2015.</td>
</tr>
<tr>
<td>Deadline for submission of Application</td>
<td>4 January 2016; 14:00 Pakistan time</td>
</tr>
<tr>
<td>Number of applications received by the deadline:</td>
<td>14</td>
</tr>
<tr>
<td>Number of applications received after the deadline:</td>
<td>3</td>
</tr>
</tbody>
</table>

1.4 Late Applications

Applications from the following three firms were received in the offices of PMO Barrages on 4th January 2016, but after the 14.00 hours deadline:
- M/s Technomen Kinetics – Systems Engineering Services JV
- M/s Zarubezhvodstroy PC
- M/s JM Construction Co

1.5 Addenda and Clarifications

There were no clarifications requested from applicants and no technical / commercial addenda issued during the period for preparation of applications.
1.6 Application Opening and Applicants

The applicant reference number used in this report is set out in Table 1.2.

The table also identifies the country of registration of the applicant or the partners of the joint venture (JV).

<table>
<thead>
<tr>
<th>Ref</th>
<th>Applicant</th>
<th>JV?</th>
<th>Country of registration</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>M/s Power China – JHMC Joint Venture</td>
<td>Yes</td>
<td>China / China</td>
</tr>
<tr>
<td>02</td>
<td>M/s Descon Engineering Ltd</td>
<td>No</td>
<td>Pakistan</td>
</tr>
<tr>
<td>03</td>
<td>M/s Heavy Mechanical Complex</td>
<td>No</td>
<td>Pakistan</td>
</tr>
<tr>
<td>04</td>
<td>M/s Shahrukh - CLIC Joint Venture</td>
<td>Yes</td>
<td>Pakistan / China</td>
</tr>
<tr>
<td>05</td>
<td>M/s AIQ Joint Venture (AGE, ISIK and Qalander Bux Abro)</td>
<td>Yes</td>
<td>Turkey / Turkey / Pakistan</td>
</tr>
<tr>
<td>06</td>
<td>M/s International Traders</td>
<td>No</td>
<td>Pakistan</td>
</tr>
<tr>
<td>07</td>
<td>M/s CHEC – GHEC Joint Venture</td>
<td>Yes</td>
<td>China / China</td>
</tr>
<tr>
<td>08</td>
<td>M/s Thadani Enterprises</td>
<td>No</td>
<td>Pakistan</td>
</tr>
<tr>
<td>09</td>
<td>M/s Ghulam Rasool &amp; Company</td>
<td>No</td>
<td>Pakistan</td>
</tr>
<tr>
<td>10</td>
<td>M/s JSC Trust Hydromontazh</td>
<td>No</td>
<td>Russia</td>
</tr>
<tr>
<td>11</td>
<td>M/s Sinotec – ZZHPC Joint Venture</td>
<td>Yes</td>
<td>China / China</td>
</tr>
<tr>
<td>12</td>
<td>M/s RMS Ltd</td>
<td>No</td>
<td>Pakistan</td>
</tr>
<tr>
<td>13</td>
<td>M/s AM &amp; MJ Builders Ltd</td>
<td>No</td>
<td>Pakistan</td>
</tr>
<tr>
<td>14</td>
<td>M/s Matracon – HRL – SMCC Joint Venture</td>
<td>Yes</td>
<td>Pakistan / Pakistan / China</td>
</tr>
<tr>
<td>15</td>
<td>M/s Technomen Kinetics – Systems Engineering Services JV</td>
<td>Yes</td>
<td>Pakistan / Pakistan</td>
</tr>
<tr>
<td>16</td>
<td>M/s Zarubezhvodstroy PC</td>
<td>No</td>
<td>Russia</td>
</tr>
<tr>
<td>17</td>
<td>M/s JM Construction Co</td>
<td>No</td>
<td>Pakistan</td>
</tr>
</tbody>
</table>

1.7 Post-opening Communication with Applicants

After the applications were opened, certain applicants were contacted to provide further information for the prequalification assessment. A record of the correspondence is provided in Appendix D.
2 Preliminary Examination

2.1 Introduction

The preliminary examination covers assessment of the following:
- Completeness of documentation
- Eligibility
- Joint venture requirements.

An initial review of the applicants’ annual average construction turnover has also been carried out.

2.2 Initial Review of Average Annual Construction Turnover

An initial review of the applicants’ annual average construction turnover has been carried out as shown in Table 2.1. This initial review was used to screen out those applicants who clearly would not prequalify for a contract of this size and complexity.

<table>
<thead>
<tr>
<th>Ref</th>
<th>Applicant</th>
<th>Average Annual Turnover (US$m)</th>
<th>Greater than US$51m?</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>M/s Power China – JHMC Joint Venture</td>
<td>20,735</td>
<td>Yes</td>
</tr>
<tr>
<td>02</td>
<td>M/s Descon Engineering Ltd</td>
<td>403</td>
<td>Yes</td>
</tr>
<tr>
<td>03</td>
<td>M/s Heavy Mechanical Complex</td>
<td>16</td>
<td>No</td>
</tr>
<tr>
<td>04</td>
<td>M/s Shahrukh - CLIC Joint Venture</td>
<td>335</td>
<td>Yes</td>
</tr>
<tr>
<td>05</td>
<td>M/s AlQ Joint Venture (AGE, ISIK and Qalander Bux Abro)</td>
<td>120</td>
<td>Yes</td>
</tr>
<tr>
<td>06</td>
<td>M/s International Traders</td>
<td>8</td>
<td>No</td>
</tr>
<tr>
<td>07</td>
<td>M/s CHEC – GHEC Joint Venture</td>
<td>3,617</td>
<td>Yes</td>
</tr>
<tr>
<td>08</td>
<td>M/s Thadani Enterprises</td>
<td>14</td>
<td>No</td>
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<td>09</td>
<td>M/s Ghulam Rasool &amp; Company</td>
<td>33</td>
<td>No</td>
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<td>10</td>
<td>M/s JSC Trust Hydromontazh</td>
<td>260</td>
<td>Yes</td>
</tr>
<tr>
<td>11</td>
<td>M/s Sinotec – ZZHPC Joint Venture</td>
<td>538</td>
<td>Yes</td>
</tr>
<tr>
<td>12</td>
<td>M/s RMS Ltd</td>
<td>16</td>
<td>No</td>
</tr>
<tr>
<td>13</td>
<td>M/s AM &amp; MJ Builders Ltd</td>
<td>6</td>
<td>No</td>
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<td>14</td>
<td>M/s Matracon – HRL – SMCC Joint Venture</td>
<td>28</td>
<td>No</td>
</tr>
<tr>
<td>15</td>
<td>M/s Technomen Kinetics – Systems Engineering Services JV</td>
<td>10</td>
<td>No</td>
</tr>
<tr>
<td>16</td>
<td>M/s Zarubezhvodstroy PC</td>
<td>108</td>
<td>Yes</td>
</tr>
<tr>
<td>17</td>
<td>M/s JM Construction Co</td>
<td>&lt;1</td>
<td>No</td>
</tr>
</tbody>
</table>
2.3 Completeness of Documentation

The results of the preliminary examination are summarised in Table 2.2.

This evaluation was restricted to identifying presence or absence of key documentation and confirming that applicants had sufficient turnover to qualify; the detailed examination of these documents was deferred to the next stage of the evaluation.

All eight applicants who had an annual average turnover meeting the minimum threshold requirement provided all the key documents required.

Table 2.2: Preliminary Evaluation

<table>
<thead>
<tr>
<th></th>
<th></th>
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<th></th>
<th></th>
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</tr>
</thead>
<tbody>
<tr>
<td>No of copies received</td>
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<td>3</td>
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<td>3</td>
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<td>3</td>
</tr>
<tr>
<td>Is CD received?</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Application Submission Form satisfactorily completed?</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Is signature on Application Submission Form compliant with instructions?</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Is constitution or legal status provided?</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Application Information Form (ELI-1.1)</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Applicants party information Form (ELI-1.2)</td>
<td>Yes</td>
<td>Not in JV</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Not in JV</td>
<td>Yes</td>
<td>Not in JV</td>
</tr>
<tr>
<td>Historical contract non-performance (Form CON-2)</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Financial situation (Form FIN 3.1a)</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Average construction turnover (Form FIN 3.2)</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Financial resources</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>General Construction Experience (Form EXP-4.1)</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Similar Construction Experience (Form EXP-4.2a)</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Construction experience in key activities (Form EXP-4.2b)</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Company Health and Safety Policy</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Company Anti-Corruption Policy</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Is Joint Venture agreement (if applicable) provided or other commitment to a JV?</td>
<td>Yes</td>
<td>Not in JV</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Not in JV</td>
<td>Yes</td>
<td>Not in JV</td>
</tr>
</tbody>
</table>
2.4 Eligibility

The assessment of eligibility is summarised in Table 2.3.

Table 2.3: Eligibility

<table>
<thead>
<tr>
<th>Criteria</th>
<th>1</th>
<th>2</th>
<th>4</th>
<th>5</th>
<th>7</th>
<th>10</th>
<th>11</th>
<th>16</th>
</tr>
</thead>
<tbody>
<tr>
<td>Nationality</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
</tr>
<tr>
<td>Conflict of interest</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
</tr>
<tr>
<td>Bank ineligibility</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
</tr>
<tr>
<td>Government owned entity</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
</tr>
<tr>
<td>UN resolution or Borrower’s country law</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
<td>P</td>
</tr>
</tbody>
</table>

Note: P = Pass

2.5 Joint Venture Requirements

There are seven actual or proposed joint ventures among the applicants of which five were accepted into the subsequent more detailed qualification evaluation phase. All provided a joint venture agreement covering the prequalification with an appropriately qualified commitment to bidding should their application be successful.
2.6 Summary of Preliminary Evaluation

A summary of the findings of the preliminary evaluation is given in Table 2.4 below. Eight applicants were accepted into the subsequent more detailed qualification evaluation phase.

Table 2.4: Summary of Preliminary Evaluation

<table>
<thead>
<tr>
<th>Ref</th>
<th>Applicant</th>
<th>Pass / Fail</th>
<th>Reason for disqualification</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>M/s Power China – JHMC Joint Venture</td>
<td>Pass</td>
<td></td>
</tr>
<tr>
<td>02</td>
<td>M/s Descon Engineering Ltd</td>
<td>Pass</td>
<td></td>
</tr>
<tr>
<td>03</td>
<td>M/s Heavy Mechanical Complex</td>
<td>Fail</td>
<td>Inadequate construction turnover</td>
</tr>
<tr>
<td>04</td>
<td>M/s Shahrukh – CLIC Joint Venture</td>
<td>Pass</td>
<td></td>
</tr>
<tr>
<td>05</td>
<td>M/s AlQ Joint Venture (AGE, ISIK and QBA)</td>
<td>Pass</td>
<td></td>
</tr>
<tr>
<td>06</td>
<td>M/s International Traders</td>
<td>Fail</td>
<td>Inadequate construction turnover</td>
</tr>
<tr>
<td>07</td>
<td>M/s CHEC – GHEC Joint Venture</td>
<td>Pass</td>
<td></td>
</tr>
<tr>
<td>08</td>
<td>M/s Thadani Enterprises</td>
<td>Fail</td>
<td>Inadequate construction turnover</td>
</tr>
<tr>
<td>09</td>
<td>M/s Ghulam Rasool &amp; Company</td>
<td>Fail</td>
<td>Inadequate construction turnover</td>
</tr>
<tr>
<td>10</td>
<td>M/s JSC Trust Hydromontazh</td>
<td>Pass</td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>M/s Sinotec – ZZHPC Joint Venture</td>
<td>Pass</td>
<td></td>
</tr>
<tr>
<td>12</td>
<td>M/s RMS Ltd</td>
<td>Fail</td>
<td>Inadequate construction turnover</td>
</tr>
<tr>
<td>13</td>
<td>M/s AM &amp; MJ Builders Ltd</td>
<td>Fail</td>
<td>Inadequate construction turnover</td>
</tr>
<tr>
<td>14</td>
<td>M/s Matracon – HRL – SMCC Joint Venture</td>
<td>Fail</td>
<td>Inadequate construction turnover</td>
</tr>
<tr>
<td>15</td>
<td>M/s Technomen Kinetics – Systems Engineering Services JV</td>
<td>Fail</td>
<td>Inadequate construction turnover</td>
</tr>
<tr>
<td>16</td>
<td>M/s Zarubezhvodstroy PC</td>
<td>Pass</td>
<td></td>
</tr>
<tr>
<td>17</td>
<td>M/s JM Construction Co</td>
<td>Fail</td>
<td>Inadequate construction turnover</td>
</tr>
</tbody>
</table>
3 Qualification Assessment

The qualification assessment covers the following:

Contractual performance indicators
- History of Non-Performing Contracts
- Pending Litigation
- Anti-Corruption Policy
- Health and Safety Policy

Financial capacity
- Financial Performance
- Average Construction Turnover

Construction experience
- General Construction Experience
- Similar Construction Experience
- Construction Experience in Key Activities

Full details of the evaluation of each application are included in Appendix E.

3.1 Contractual Performance Indicators

3.1.1 History of Non-performing Contracts and Pending Litigation

The applicants’ conformity with the prequalification requirements is summarised in Table 3.1.

<table>
<thead>
<tr>
<th>Criteria</th>
<th>1</th>
<th>2</th>
<th>4</th>
<th>5</th>
<th>7</th>
<th>10</th>
<th>11</th>
<th>16</th>
</tr>
</thead>
<tbody>
<tr>
<td>History of non-performing contracts</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
</tr>
<tr>
<td>Failure to sign contract</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
</tr>
<tr>
<td>Pending litigation</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
</tr>
</tbody>
</table>
3.1.2 Anti-corruption Policy

The applicants' conformity with the prequalification requirements is summarised in Table 3.2. A number of the policies were not signed in accordance with the ITA. Where applicable, suitably signed policies have been requested from the applicants in a letter of clarification.

Table 3.2: Anti-corruption Policy

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Applicant</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1</td>
</tr>
<tr>
<td>Policy provided and applicable to prequalification / bidding process</td>
<td>Pass</td>
</tr>
</tbody>
</table>

3.1.3 Health and Safety Policy

The applicants' conformity with the prequalification requirements is summarised in Table 3.3. A number of the policies were not signed in accordance with the ITA. Where applicable, suitably signed policies have been requested from the applicants in a letter of clarification.

Table 3.3: Health and Safety Policy

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Applicant</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1</td>
</tr>
<tr>
<td>Policy provided and applicable to prequalification / bidding process</td>
<td>Pass</td>
</tr>
</tbody>
</table>

3.2 Financial Capacity

The data provided by applicants regarding their financial performance was spot checked against the audited accounts. Also apparently unusual or trends of concern in this performance were noted.

The minimum requirements for financial criteria (3.1 and 3.2) for Contract SBIP/G2 are that the applicants should have:

- access to, or has available, liquid assets, unencumbered real assets, lines of credit, and other financial means (independent of any contractual advance payment) sufficient to meet the construction cash flow requirements estimated as **US$ 10 million** for the subject contract(s) net of the Applicants other commitments
- minimum average annual construction turnover of **US$ 51 million**, calculated as total certified payments received for contracts in progress and/or completed within the last 5 years, divided by five years

The applicants' financial capacity was assessed against these criteria as shown in Table 3.4.
### Table 3.4: Financial Qualification Status

| Criteria | Applicant | | | | | | | |
|----------|-----------|---|---|---|---|---|---|---|---|
|          | 1         | 2  | 4  | 5   | 7   | 10 | 11 | 16 |
| 3.1      | Financial performance | Pass | Pass | Pass | Pass | Pass | Pass | Pass |
|          | Submission of audited balance sheets (or as acceptable to the Employer) for the last five years | | | | | | | |
|          | (a) Demonstrate the current soundness of the applicant’s financial position and its prospective long term profitability | Pass | Pass | Pass | Pass | Pass | Pass | Pass |
|          | (b) Demonstrate capacity to have a cash flow amount of US$ 10 million | Pass | Pass | Pass | Pass | Pass | Pass | Pass |
|          | Contract specific credit lines for US$10m and US$75.1m provided. | | | | | | | |
|          | Bank Credit lines for >US$10m provided. Not specific to SBIP/G2. | | | | | | | |
|          | Bank credit line for PKR 1,900m provided. Not specific to SBIP/G2. | | | | | | | |
|          | Cash flow from operating activities is sufficient to meet requirement. Min. US$73m in last five years. | | | | | | | |
|          | Bank Credit line of US$ 10 million specifically for SBIP/G2 | | | | | | | |
|          | Cash flow from operating activities is sufficient to meet requirement. Min. US$172m in last five years. | | | | | | | |
|          | Bank Credit for US$32.2m and US$20.0m. Neither specific to SBIP/G2 | | | | | | | |
|          | Bank credit line of US$50 million valid to 30th December 2018. Not specific to SBIP/G2 | | | | | | | |
| 3.2      | Annual average construction turnover | Pass | Pass | Pass | Pass | Pass | Pass | Pass |
|          | Minimum average annual construction turnover of US$ 51 million | US$20,735 m | US$403.4m | US$335.2 m | US$119.7m | US$3,617m | US$259.9m | US$538.1m | US$108.2m |
|          | One member must meet 70% of the requirement | Pass | Not in JV | Pass | Pass | Pass | Not in JV | Pass | Not in JV |
|          | Each member must meet 35% of the requirement | Pass | Not in JV | Pass | Pass | Pass | Not in JV | Pass | Not in JV |
3.3 Construction Experience

The minimum requirements for previous construction experience (4.1, 4.2(a) and 4.2(b)) are:

- Experience under construction contracts in the role of prime contractor, JV member, sub-contractor, or management contractor for at least the last five years prior to the application submission deadline, and with activity in at least nine months in each year.

- A minimum number of similar contracts specified below that have been satisfactorily and substantially completed as a prime contractor, joint venture member, management contractor or sub-contractor in the last five years prior to the application submission deadline: Either
  - Two contracts, each of minimum value US$55 million; or
  - One contract with a minimum value of US$110 million.

- For the above and any other contracts completed and under implementation as prime contractor, joint venture member, management contractor or sub-contractor in the last five years prior to the application submission deadline: a minimum construction experience in the following key activities successfully completed:
  - The removal of existing gates and the fabrication and installation of five steel gates with associated hoisting and operating equipment of a minimum span of 10m in 6 months.
  - Execution of reinforced concrete works of at least 30,000 cft/month
  - Constructing or rehabilitating an existing structure in flowing river water of 300,000 cusecs.
Table 3.5: Construction Experience

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Applicant 1</th>
<th>Applicant 2</th>
<th>Applicant 3</th>
<th>Applicant 4</th>
<th>Applicant 5</th>
<th>Applicant 6</th>
<th>Applicant 7</th>
<th>Applicant 8</th>
<th>Applicant 9</th>
<th>Applicant 10</th>
<th>Applicant 11</th>
<th>Applicant 12</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) Experience as contractor, subcontractor, or management contractor as specified.</td>
<td>Rosieres Dam, 70% share in JV US$396.3m</td>
<td>Rehabilitatio n of Jinnah Barrage. 70% share in JV US$130.6m</td>
<td>Hydropower Station Expansion of Dahoufong Reservoir US$65.1m</td>
<td>Kavsak Bendi HEPP US$196.5m</td>
<td>Lomaum HPP rehabilitation project US$59.9m</td>
<td>Construction of Zagorskaya PSP-2 US$458.5m</td>
<td>Xin Jiang Aletai Kesaiyi Hydropower Station US$201.3m</td>
<td>Nasar Dyke / Aklak Weir US$57.9m</td>
<td>Dam and desilting chamber for Tapovan Vishugad HPP, 51% share in JV US$108.1m</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(a) Successful and substantial completion within the last 5 years of either:</td>
<td>One contract with a minimum value of US$110 million; or Two contracts, each of minimum value US$55 million.</td>
<td>Rosieres Dam, 70% share in JV US$396.3m</td>
<td>Rehabilitatio n of Jinnah Barrage. 70% share in JV US$130.6m</td>
<td>Hydropower Station Expansion of Dahoufong Reservoir US$65.1m</td>
<td>Kavsak Bendi HEPP US$196.5m</td>
<td>Lomaum HPP rehabilitation project US$59.9m</td>
<td>Construction of Zagorskaya PSP-2 US$458.5m</td>
<td>Xin Jiang Aletai Kesaiyi Hydropower Station US$201.3m</td>
<td>Nasar Dyke / Aklak Weir US$57.9m</td>
<td>Dam and desilting chamber for Tapovan Vishugad HPP, 51% share in JV US$108.1m</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(b) A minimum construction experience in the following key activities successfully completed within the last 5 years:</td>
<td>Pass</td>
<td>Pass</td>
<td>Fail</td>
<td>Fail</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
<td>Pass</td>
</tr>
<tr>
<td>(i) The removal of existing gates and the fabrication and installation of five steel gates with associated hoisting and operating equipment of a minimum span of 10m in 6 months.</td>
<td>Rehabilitatio n of Jinnah Barrage</td>
<td>Rehabilitatio n of Jinnah Barrage</td>
<td>Hydropower Station Expansion of Dahoufong Reservoir</td>
<td>Kavsak Bendi HEPP (does not include removal of existing gates)</td>
<td>Lomaum HPP rehabilitation project</td>
<td>Rehabilitation of Zhigulevskaya HPP and Rehabilitation of Boguchanskaya HPP</td>
<td>Xin Jiang Aletai Kesaiyi Hydropower Station</td>
<td>Shapsug interregional water reservoir</td>
<td>Tingxia Reservoir Rehabilitation Project</td>
<td>Nasar Dyke / Aklak Weir US$57.9m</td>
<td>Dam and desilting chamber for Tapovan Vishugad HPP, 51% share in JV US$108.1m</td>
<td></td>
</tr>
</tbody>
</table>
### Sindh Barrages Improvement Project (SBIP)
#### Prequalification Assessment Report for Contract SBIP/G2

**CONFIDENTIAL**

<table>
<thead>
<tr>
<th>Criteria</th>
<th>1</th>
<th>2</th>
<th>4</th>
<th>5</th>
<th>7</th>
<th>10</th>
<th>11</th>
<th>16</th>
</tr>
</thead>
<tbody>
<tr>
<td>(ii) Execution of reinforced concrete works of at least 30,000 cft/month</td>
<td>Rosieres Dam heightening</td>
<td>Rehabilitation of Jinnah Barrage</td>
<td>Hydropower Station Expansion of Dahuofang Reservoir</td>
<td>Kavsak Bendi HEPP rehabilitation project</td>
<td>Lomaum HPP rehabilitation project</td>
<td>Nizhne-Bureiskaya HPP</td>
<td>Xin Jiang Aletai Kesaiyi Hydropower Station</td>
<td>Dam and desilting chamber for Tapovan Vishugad HPP</td>
</tr>
<tr>
<td>(iii) Constructing or rehabilitating an existing structure in flowing river water of 300,000 cusecs.</td>
<td>Rehabilitation of Jinnah Barrage</td>
<td>Rehabilitation of Jinnah Barrage</td>
<td>Not demonstrated</td>
<td>Not demonstrated</td>
<td>Reconstruction and Extension of Funchun River Ship Lock</td>
<td>Rehabilitation of Boguchanskaya HPP</td>
<td>Xin Jiang Aletai Kesaiyi Hydropower Station</td>
<td>Dam and desilting chamber for Tapovan Vishugad HPP</td>
</tr>
</tbody>
</table>
3.4 Rejected Applications

The reasons for which particular applications were considered not to have met the prequalification criteria and were therefore rejected are given in Table 3.6 below.

Table 3.6: Rejected Applications

<table>
<thead>
<tr>
<th>Ref</th>
<th>Applicant</th>
<th>Category</th>
<th>Reason for disqualification</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>M/s Shahrukh - CLIC Joint Venture</td>
<td>General construction experience (4.1)</td>
<td>M/s CLIC has not demonstrated activity in at least 9 months in each of the past 5 years</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Specific construction experience (4.2a)</td>
<td>Has not completed projects of required value in the last 5 years</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Specific construction experience (4.2b)</td>
<td>The project that the applicant has used to demonstrate it meets this requirement was completed in 2007 (ie, not in the last 5 years)</td>
</tr>
<tr>
<td>5</td>
<td>M/s AIQ Joint Venture (AGE, ISIK and QBA)</td>
<td>Eligibility</td>
<td>One of the JV partners (M/s Qalandar Bux Abro) has not provided Articles of Incorporation. These were requested by letter. The response received indicated they had been misplaced.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Specific construction experience (4.2b)</td>
<td>Applicant has not demonstrated experience of removal of existing gates, or of working in flowing river water of the required flow.</td>
</tr>
</tbody>
</table>
4 Conclusions and Recommendations

4.1 Summary

Prequalification applications have been received from 17 firms. Of these 17 applications six meet the required criteria in the Prequalification Document and are therefore recommended for qualification.

Of the 11 applicants that did not qualify, nine were disqualified for not meeting the criteria for annual average construction turnover (3.2).

One applicant was disqualified for not meeting the general and specific construction experience requirements (4.1, 4.2a and 4.2b).

One applicant was disqualified for not fully meeting the requirements for eligibility and also not meeting the specific construction experience requirements (4.2b).

The latter two applicants are discussed further in Section 4.3.

4.2 Recommendation for Qualification

The firms that have met the required criteria in the Prequalification Document and are therefore recommended for qualification based on strict compliance with the requirements are shown in Table 4.1.

Table 4.1: Recommendations for Qualification (Strict Compliance)

<table>
<thead>
<tr>
<th>Ref</th>
<th>Applicant</th>
<th>Country of registration</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>M/s Power China – JHMC Joint Venture</td>
<td>China / China</td>
</tr>
<tr>
<td>02</td>
<td>M/s Descon Engineering Ltd</td>
<td>Pakistan</td>
</tr>
<tr>
<td>07</td>
<td>M/s CHEC – GHEC Joint Venture</td>
<td>China / China</td>
</tr>
<tr>
<td>10</td>
<td>M/s JSC Trust Hydromontazh</td>
<td>Russia</td>
</tr>
<tr>
<td>11</td>
<td>M/s Sinotec – ZZHPC Joint Venture</td>
<td>China / China</td>
</tr>
<tr>
<td>16</td>
<td>M/s Zarubezhvodstroy PC</td>
<td>Russia</td>
</tr>
</tbody>
</table>
4.3 Potential Waivers

PIC has been asked by PMO Barrages to consider potential waivers for the two applicants who did not fully meet the construction experience criteria (4.1, 4.2a and 4.2b) but did meet the other qualification criteria.

These applicants are as follows:
- Applicant 4 – M/s Shahrukh - CLIC JV
- Applicant 5 – M/s AIQ JV (AGE, ISIK and QBA)

For a contract of the size and complexity of SBIP/G2 any potential waivers require careful consideration. Each applicant is discussed in turn below.

4.3.1 Applicant 4 – M/s Shahrukh – CLIC JV

The JV partners are M/s China Liaoning International Economic and Technical Cooperation Group Corporation Ltd (70%) and M/s Shahrukh Engineers and Contractors (Pvt) Ltd (30%).

4.3.1.1 General Construction Experience (4.1)

The requirement is for each member of the JV to demonstrate:

“Experience under construction contracts in the role of prime contractor, JV member, sub-contractor, or management contractor for at least the last five (5) years prior to the application submission deadline, and with activity in at least nine (9) months in each year.”

In the original submission no details were provided of the activity of M/s China Liaoning International Economic and Technical Cooperation Group Corporation Ltd in the past five years.

In the clarification response dated 29th January 2016, details were provided of the project “Hydropower Station Expansion of Dahuofang Reservoir”. The contract was awarded in October 2011 and completed in September 2015. Supporting documents were provided to confirm these dates.

The applicant does not demonstrate full compliance with the General Construction Experience Criteria (4.1). However, given that activity has been demonstrated in at least nine months of the past four years then consideration could be given to a waiver on this point.

4.3.1.2 Specific Construction Experience (4.2a)

The requirement is for all JV parties combined to demonstrate:

“A minimum number of similar contracts specified below that have been satisfactorily and substantially completed as a prime contractor, joint venture member, management contractor or sub-contractor in the
last five (5) years prior to the application submission deadline: either two contracts, each of minimum value US$ fifty-five (55) Million or one contract with a minimum value of US$ one hundred and ten (110) Million.”

In the original submission no projects for which details were provided by M/s China Liaoning International Economic and Technical Cooperation Group Corporation Ltd were completed in the past five years. No projects for which details were provided by M/s Shahrukh Engineers and Contractors (Pvt) Ltd were of the required value.

In the clarification response dated 29th January 2016, details were provided of the project “Hydropower Station Expansion of Dahuofang Reservoir”. The contract value was US$65.1 million and the project was completed in September 2015. Supporting documents were provided to confirm the date and contract value.

No second project of the required contract value and completed within the past five years was provided so the applicant has not demonstrated full compliance with the Specific Construction Experience Criteria (4.2a).

The applicant also provided details of the project “Huhne Barrage Emergency Reinforcement”. The contract value was US$121.9 million and the project was completed in July 2010. Supporting documents were provided to confirm the date and contract value. Given the contract was completed only a few months before the five year period stipulated then consideration may be given to a waiver on this point.

4.3.1.3 Specific Construction Experience (4.2b)

The requirement is for all JV parties combined to demonstrate:

“For the above and any other contracts completed and under implementation as prime contractor, joint venture member, management contractor or sub-contractor in the last five (5) years prior to the application submission deadline: a minimum construction experience in the following key activities successfully completed:

(i) The removal of existing gates and the fabrication and installation of five (5) steel gates with associated hoisting and operating equipment of a minimum span of 10m in 6 months.

(ii) execution of reinforced concrete works of at least 30,000 cft/month

(iii) Constructing or rehabilitating an existing structure in flowing river water of 300,000 cusecs.”

In the original submission no projects for which details were provided by the applicant demonstrated that they met these criteria.

In the clarification response dated 29th January 2016, details were provided of the project “Hydropower Station Expansion of Dahuofang Reservoir”. The supporting details provided indicated that the applicant met criteria (i) and (ii) above. This contract did not however meet the criteria (iii).
The applicant also provided details of the project “Huhne Barrage Emergency Reinforcement”. The project was completed in July 2010 and involved the rehabilitation of an existing structure in flowing river water of 230,000 cusecs (6,500 cumecs). Supporting documents were provided to confirm the date and flow. Given the contract was completed only a few months before the five year period stipulated and the flow is >75% of the requirement then consideration may be given to a waiver on this point.

4.3.2 Applicant 5 – M/s AIQ JV

The Joint Venture partners are M/s AGE of Turkey (lead partner), M/s ISIK of Turkey and M/s Qalander Bux Abro.

4.3.2.1 Eligibility

M/s Qalander Bux has been unable to provide the Articles of Incorporation for their firm. These are required to confirm their eligibility. The applicant has provided a copy of a letter to the Registrar, Security and Exchange Commission of Pakistan (SECP) in Karachi requesting a replacement true copy of these articles. Provided that the Articles of Incorporation are received prior to issue of the Invitation for Bids this is considered acceptable.

4.3.2.2 Annual Average Construction Turnover (3.2)

In the clarification response dated 26th January 2016 the applicant confirmed that M/s ISIK did not meet the turnover requirements to be a JV partner (see Table 3.4) and would instead be a sub-contractor. This is considered to be acceptable.

4.3.2.3 Specific Construction Experience (4.2b)

The requirement is for all JV parties combined to demonstrate:

“For the above and any other contracts completed and under implementation as prime contractor, joint venture member, management contractor or sub-contractor in the last five (5) years prior to the application submission deadline: a minimum construction experience in the following key activities successfully completed:

(i) The removal of existing gates and the fabrication and installation of five (5) steel gates with associated hoisting and operating equipment of a minimum span of 10m in 6 months.

(ii) execution of reinforced concrete works of at least 30,000 cft/month

(iii) Constructing or rehabilitating an existing structure in flowing river water of 300,000 cusecs.”

The applicant has provided details of the Kavsak Bendi Hydropower Project which does not fully meet the criteria above. Since this is the construction of a new hydropower scheme the applicant has not
demonstrated experience of the removal of existing gates. The project involved construction in flowing river water of 178,000 cusecs (5,053 cumecs) which is less than the stipulated flow.

Given the applicant meets all the other criteria and the flow is around 60% of the requirement then consideration may be given to a waiver on this point.

4.4 Conclusions

The qualification criteria for SBIP/G2 were carefully considered and it is the view of the PIC that, considering the size and complexity of the contract, only those firms that fully met the requirements should qualify.

However, should PMO Barrages grant waivers on the issues discussed above, and should the funding agency indicate its concurrence, then the qualified applicants would be as shown in Table 4.2.

Table 4.2: Qualified Applicants after granting of Potential Waivers

<table>
<thead>
<tr>
<th>Ref</th>
<th>Applicant</th>
<th>Country of registration</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>M/s Power China – JHMC Joint Venture</td>
<td>China / China</td>
</tr>
<tr>
<td>02</td>
<td>M/s Descon Engineering Ltd</td>
<td>Pakistan</td>
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<td>04</td>
<td>M/s Shahrukh – CLIC Joint Venture</td>
<td>Pakistan / China</td>
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<td>05</td>
<td>M/s AIQ Joint Venture (M/s AGE and M/s QBA only)</td>
<td>Turkey / Pakistan</td>
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<tr>
<td>07</td>
<td>M/s CHEC – GHEC Joint Venture</td>
<td>China / China</td>
</tr>
<tr>
<td>10</td>
<td>M/s JSC Trust Hydromontazh</td>
<td>Russia</td>
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<tr>
<td>11</td>
<td>M/s Sinotec – ZZHPC Joint Venture</td>
<td>China / China</td>
</tr>
<tr>
<td>16</td>
<td>M/s Zarubezhvodstroy PC</td>
<td>Russia</td>
</tr>
</tbody>
</table>

4.5 Items requiring Specific Attention at Time of Bidding

Should a waiver be granted to Applicant 5 then the following items would require specific attention at the time of bidding:

- The % share of the Joint Venture between M/s AGE and M/s QBA shall be confirmed, following the removal of M/s ISIK from the Joint Venture.
- The receipt of the Articles of Incorporation for M/s QBA shall be confirmed.
Appendices

| Appendix A. | Approval of Prequalification Document | 21 |
| Appendix B. | Notice Published in Dawn Newspaper | 22 |
| Appendix C. | Corrigendum – Revised Date for Submission | 23 |
| Appendix D. | Record of Correspondence with Applicants | 24 |
| Appendix E. | Details of Prequalification Assessment | 25 |
Appendix A. Approval of Prequalification Document
Dear John

Kindly update the prequalification Documents of the subject contract in the light of comments mentioned in below email and send documents.

An early action in this regard is requested

Best Regards

Engr. A. Razzaque Memon

From: aazad@worldbank.org
To: razaquemadraswala@hotmail.com
CC: aijazshaikh59@hotmail.com; fateh.marri@wsip.gos.pk; ylli.dedja@fao.org; ehsan1940@gmail.com; usadaf@worldbank.org; mhaq@worldbank.org; sahmad2@worldbank.org
Subject: RE: Pakistan- Sindh Barrage Improvement Project (P131324)- No Objection for the Prequalification Documents of Contract G/2
Date: Mon, 21 Sep 2015 07:42:33 +0000

Dear Engineer Razzaque,

Based on the submitted information and clarifications we have no objection to your recommendation to issue the prequalification document to prospective applicants. This no objection is subject to the incorporation of the below comments in the final document prior to its issuance.

i. criterion 3.2 the multiplier is 1.75, the min %age by one firm is 60%, it is suggested to keep this as 70%.
ii. criterion 4.2 a: for a US$137 million contract one contract of US$90 million or two of US$55 million would not suffice. It seems a bit too low. Generally we keep 80% cost which would translate into one contract of US$110 million.
iii. criterion 4.2 b: the PQ docs still refer to number of contracts:
i. Two (2) contracts involving the removal of existing gates and the fabrication and installation of five (5) steel gates with associated hoisting and operating equipment of a minimum span of 10m at a speed of at least 5 gates in 6 months.

ii. One (1) contract involving construction works in or adjacent to an environmentally sensitive area. This is very subjective; may be replaced with: execution of reinforced concrete works for at least 30,000 cft/month.

iii. One (1) contract constructing or rehabilitating an existing structure in flowing river water of 300,000 cusecs

Kindly submit to us the final document.

Kind regards
Azad

From: A.Razzaque Memon [mailto:razaquemadraswala@hotmail.com]
Sent: Monday, August 24, 2015 5:02 AM
To: Abdulhamid Azad; Uzma Sadaf
Cc: Aijaz Shaikh; Habib Ursani; Fateh Marri; Dr. Ylii Dedja; Muhammad Ehsan
Subject: RE: Pakistan- Sindh Barrage Improvement Project (P131324)-Draft Prequalification Documents of Contract G/2

Dear Sir

In compliance of following email, Prequalification documents of G/2 Contract has been updated by incorporating comments and is attached for kind review and no objection

Best Regards

Engr. A. Razzaque Memon

From: aazad@worldbank.org
To: razaquemadraswala@hotmail.com; usadaf@worldbank.org
CC: aijazshaikh59@hotmail.com; hursani@hotmail.com; fateh.marri@wsip.gos.pk; ylli.dedja@fao.org; ehsan1940@gmail.com
Subject: RE: Pakistan- Sindh Barrage Improvement Project (P131324)-Draft Prequalification Documents of Contract G/2
Date: Mon, 17 Aug 2015 13:22:09 +0000
Dear Memon,

Please see below and attached our comments

i. 3.1 lower the cashflow

ii. 4.1 a should also add one contacts of xx m

2
4.2 b should have only three quantities; e.g (i) having constructed/stalled xxx number of steel gates of xx m span in yy months, (ii) earthwork of xx cum in one month; (iii) one you may suggest.

Please note that the procurement plan gives the estimate as US$137 million and the completion time as 57 months (March 2016 to December 2020).

Please submit the prequalification documents for our no objection by 24 August.

Kind regards
Azad
Appendix B. Notice Published in Dawn Newspaper
SPECIFIC PROCUREMENT NOTICE
Invitation for Prequalification

Country: Pakistan
Project: Sindh Barrages Improvement Project
Project ID No.: P 131324
Brief Description of Works: Barrage Rehabilitation Works, Guddu, Kashmore.
Prequalification Ref SBIP/G2

This invitation for prequalification follows the general procurement notice for this project that appeared in Development Business on-line.

The Islamic Republic of Pakistan intends to apply for a loan from the International Development Association (IDA) toward the cost of the Sindh Barrages Improvement Project, and it intends to apply part of the proceeds to payments under the contract for Barrage Rehabilitation Works, Guddu, Kashmore.

The works include:
- Replacement of the barrage gates, and the gates for three of the four canal head regulators at Guddu Barrage;
- Rehabilitation and replacement of the mechanical and electrical equipment for operating the barrage gates;
- Provision of equipment and workshop for the future operation and maintenance of the barrage;
- Minor repairs to the barrage and head work structures;
- Construction within the river of a new left hand pocket divide wall.

Prequalification will be conducted through prequalification procedures specified in the World Bank’s Guidelines: Procurement under IDA Credits, January, 2011, and is open to all bidders from eligible source countries, as defined in the guidelines.

Interested eligible Applicants may obtain further information from and inspect the prequalification document at the Irrigation Department, Government of Sindh, Pakistan (address below) from office hours from Monday to Friday.

A complete set of prequalification documents in English may be obtained on submission of a written application by conventional or electronic mail to the address given below. Electronic versions of the prequalification documents will be sent free of charge to applicants including a return e-mail address for their submission.

Hard copies of the prequalification documents may be purchased by interested bidders on the submission of a written application to the address below and upon payment of a non-refundable fee of PKR 15,000 or US$ 180. The method of payment will be by pay order / demand draft / dollar draft in the name of the Project Director, Project Management Office, Sindh Barrages Improvement Project, Irrigation Department, Government of Sindh, Pakistan. The document will be sent by registered courier.

Applications for prequalification should be submitted in sealed envelopes, delivered to the address below by 4th December, 2015, 16.00 hours Pakistan Standard Time and be clearly marked ‘Application to Prequalify for Sindh Barrages Improvement Project (P131324), with the name of the Contract(s) the applicants wish to bid for highlighted.

Mr. Aijaz Shaikh
Project Director
Project Management Office
Sindh Barrages Improvement Project
Irrigation Department, Government of Sindh
28 A Civil Line, Hyderabad, Pakistan
(T) +92 22 2100287
(E) aijazshaikh59@hotmail.com

Say No to Corruption
Appendix C. Corrigendum – Revised Date for Submission
CORRIGENDUM

SPECIFIC PROCUREMENT NOTICE
Invitation for Prequalification

Country: Pakistan
Project: Sindh Barrages Improvement Project
Project ID No.: P 131324

Brief Description of Works: Barrage Rehabilitation Works, Guddu, Kashmore.
Prequalification Ref SBIP/G2
&
Brief Description of Works: River Training Works at Guddu Barrage, Kashmore
Prequalification Ref SBIP/G3

With reference to the Specific Procurement Note for the Contract Ref No. SBIP/G2 and SBIP/G3 published in daily Dawn (English) on 4-10-2015 and 5-10-2015 respectively. Also, published in daily Jang (Urdu) on 5-10-2015 and daily Kawish (Sindhi) on 6-10-2015 and 7-10-2015 respectively. The submission dates of Prequalification Documents have been extended by the competent authority. The new extended dates are as under:

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<th>Original Submission Date &amp; time</th>
<th>Extended Date &amp; Time for submission</th>
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<td>SBIP/G3</td>
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<td>4th January, 2016 at 1400 PST</td>
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All other terms and conditions shall remain same.

Aijaz Shaikh
Project Director
Project Management Office
Sindh Barrages Improvement Project
Irrigation Department, Government of Sindh
28/A Civil Line, Hyderabad, Pakistan
(T) +92 22 2631268 and +92 222100287
(E) aijazshaikh59@hotmail.com
(W) www.sbip.org.pk
Appendix D. Record of Correspondence with Applicants
<table>
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<tr>
<th>#</th>
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<td>M/s. MATRACON-HRL-SMCC (JV)</td>
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<td>25</td>
<td>20/01/2016</td>
<td>21/01/2016</td>
<td>M/s NTF - Qalander Bux Abro JV</td>
<td>Y</td>
<td></td>
<td>PMO/SBIP/PQD/132</td>
<td>Request for Clarification No 1</td>
<td>01/02/2016</td>
<td>Yes</td>
<td>16/02/2016</td>
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<td>26</td>
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<td>M/s RSL - CGC JV</td>
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<td>PMO/SBIP/PQD/137</td>
<td>Request for Clarification No 2</td>
<td>25/02/2016</td>
<td>No</td>
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<td>27</td>
<td>18/02/2016</td>
<td>19/02/2016</td>
<td>M/s BTCE - Sachal JV</td>
<td>Y</td>
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<td>PMO/SBIP/PQD/138</td>
<td>Request for Clarification No 2</td>
<td>25/02/2016</td>
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<td>23/02/2016</td>
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<td>28</td>
<td>18/02/2016</td>
<td>19/02/2016</td>
<td>M/s Shahrukh-OLIC JV</td>
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<td>PMO/SBIP/PQD/139</td>
<td>Request for Clarification No 2</td>
<td>25/02/2016</td>
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<td>23/02/2016</td>
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<td>30</td>
<td>18/02/2016</td>
<td>19/02/2016</td>
<td>M/s Sparco</td>
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<td></td>
<td>PMO/SBIP/PQD/141</td>
<td>Request for Clarification No 2</td>
<td>25/02/2016</td>
<td>No</td>
<td>25/02/2016</td>
</tr>
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Appendix E. Details of Prequalification Assessment
# PQ Application Evaluation Sheet

<table>
<thead>
<tr>
<th>Contract:</th>
<th>SBIP/G2 – Barrage Rehabilitation Works</th>
</tr>
</thead>
<tbody>
<tr>
<td>Applicant Reference</td>
<td>01</td>
</tr>
<tr>
<td>Applicant Name:</td>
<td>M/s Power China – JHMC Joint Venture</td>
</tr>
<tr>
<td>Lead Partner (if a JV)</td>
<td>M/s Power China (Power Construction Company Corporation of China Ltd) 70%</td>
</tr>
<tr>
<td>Other Partners (If applicable)</td>
<td>M/s JHMC (Sinohydro Jiajiang Hydraulic Machinery Co. Ltd) 30%</td>
</tr>
</tbody>
</table>

## COMPLETENESS OF APPLICATION

<table>
<thead>
<tr>
<th>Provided?</th>
<th>Comments</th>
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### COPIES OF BID (ITA 15.2)

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<th>Comments</th>
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<tbody>
<tr>
<td>Yes</td>
<td></td>
</tr>
</tbody>
</table>

- One original + 3 copies
- Readable CD with complete application as .doc AND .pdf file(s)

### APPLICATION FORMS

- Application Submission Form (on company letter headed paper)
- Application Information Form ELI-1.1 (and including required attachments)
- Applicant’s Party Information Form (for all JV partners if applicable and including required attachments) ELI-1.2
- Historical Contract Non-Performance (for all JV partners if applicable) CON-2
- Financial Situation (for all JV partners if applicable and including required attachments) FIN-3.1
- Average Annual Construction Turnover (for all JV partners if applicable) FIN-3.2
- General Construction Experience (for all JV partners if applicable) EXP-4.1
- Similar Construction Experience (for all JV partners if applicable) EXP-4.2(a)
- Construction Experience in Key Activities (for all JV partners if applicable) EXP-4.2(b)
## COMPLETENESS OF APPLICATION

<table>
<thead>
<tr>
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<th>Comments</th>
</tr>
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<tbody>
<tr>
<td><strong>ADDITIONAL ITEMS AS ITA 11.1(d)</strong></td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td>Application signed by Mr Yang Jianduo. POA granting authority to Mr Yang Jianduo is signed by Mr Yan Zhiyong, Chairman of Power China (PCCC Ltd).</td>
</tr>
<tr>
<td>Yes</td>
<td>POA from Sinohydro JHMC authorizing China Power to lead the JV. Signed by Mr Xu Shaobo, Executive Director, General Manager and Legal Representative. POA from Sinohydro JHMC granting authority to Mr Lin Hijan to sign the JV agreement, signed by Mr Xu Shaobo.</td>
</tr>
<tr>
<td>Yes</td>
<td>Health and Safety policy provided by Power China is signed by authorized representative (Mr Yang Jianduo) rather than MD or Chairman. Accompanied by a Certificate of H&amp;S management indicating compliance OHISO 180001:2007. Health and Safety policy provided by JHMC is signed by authorized representative (Mr Xu Shaobo) rather than MD or Chairman. Accompanied by a Certificate of H&amp;S management indicating compliance OHISO 180001:2007.</td>
</tr>
<tr>
<td>Yes</td>
<td>Anti-corruption policy and guide provided by Power China is signed by authorized representative (Mr Yang Jianduo) rather than MD or Chairman. Accompanied by a statement, certified by the China Council for Promotion of International Trade, China Chamber of International Commerce, that PCCC has not been blacklisted by any government or semi-government agency / department. Anti-corruption policy and guide provided by JHMC is signed by authorized representative (Mr Xu Shaobo) rather than MD or Chairman. Accompanied by a statement that JHMC has not been blacklisted by any government or semi-government agency / department.</td>
</tr>
<tr>
<td>Ref</td>
<td>Requirement</td>
</tr>
<tr>
<td>-----</td>
<td>------------------------------------------------------------------------------</td>
</tr>
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<td></td>
</tr>
<tr>
<td>1</td>
<td><strong>ELIGIBILITY</strong></td>
</tr>
<tr>
<td></td>
<td>1. Articles of incorporation or constitution of the legal entity named above, in accordance with ITA 4.5.</td>
</tr>
<tr>
<td></td>
<td>2. In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.2 (all parties shall be jointly and severally liable).</td>
</tr>
<tr>
<td>1.1</td>
<td>Nationality in accordance ITA 4.5</td>
</tr>
<tr>
<td>1.2</td>
<td>No conflict of interest in accordance with ITA 4.6</td>
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<tr>
<td>1.3</td>
<td>Not having been declared ineligible by the Bank, as described in ITA Sub-Clause 4.7 and 5.1</td>
</tr>
<tr>
<td>1.4</td>
<td>Government owned entity –requirements as ITA 4.9</td>
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<td>1.5</td>
<td>Not having been excluded in accordance with ITA 5.1</td>
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<td></td>
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<td>2</td>
<td><strong>HISTORICAL CONTRACT NON-PERFORMANCE</strong></td>
</tr>
<tr>
<td>2.1</td>
<td>History of Non-Performing Contracts</td>
</tr>
<tr>
<td>2.2</td>
<td>Failure to Sign Contract</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>Ref</td>
<td>Requirement</td>
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<tr>
<td>-----</td>
<td>------------------------</td>
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<tr>
<td>2.3</td>
<td>Pending Litigation</td>
</tr>
<tr>
<td>3</td>
<td>FINANCIAL SITUATION</td>
</tr>
<tr>
<td>3.1</td>
<td>Financial Performance</td>
</tr>
<tr>
<td></td>
<td>a. the current soundness of the applicant’s financial position and its prospective long term profitability (As a minimum, an Applicant’s net worth calculated as the difference between total assets and total liabilities should be positive in each of the last 3 years) and</td>
</tr>
<tr>
<td></td>
<td>b. capacity to have a cash flow amount of US$10 million. The applicant should demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit, and other financial means sufficient to meet the amount stated above net of the Applicant’s commitments for other contracts</td>
</tr>
<tr>
<td>3.2</td>
<td>Average Annual Construction Turnover</td>
</tr>
</tbody>
</table>

G2 Evaluation Sheet - Applicant 01
### EXPERIENCE

#### 4.1 General Construction Experience

Experience under construction contracts in the role of contractor, subcontractor, or management contractor for at least the last five (5) years prior to the application submission deadline, and with activity in at least nine (9) months in each year.

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Single Entity All Partners Combined</th>
<th>Joint Venture Each Partner</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>4.1</td>
<td></td>
<td></td>
<td>Power China has demonstrated compliance with this requirement with the following projects: Rosieres Dam Heightening (May 2008 to November 2013) Coca Coda Sinclair HPP (July 2010 to January 2016) Tarbela 4th extension HPP (August 2013 – ongoing) JHMC has demonstrated compliance with this requirement with the following projects: Angostura Project (July 2010 to September 2011) Jinnah Barrage (May 2012 to June 2015) An Ğū Hydropower Project (November 2012 to September 2013) After clarification: full project list provided with supporting documents which indicate that JHMC meets the requirement.</td>
</tr>
</tbody>
</table>

Result | P |

#### 4.2 Specific Construction Experience

A minimum number of similar contracts specified below that have been satisfactorily and substantially completed as a prime contractor, joint venture member, management contractor or subcontractor in the last five (5) years prior to the application submission deadline: either two contracts, each of minimum value US$ fifty-five (55) Million or one contract with a minimum value of US$ one hundred and ten (110) Million.

The similarity shall be based on the physical size, complexity, methods / technology or other characteristics as described in Section VI, Scope of Works

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Single Entity All Partners Combined</th>
<th>Joint Venture Each Partner</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>4.2a</td>
<td></td>
<td></td>
<td>Power China has demonstrated compliance with this requirement with the following project: Rosieres Dam Heightening (May 2008 to December 2013) Contract value US$ 396.3 million. Sinohydro Corporation has a 70% share in the JV, CWE has a 30% share. JHMC has provided references for the rehabilitation of Jinnah barrage and Taunsa barrage. The total contract amount is not mentioned for either contract, but the value of JHMC’s share and % is noted: Jinnah barrage US$30.8 million (PKR 2,778 million) 29% Taunsa barrage rehabilitation was completed in 2008 so not relevant.</td>
</tr>
</tbody>
</table>

Result | P |
<table>
<thead>
<tr>
<th>Ref</th>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
<th>Overall Result</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>For the above and any other contracts completed and under implementation as prime contractor, joint venture member, management contractor or sub-contractor in the last five (5) years prior to the application submission deadline: a minimum construction experience in the following key activities successfully completed:</td>
<td></td>
<td></td>
<td>Rehabilitation of Jinnah barrage (May 2012 to June 2015). JHMC was a sub-contractor. Barrage gates 60 feet width (18.3m) A total of 74nr gates replaced. 96% of work done in 2013 and 2014. Average rate 18 gates per 6 months.</td>
<td>P</td>
</tr>
<tr>
<td>4.2b</td>
<td>(i) The removal of existing gates and the fabrication and installation of five (5) steel gates with associated hoisting and operating equipment of a minimum span of 10m in 6 months.</td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
<td>P</td>
</tr>
<tr>
<td></td>
<td>(ii) execution of reinforced concrete works of at least 30,000 cft/month</td>
<td>MMR</td>
<td>MMR</td>
<td>Rosieres Dam Heightening (May 2008 to December 2013). Sinohydro Corporation has a 70% share in the JV 4,922,865 cft concrete poured in 4 years at average rate of 1032,560 cft per month.</td>
<td>P</td>
</tr>
<tr>
<td></td>
<td>(iii) Constructing or rehabilitating an existing structure in flowing river water of 300,000 cusecs.</td>
<td>MMR</td>
<td>MMR</td>
<td>Rehabilitation of Jinnah Barrage (May 2012 to June 2015). JHMC was a sub-contractor to Descon-CCC JV. Design discharge of Jinnah Barrage is 950,000 cusecs.</td>
<td>P</td>
</tr>
</tbody>
</table>
# PQ Application Evaluation Sheet

<table>
<thead>
<tr>
<th>Contract:</th>
<th>SBIP/G2 – Barrage Rehabilitation Works</th>
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</thead>
<tbody>
<tr>
<td>Applicant Reference</td>
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<tr>
<td>Applicant Name:</td>
<td>M/s Descon Engineering Ltd</td>
</tr>
<tr>
<td>Lead Partner (if a JV)</td>
<td>N/A</td>
</tr>
<tr>
<td>Other Partners (If applicable)</td>
<td>N/A</td>
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<table>
<thead>
<tr>
<th>COMPLETENESS OF APPLICATION</th>
<th>Provided?</th>
<th>Comments</th>
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<td><strong>COPIES OF BID (ITA 15.2)</strong></td>
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<td></td>
</tr>
<tr>
<td>One original + 3 copies</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Readable CD with complete application as .doc AND .pdf file(s)</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td><strong>APPLICATION FORMS</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Application Submission Form (on company letter headed paper)</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Application Information Form ELI-1.1 (and including required attachments)</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Applicant’s Party Information Form (for all JV partners if applicable and including required attachments) ELI-1.2</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Historical Contract Non-Performance (for all JV partners if applicable) CON-2</td>
<td>Yes</td>
<td></td>
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<tr>
<td>Financial Situation (for all JV partners if applicable and including required attachments) FIN-3.1</td>
<td>Yes</td>
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<tr>
<td>Average Annual Construction Turnover (for all JV partners if applicable) FIN-3.2</td>
<td>Yes</td>
<td></td>
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<tr>
<td>General Construction Experience (for all JV partners if applicable) EXP-4.1</td>
<td>Yes</td>
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<tr>
<td>Similar Construction Experience (for all JV partners if applicable) EXP-4.2(a)</td>
<td>Yes</td>
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<tr>
<td>Construction Experience in Key Activities (for all JV partners if applicable) EXP-4.2(b)</td>
<td>Yes</td>
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## COMPLETENESS OF APPLICATION

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<th>Comments</th>
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</thead>
<tbody>
<tr>
<td>Yes</td>
<td>Application signed by Mr Abdul Wasey Khan.</td>
</tr>
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### ADDITIONAL ITEMS AS ITA 11.1(d)

- **If the application submission form is not signed by a partner of the company, the managing director or the chief executive officer of the applicant, then the application shall include a specific letter of authority to the signatory from a partner, the managing director or the chief executive officer including the signatures of both the partner, the managing director or the chief executive officer and the person receiving the authority.**
  - **Yes**
  - **Comments:** POA granting authority to Mr Abdul Wasey Khan is signed by Mr Abdul Sohail, Company Secretary.

- **In the case of a JV, a letter from the managing director of each partner (that is not the lead partner) to the lead partner authorizing the lead partner to submit the application on its behalf**
  - **N/A**

- **The company’s Health and Safety Policy signed by the managing director or chairman of the company. (In the case of a JV this should be provided by each Partner.)**
  - **Yes**
  - **Comments:** QHSE Policy is signed by the Chief Executive Officer, Mr Abdul Razak Dawood.

- **The company’s Anti-Corruption Policy signed by the managing director or chairman of the company. (In the case of a JV this should be provided by each Partner.)**
  - **Yes**
  - **Comments:** Anti-corruption policy is signed as approved by the Chief Executive Officer, Mr Abdul Razak Dawood.

---

<table>
<thead>
<tr>
<th>Ref</th>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
<th>Overall Result (P = Pass; F = Fail; NC = not clear)</th>
</tr>
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<tbody>
<tr>
<td>1</td>
<td>ELIGIBILITY</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>1. Articles of incorporation or constitution of the legal entity named above, in accordance with ITA 4.5.</td>
<td>MMR</td>
<td>MMR</td>
<td>MMR</td>
<td>Articles of incorporation provided. Certificate of registration with the Pakistan Engineering Council provided. Company organization chart provided. Copies on CNIC of shareholders and partners provided.</td>
</tr>
<tr>
<td>2</td>
<td>2. In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.2 (all parties shall be jointly and severally liable,</td>
<td>N/A</td>
<td>MMR</td>
<td>N/A</td>
<td>N/A</td>
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**Result**

- **P**
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<th>Joint Venture</th>
<th>Comments</th>
<th>Overall Result (P = Pass; F = Fail; NC = not clear)</th>
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<tbody>
<tr>
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<td>MMR</td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
</tr>
<tr>
<td>1.2</td>
<td>No conflict of interest in accordance with ITA 4.6</td>
<td>MMR</td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
</tr>
<tr>
<td>1.3</td>
<td>Not having been declared ineligible by the Bank, as described in ITA Sub-Clause 4.7 and 5.1</td>
<td>MMR</td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
</tr>
<tr>
<td>1.4</td>
<td>Government owned entity –requirements as ITA 4.9</td>
<td>MMR</td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
</tr>
<tr>
<td>1.5</td>
<td>Not having been excluded in accordance with ITA 5.1</td>
<td>MMR</td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
</tr>
</tbody>
</table>

2 HISTORICAL CONTRACT NON-PERFORMANCE

2.1 History of Non-Performing Contracts

Non-performance of a contract did not occur within the last five (5) years prior to the deadline for application submission based on all information on fully settled disputes or litigation. A fully settled dispute or litigation is one that has been resolved in accordance with the Dispute Resolution Mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

| | MMR | N/A | MMR | N/A | Form CON-2 indicates Descon have had no issues of non-performance in the last five years. | P |

Result: P

2.2 Failure to Sign Contract

Not being under execution of a Bid Securing Declaration pursuant to Sub-Clause 4.10 for five (5) years

| | MMR | MMR | MMR | N/A | | P |

Result: P

2.3 Pending Litigation

All pending litigation shall in total not represent more than ten (10 %) of the Applicant’s net worth and shall be treated as resolved against the Applicant.

| | MMR | N/A | MMR | N/A | Form CON-2 indicates Descon have had no issues of pending litigation in the last five years and have provided a supporting statement to this effect. | P |

Result: P
<table>
<thead>
<tr>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>3 FINANCIAL SITUATION</strong></td>
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<td></td>
<td></td>
</tr>
<tr>
<td><strong>3.1 Financial Performance</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Submission of audited balance sheets or if not required by the law of the Applicant’s country, other financial statements acceptable to the Employer, for the last five (5) years to demonstrate:</td>
<td>MMR</td>
<td>N/A</td>
<td>MMR</td>
</tr>
<tr>
<td>a. the current soundness of the applicant’s financial position and its prospective long term profitability (As a minimum, an Applicant’s net worth calculated as the difference between total assets and total liabilities should be positive in each of the last 3 years) and</td>
<td>MMR</td>
<td>N/A</td>
<td>MMR</td>
</tr>
<tr>
<td>Result</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b. capacity to have a cash flow amount of <strong>US$10 million.</strong></td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
</tr>
<tr>
<td>Result</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>3.2 Average Annual Construction Turnover</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Minimum average annual construction turnover of <strong>US$ 1 million</strong> calculated as total certified payments received for construction contracts in progress and / or completed, during the last five (5) years, divided by five years.</td>
<td>MMR</td>
<td>MMR</td>
<td>Must meet 35% of the req’t</td>
</tr>
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<td>Requirement</td>
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<td></td>
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<td>All Partners Combined</td>
<td>Each Partner</td>
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<td></td>
<td>MMR</td>
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<td>4</td>
<td>EXPERIENCE</td>
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<td>General Construction Experience</td>
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<tr>
<td></td>
<td>Experience under construction contracts in the role of contractor, subcontractor, or management contractor for at least the last five (5) years prior to the application submission deadline, and with activity in at least nine (9) months in each year.</td>
<td>MMR</td>
<td>N/A</td>
</tr>
<tr>
<td></td>
<td>Result</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.2</td>
<td>Specific Construction Experience</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.2a</td>
<td>A minimum number of similar contracts specified below that have been satisfactorily and substantially completed as a prime contractor, joint venture member, management contractor or subcontractor in the last five (5) years prior to the application submission deadline: (\text{either two contracts, each of minimum value US$ fifty-five (55) Million or one contract with a minimum value of US$ one hundred and ten (110) Million.}) The similarity shall be based on the physical size, complexity, methods / technology or other characteristics as described in Section VI, Scope of Works</td>
<td>MMR</td>
<td>MMR</td>
</tr>
<tr>
<td></td>
<td>Result</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Descon has demonstrated compliance with this requirement with the following projects:

- **New Khanki Barrage** (May 2013 - ongoing)
  - Contract value: PKR 11,794 million / US$ 130.6 million
  - Descon was the lead partner in the JV with a 70% share. Letter from Engineer (M/s ACE, Nespak and SMEC) provided confirming completion of civil and mechanical works.

- **Rehabilitation of Jinnah Barrage** (June 2012 to November 2015)
  - Contract value: PKR 21,300 million / US$ 222.97 million
  - Descon is the Main Contractor
  - Letter from Engineer (M/s SMEC, Atkins, EGC, Barqab JV) provided confirming 65% complete.

- **450MW UCH-II Combined Cycle Power Plant** (November 2010 to August 2013)
  - Contract value: PKR 11,344 million / US$ 134 million
  - Descon is the Main Contractor
  - Letter from Engineer (M/s Lahmeyer) certifying successful testing carried out in April 2014.
<table>
<thead>
<tr>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
<th>Overall Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>For the above and any other contracts completed and under implementation as prime contractor, joint venture member, management contractor or sub-contractor in the last five (5) years prior to the application submission deadline: a minimum construction experience in the following key activities successfully completed:</td>
<td></td>
<td></td>
<td>Rehabilitation of Jinnah barrage (April 2012 to November 2015) Descon was the lead partner in the JV with a 70% share. Barrage gates and under sluice gates 60 feet width (18.3m) Letter from Engineer confirms the following: Phase 1: 28nr gates replaced in 6 months. Phase 2: 30nr gates replaced in 6 months</td>
<td>P</td>
</tr>
<tr>
<td>(i) The removal of existing gates and the fabrication and installation of five (5) steel gates with associated hoisting and operating equipment of a minimum span of 10m in 6 months.</td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
<td>P</td>
</tr>
<tr>
<td>(ii) execution of reinforced concrete works of at least 30,000 cft/month</td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
<td>P</td>
</tr>
<tr>
<td>(iii) Constructing or rehabilitating an existing structure in flowing river water of 300,000 cusecs.</td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
<td>P</td>
</tr>
</tbody>
</table>
## PQ Application Evaluation Sheet

<table>
<thead>
<tr>
<th><strong>Contract:</strong></th>
<th>SBIP/G2 – Barrage Rehabilitation Works</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Applicant Reference</strong></td>
<td>04</td>
</tr>
<tr>
<td><strong>Applicant Name:</strong></td>
<td>M/s Shahrukh – CLIC JV</td>
</tr>
<tr>
<td><strong>Lead Partner (if a JV)</strong></td>
<td>M/s China Liaoning International Economic and Technical Cooperation Group Corporation Ltd 70%</td>
</tr>
<tr>
<td><strong>Other Partners (If applicable)</strong></td>
<td>M/s Shahrukh Engineers &amp; Contractors (Pvt) Ltd 30%</td>
</tr>
</tbody>
</table>

### COMPLETENESS OF APPLICATION

<table>
<thead>
<tr>
<th>Provided?</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Yes</strong></td>
<td><strong>No</strong></td>
</tr>
</tbody>
</table>

#### COPIES OF BID (ITA 15.2)

- One original + 3 copies
- Readable CD with complete application as .doc AND .pdf file(s)

#### APPLICATION FORMS

- Application Submission Form *(on company letter headed paper)*
- Application Information Form **ELI-1.1** *(and including required attachments)*
- Applicant’s Party Information Form *(for all JV partners if applicable and including required attachments)* **ELI-1.2**
- Historical Contract Non-Performance *(for all JV partners if applicable)* **CON-2**
- Financial Situation *(for all JV partners if applicable and including required attachments)* **FIN-3.1**
- Average Annual Construction Turnover *(for all JV partners if applicable)* **FIN-3.2**
- General Construction Experience *(for all JV partners if applicable)* **EXP-4.1**
- Similar Construction Experience *(for all JV partners if applicable)* **EXP-4.2(a)**
- Construction Experience in Key Activities *(for all JV partners if applicable)* **EXP-4.2(b)**

- Form has not been filled out properly. Only mentions one of the JV partners (CLIC).
- No affidavit or supporting statement provided by CLIC.
<table>
<thead>
<tr>
<th>COMPLETENESS OF APPLICATION</th>
<th>Provided?</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>ADDITIONAL ITEMS AS ITA 11.1(d)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>If the application submission form is not signed by a partner of the company, the managing director or the chief executive officer of the applicant, then the application shall include a specific letter of authority to the signatory from a partner, the managing director or the chief executive officer including the signatures of both the partner, the managing director or the chief executive officer and the person receiving the authority</td>
<td>Yes</td>
<td>Application signed by Mr Muhammad Adnan.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Resolution of the Board of Directors of Shahrulkh authorizes Mr Muahmmad Adnan to act on their behalf for SBIP/G2 and G3. Signed by Company Secretary and three Directors.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Authority letter from CLIC authorizes Mr Muhammad Adnan to act on their behalf for SBIP/G2 and G3.</td>
</tr>
<tr>
<td>In the case of a JV, a letter from the managing director of each partner (that is not the lead partner) to the lead partner authorizing the lead partner to submit the application on its behalf</td>
<td>Yes</td>
<td>Authority letter from CLIC authorizes Mr Muhammad Adnan to act on their behalf for SBIP/G2 and G3. While CLIC is the lead partner in the JV, the authorized representative of the JV is from Shahrulkh and they have submitted the application.</td>
</tr>
<tr>
<td>The company’s Health and Safety Policy signed by the managing director or chairman of the company. (In the case of a JV this should be provided by each Partner.)</td>
<td>Yes</td>
<td>HSE Policies of both Shahrulkh and CLIC are unsigned.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Clarification: signed version provided.</td>
</tr>
<tr>
<td>The company’s Anti-Corruption Policy signed by the managing director or chairman of the company. (In the case of a JV this should be provided by each Partner.)</td>
<td>Yes</td>
<td>Shahrulkh anti-corruption policy is signed by the authorized representative.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>CLIC anticorruption policy is unsigned.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Clarification: signed version provided.</td>
</tr>
<tr>
<td>Ref</td>
<td>Requirement</td>
<td>Single Entity</td>
</tr>
<tr>
<td>-----</td>
<td>-------------</td>
<td>---------------</td>
</tr>
<tr>
<td></td>
<td></td>
<td>All Partners Combined</td>
</tr>
<tr>
<td>1</td>
<td>ELIGIBILITY</td>
<td></td>
</tr>
<tr>
<td></td>
<td>1. Articles of incorporation or constitution of the legal entity named above, in accordance with ITA 4.5.</td>
<td>MMR</td>
</tr>
<tr>
<td></td>
<td>2. In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.2 (all parties shall be jointly and severally liable,</td>
<td>N/A</td>
</tr>
<tr>
<td></td>
<td>Result</td>
<td></td>
</tr>
<tr>
<td>1.1</td>
<td>Nationality in accordance ITA 4.5</td>
<td>MMR</td>
</tr>
<tr>
<td>1.2</td>
<td>No conflict of interest in accordance with ITA 4.6</td>
<td>MMR</td>
</tr>
<tr>
<td>1.3</td>
<td>Not having been declared ineligible by the Bank, as described in ITA Sub-Clause 4.7 and 5.1</td>
<td>MMR</td>
</tr>
<tr>
<td>1.4</td>
<td>Government owned entity –requirements as ITA 4.9</td>
<td>MMR</td>
</tr>
<tr>
<td>1.5</td>
<td>Not having been excluded in accordance with ITA 5.1</td>
<td>MMR</td>
</tr>
<tr>
<td></td>
<td>Result</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>HISTORICAL CONTRACT NON-PERFORMANCE</td>
<td></td>
</tr>
<tr>
<td></td>
<td>2.1 History of Non-Performing Contracts</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Non-performance of a contract did not occur within the last five (5) years prior to the deadline for application submission based on all information on fully settled disputes or litigation. A fully settled dispute or litigation is one that has been resolved in accordance with the Dispute Resolution Mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.</td>
<td>MMR</td>
</tr>
<tr>
<td></td>
<td>Result</td>
<td></td>
</tr>
</tbody>
</table>
## 2.2 Failure to Sign Contract

Not being under execution of a Bid Securing Declaration pursuant to Sub-Clause 4.10 for five (5) years

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>MMR</td>
<td>MMR</td>
<td>MMR</td>
</tr>
<tr>
<td>Result</td>
<td></td>
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</tr>
</tbody>
</table>

**Result**: P

## 2.3 Pending Litigation

All pending litigation shall in total not represent more than ten (10 %) of the Applicant’s net worth and shall be treated as resolved against the Applicant.

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>MMR</td>
<td>N/A</td>
<td>MMR</td>
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<td></td>
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<td></td>
</tr>
<tr>
<td>Result</td>
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<td></td>
</tr>
</tbody>
</table>

**Result**: P

## 3 FINANCIAL SITUATION

### 3.1 Financial Performance

Submission of audited balance sheets or if not required by the law of the Applicant’s country, other financial statements acceptable to the Employer, for the last five (5) years to demonstrate:

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>MMR</td>
<td>N/A</td>
<td>MMR</td>
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<tr>
<td></td>
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<td></td>
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<tr>
<td>Result</td>
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</tr>
</tbody>
</table>

**Result**: P

a. the current soundness of the applicant’s financial position and its prospective long term profitability (As a minimum, an Applicant’s net worth calculated as the difference between total assets and total liabilities should be positive in each of the last 3 years)

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>MMR</td>
<td>N/A</td>
<td>MMR</td>
</tr>
<tr>
<td></td>
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<tr>
<td>Result</td>
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</tr>
</tbody>
</table>

**Result**: P

b. capacity to have a cash flow amount of US$10 million.

The applicant should demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit, and other financial means sufficient to meet the amount stated above net of the Applicant’s commitments for other contracts

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
</tr>
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<tr>
<td>Result</td>
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</table>

**Result**: P
<table>
<thead>
<tr>
<th>Ref</th>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
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<tbody>
<tr>
<td></td>
<td></td>
<td>All Partners Combined</td>
<td>Each Partner</td>
<td>At Least One Partner</td>
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<tr>
<td></td>
<td></td>
<td>(MMR = must meet requirement)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3.2</td>
<td>Average Annual Construction Turnover</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Minimum average annual construction turnover of <strong>US$ 51 million</strong> calculated as total certified payments received for construction contracts in progress and / or completed, during the last <strong>five (5)</strong> years, divided by five years.</td>
<td>MMR</td>
<td>MMR</td>
<td>Must meet <strong>35%</strong> of the req’t</td>
</tr>
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</tr>
<tr>
<td>4</td>
<td>EXPERIENCE</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.1</td>
<td>General Construction Experience</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Experience under construction contracts in the role of contractor, subcontractor, or management contractor for at least the last <strong>five (5) years</strong> prior to the application submission deadline, and with activity in at least nine (9) months in each year.</td>
<td>MMR</td>
<td>N/A</td>
<td>MMR</td>
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<tr>
<td></td>
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</tr>
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<td>Single Entity</td>
<td>Joint Venture</td>
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</tr>
<tr>
<td></td>
<td></td>
<td>All Partners Combined</td>
<td>Each Partner</td>
<td>At Least One Partner</td>
</tr>
<tr>
<td></td>
<td></td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
</tr>
<tr>
<td>4.2</td>
<td>Specific Construction Experience</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.2a</td>
<td></td>
<td></td>
<td></td>
<td>None of the projects for which details are provided by Shahrukh are of the required value.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Neither of the projects for which details are provided by CLIC are within the last 5 years.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Clarification: Hydropower Station Expansion of Dahuofang Reservoir of Liaoning Province (October 2011 to September 2015). Main contractor Contract value US$65.1m No details of any second project of the required value within the last 5 years was provided so this still does not meet the requirement.</td>
</tr>
</tbody>
</table>

Result | F
<table>
<thead>
<tr>
<th>Ref</th>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>All Partners Combined</td>
<td>Each Partner</td>
<td>At Least One Partner</td>
</tr>
<tr>
<td>4.2b</td>
<td>For the above and any other contracts completed and under implementation as prime contractor, joint venture member, management contractor or sub-contractor in the last five (5) years prior to the application submission deadline: a minimum construction experience in the following key activities successfully completed:</td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
</tr>
<tr>
<td>(i)</td>
<td>The removal of existing gates and the fabrication and installation of five (5) steel gates with associated hoisting and operating equipment of a minimum span of 10m in 6 months.</td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
</tr>
<tr>
<td>(ii)</td>
<td>Execution of reinforced concrete works of at least 30,000 cft/month</td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
</tr>
<tr>
<td>(iii)</td>
<td>Constructing or rehabilitating an existing structure in flowing river water of 300,000 cusecs.</td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
</tr>
</tbody>
</table>

Form EXP-4.2(b) for Shahrukh has been filled in for SBIP/G3. Neither of the projects for which details are provided by CLIC are within the last 5 years.

Clarification: Hydropower Station Expansion of Dahuofang Reservoir of Liaoning Province (October 2011 to September 2015).

Result (P = Pass; F = Fail; NC = not clear): P
# PQ Application Evaluation Sheet

**Contract:**  
SBIP/G2 – Barrage Rehabilitation Works

<table>
<thead>
<tr>
<th><strong>Applicant Reference</strong></th>
<th>05</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Applicant Name:</strong></td>
<td>M/s AIQ JV</td>
</tr>
<tr>
<td><strong>Lead Partner (if a JV)</strong></td>
<td>M/s AGE Insaat ve Ticaret</td>
</tr>
</tbody>
</table>
| **Other Partners (If applicable)** | M/s ISIK Mihendislik Danismanlik Sanayi ve Ticaret Ltd Sti  
M/s Qalander Bux Abro & Company |

## Completeness of Application

<table>
<thead>
<tr>
<th><strong>Completeness of Application</strong></th>
<th><strong>Provided?</strong></th>
<th><strong>Comments</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Copies of Bid (ITA 15.2)</strong></td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>One original + 3 copies</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Readable CD with complete application as .doc AND .pdf file(s)</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td><strong>Application Forms</strong></td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Application Submission Form <em>(on company letter headed paper)</em></td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Application Information Form <strong>ELI-1.1</strong> <em>(and including required attachments)</em></td>
<td>No</td>
<td>Articles of Incorporation not provided for M/s Qalander Bux Abro. These were requested by letter. The response from the Applicant indicates they have been misplaced.</td>
</tr>
<tr>
<td>Applicant’s Party Information Form <em>(for all JV partners if applicable and including required attachments)</em> <strong>ELI-1.2</strong></td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Historical Contract Non-Performance <em>(for all JV partners if applicable)</em> <strong>CON-2</strong></td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Financial Situation <em>(for all JV partners if applicable and including required attachments)</em> <strong>FIN-3.1</strong></td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Average Annual Construction Turnover <em>(for all JV partners if applicable)</em> <strong>FIN-3.2</strong></td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>General Construction Experience <em>(for all JV partners if applicable)</em> <strong>EXP-4.1</strong></td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Similar Construction Experience <em>(for all JV partners if applicable)</em> <strong>EXP-4.2(a)</strong></td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Construction Experience in Key Activities <em>(for all JV partners if applicable)</em> <strong>EXP-4.2(b)</strong></td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>COMPLETENESS OF APPLICATION</td>
<td>Provided?</td>
<td>Comments</td>
</tr>
<tr>
<td>-------------------------------------------------------------------------------------------</td>
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<td>-------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td><strong>APPLICATION</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ADDITIONAL ITEMS AS ITA 11.1(d)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>If the application submission form is not signed by a partner of the company the managing</td>
<td>Yes</td>
<td>Application signed by Mr Dodo Khan Abro.</td>
</tr>
<tr>
<td>director or the chief executive officer of the applicant then the application shall include</td>
<td></td>
<td>Letter of Intent from AGE signed by General Manager authorizes Mr Dodo Khan Abro to act on their behalf.</td>
</tr>
<tr>
<td>a specific letter of authority to the signatory from a partner, the managing director or</td>
<td></td>
<td>Letter of Intent from ISIK signed by General Manager authorizes Mr Dodo Khan Abro to act on their behalf.</td>
</tr>
<tr>
<td>the chief executive officer including the signatures of both the partner, the managing</td>
<td></td>
<td>Letter of Intent from Qalandar Bux Abro signed by Chief Executive Officer authorizes Mr Dodo Khan Abro to act on their behalf.</td>
</tr>
<tr>
<td>director or the chief executive officer and the person receiving the authority</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>In the case of a JV, a letter from the managing director of each partner (that is not the</td>
<td>Yes</td>
<td>Letter of Intent from AGE signed by General Manager confirms intent to form JV.</td>
</tr>
<tr>
<td>lead partner) to the lead partner authorizing the lead partner to submit the application</td>
<td></td>
<td>Letter of Intent from ISIK signed by General Manager confirms intent to form JV.</td>
</tr>
<tr>
<td>on its behalf</td>
<td></td>
<td>Letter of Intent from Qalandar Bux Abro signed by Chief Executive Officer confirms intent to form JV.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Application submitted by M/s Qalander Bux rather than the lead partner of the JV.</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>The company’s Health and Safety Policy signed by the managing director or chairman of the</td>
<td>Yes</td>
<td>HSE Policy for AGE is signed by Atilla Onen as Chairman of the Executive Board.</td>
</tr>
<tr>
<td>company. (In the case of a JV this should be provided by each Partner.)</td>
<td></td>
<td>H&amp;S and Anti-corruption policy for ISIK is not signed.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Letter from QBA, signed by authorized representative indicating they will comply with the policies of AGE and ISIK.</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>The company’s Anti-Corruption Policy signed by the managing director or chairman of the</td>
<td>Yes</td>
<td>HSE Policy for AGE is signed by Atilla Onen as Chairman of the Executive Board.</td>
</tr>
<tr>
<td>company. (In the case of a JV this should be provided by each Partner.)</td>
<td></td>
<td>H&amp;S and Anti-corruption policy for ISIK is not signed.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Letter from QBA, signed by authorized representative indicating they will comply with the policies of AGE and ISIK.</td>
</tr>
<tr>
<td>Ref.</td>
<td>Requirement</td>
<td>Single Entity</td>
</tr>
<tr>
<td>------</td>
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<td>---------------</td>
</tr>
<tr>
<td></td>
<td></td>
<td>All Partners Combined</td>
</tr>
<tr>
<td>1</td>
<td>ELIGIBILITY</td>
<td>MMR</td>
</tr>
<tr>
<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1.1</td>
<td>Nationality in accordance with ITA 4.5</td>
<td>MMR</td>
</tr>
<tr>
<td>1.2</td>
<td>No conflict of interest in accordance with ITA 4.6</td>
<td>MMR</td>
</tr>
<tr>
<td>1.3</td>
<td>Not having been declared ineligible by the Bank, as described in ITA Sub-Clause 4.7 and 5.1</td>
<td>MMR</td>
</tr>
<tr>
<td>1.4</td>
<td>Government owned entity – requirements as ITA 4.9</td>
<td>MMR</td>
</tr>
<tr>
<td>1.5</td>
<td>Not having been excluded in accordance with ITA 5.1</td>
<td>MMR</td>
</tr>
<tr>
<td>Ref</td>
<td>Requirement</td>
<td>Single Entity</td>
</tr>
<tr>
<td>-----</td>
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<td>---------------</td>
</tr>
<tr>
<td></td>
<td></td>
<td>All Partners Combined</td>
</tr>
<tr>
<td>2</td>
<td>HISTORICAL CONTRACT NON-PERFORMANCE</td>
<td></td>
</tr>
<tr>
<td>2.1</td>
<td>History of Non-Performing Contracts</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Non-performance of a contract did not occur within the last five (5) years prior to the deadline for application submission based on all information on fully settled disputes or litigation. A fully settled dispute or litigation is one that has been resolved in accordance with the Dispute Resolution Mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.</td>
<td>MMR</td>
</tr>
<tr>
<td></td>
<td>Result</td>
<td></td>
</tr>
<tr>
<td>2.2</td>
<td>Failure to Sign Contract</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Not being under execution of a Bid Securing Declaration pursuant to Sub-Clause 4.10 for five (5) years</td>
<td>MMR</td>
</tr>
<tr>
<td></td>
<td>Result</td>
<td></td>
</tr>
<tr>
<td>2.3</td>
<td>Pending Litigation</td>
<td></td>
</tr>
<tr>
<td></td>
<td>All pending litigation shall in total not represent more than ten (10 %) of the Applicant’s net worth and shall be treated as resolved against the Applicant.</td>
<td>MMR</td>
</tr>
<tr>
<td></td>
<td>Result</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>FINANCIAL SITUATION</td>
<td></td>
</tr>
<tr>
<td>3.1</td>
<td>Financial Performance</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Submission of audited balance sheets or if not required by the law of the Applicant’s country, other financial statements acceptable to the Employer, for the last five (5) years to demonstrate:</td>
<td>MMR</td>
</tr>
<tr>
<td>Ref</td>
<td>Requirement</td>
<td>Single Entity</td>
</tr>
<tr>
<td>-----</td>
<td>------------------------------------------------------------------------------</td>
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</tr>
<tr>
<td></td>
<td></td>
<td>All Partners Combined</td>
</tr>
<tr>
<td></td>
<td></td>
<td>MMR</td>
</tr>
<tr>
<td></td>
<td>a. the current soundness of the applicant’s financial position and its prospective long term profitability (As a minimum, an Applicant’s net worth calculated as the difference between total assets and total liabilities should be positive in each of the last 3 years) and</td>
<td>MMR</td>
</tr>
<tr>
<td></td>
<td>b. capacity to have a cash flow amount of US$10 million.</td>
<td>MMR</td>
</tr>
<tr>
<td>3.2</td>
<td>Average Annual Construction Turnover</td>
<td>MMR</td>
</tr>
<tr>
<td>4</td>
<td>EXPERIENCE</td>
<td>MMR</td>
</tr>
<tr>
<td></td>
<td>4.1 General Construction Experience</td>
<td>MMR</td>
</tr>
<tr>
<td>Ref</td>
<td>Requirement</td>
<td>Single Entity</td>
</tr>
<tr>
<td>-----</td>
<td>-------------</td>
<td>---------------</td>
</tr>
<tr>
<td></td>
<td></td>
<td>All Partners Combined</td>
</tr>
<tr>
<td>4.2</td>
<td>Specific Construction Experience</td>
<td></td>
</tr>
<tr>
<td>4.2a</td>
<td>A minimum number of similar contracts specified below that have been satisfactorily and substantially completed as a prime contractor, joint venture member, management contractor or sub-contractor in the last five (5) years prior to the application submission deadline: <strong>either two contracts, each of minimum value US$ fifty-five (55) Million or one contract with a minimum value of US$ one hundred and ten (110) Million.</strong> The similarity shall be based on the physical size, complexity, methods / technology or other characteristics as described in Section VI, Scope of Works</td>
<td>MMR</td>
</tr>
</tbody>
</table>

AGE has demonstrated compliance with this requirement with the following project: Kavsak Bendi HEPP (July 2009 to April 2014)  
Contract value US$196,500,000  
Main contractor  
Completion certification provided

Result  
P

| 4.2b | For the above and any other contracts completed and under implementation as prime contractor, joint venture member, management contractor or sub-contractor in the last five (5) years prior to the application submission deadline: a minimum construction experience in the following key activities successfully completed: | - | - | - | - |

(i) The removal of existing gates and the fabrication and installation of five (5) steel gates with associated hoisting and operating equipment of a **minimum span of 10m in 6 months.**  

(ii) **Execution of reinforced concrete works of at least 30,000 cft/month.**  

(iii) **Constructing or rehabilitating an existing structure in flowing river water of 300,000 cusecs.**

AGE comments: Every dam and HEPP project covers the experience of "Construction in flowing river".  
300,000 cusecs is approximately 8,500 m³/s. As AGE we haven’t constructed any structure within the mentioned flow.

Result  
F
# PQ Application Evaluation Sheet

**Contract:** SBIP/G2 – Barrage Rehabilitation Works

<table>
<thead>
<tr>
<th>Applicant Reference</th>
<th>07</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Applicant Name:</strong></td>
<td>M/s CHEC - GHCB Joint Venture</td>
</tr>
<tr>
<td><strong>Lead Partner (if a JV):</strong></td>
<td>M/s China Harbour Engineering Company Limited 55%</td>
</tr>
<tr>
<td><strong>Other Partners (If applicable):</strong></td>
<td>M/s Guangxi Hydroelectric Construction Bureau 45%</td>
</tr>
</tbody>
</table>

## COMPLETENESS OF APPLICATION

<table>
<thead>
<tr>
<th>Provided?</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>COPIES OF BID (ITA 15.2)</strong></td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>One original + 3 copies</td>
<td>Yes</td>
</tr>
<tr>
<td>Readable CD with complete application as .doc AND .pdf file(s)</td>
<td>Yes</td>
</tr>
</tbody>
</table>

### APPLICATION FORMS

<table>
<thead>
<tr>
<th>Provided?</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Application Submission Form <em>(on company letter headed paper)</em></td>
<td>Yes</td>
</tr>
<tr>
<td>Application Information Form <strong>ELI-1.1</strong> (and including required attachments)</td>
<td>Yes</td>
</tr>
<tr>
<td>Applicant’s Party Information Form <em>(for all JV partners if applicable and including required attachments)</em> <strong>ELI-1.2</strong></td>
<td>Yes</td>
</tr>
<tr>
<td>Historical Contract Non-Performance <em>(for all JV partners if applicable)</em> <strong>CON-2</strong></td>
<td>Yes</td>
</tr>
<tr>
<td>Financial Situation <em>(for all JV partners if applicable and including required attachments)</em> <strong>FIN-3.1</strong></td>
<td>Yes</td>
</tr>
<tr>
<td>Average Annual Construction Turnover <em>(for all JV partners if applicable)</em> <strong>FIN-3.2</strong></td>
<td>Yes</td>
</tr>
<tr>
<td>General Construction Experience <em>(for all JV partners if applicable)</em> <strong>EXP-4.1</strong></td>
<td>Yes</td>
</tr>
<tr>
<td>Similar Construction Experience <em>(for all JV partners if applicable)</em> <strong>EXP-4.2(a)</strong></td>
<td>Yes</td>
</tr>
<tr>
<td>Construction Experience in Key Activities <em>(for all JV partners if applicable)</em> <strong>EXP-4.2(b)</strong></td>
<td>Yes</td>
</tr>
<tr>
<td>COMPLETENESS OF APPLICATION</td>
<td>Provided?</td>
</tr>
<tr>
<td>---------------------------------------------------------------------------------------------</td>
<td>-----------</td>
</tr>
<tr>
<td><strong>ADDITIONAL ITEMS AS ITA 11.1(d)</strong></td>
<td></td>
</tr>
<tr>
<td>If the application submission form is not signed by a partner of the company the managing</td>
<td>Yes</td>
</tr>
<tr>
<td>director or the chief executive officer of the applicant then the application shall include</td>
<td></td>
</tr>
<tr>
<td>a specific letter of authority to the signatory from a partner, the managing director or the</td>
<td>Yes</td>
</tr>
<tr>
<td>chief executive officer including the signatures of both the partner, the managing director or</td>
<td></td>
</tr>
<tr>
<td>the chief executive officer and the person receiving the authority</td>
<td></td>
</tr>
<tr>
<td>In the case of a JV, a letter from the managing director of each partner (that is not the</td>
<td>Yes</td>
</tr>
<tr>
<td>lead partner) to the lead partner authorizing the lead partner to submit the application on</td>
<td></td>
</tr>
<tr>
<td>its behalf</td>
<td></td>
</tr>
<tr>
<td>The company’s Health and Safety Policy signed by the managing director or chairman of the</td>
<td>Yes</td>
</tr>
<tr>
<td>company. (In the case of a JV this should be provided by each Partner.)</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>The company’s Anti-Corruption Policy signed by the managing director or chairman of the</td>
<td>Yes</td>
</tr>
<tr>
<td>company. (In the case of a JV this should be provided by each Partner.)</td>
<td></td>
</tr>
<tr>
<td>Ref</td>
<td>Requirement</td>
</tr>
<tr>
<td>-----</td>
<td>-------------</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>ELIGIBILITY</td>
</tr>
<tr>
<td>1.</td>
<td>Articles of incorporation or constitution of the legal entity named above, in accordance with ITA 4.5.</td>
</tr>
<tr>
<td>2</td>
<td>In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.2 (all parties shall be jointly and severally liable)</td>
</tr>
<tr>
<td>2.1</td>
<td>History of Non-Performing Contracts</td>
</tr>
<tr>
<td>2.2</td>
<td>Failure to Sign Contract</td>
</tr>
</tbody>
</table>
### 2.3 Pending Litigation

All pending litigation shall in total not represent more than ten (10%) of the Applicant's net worth and shall be treated as resolved against the Applicant.

<table>
<thead>
<tr>
<th>Ref</th>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>MMR</td>
<td>N/A</td>
<td>MMR</td>
<td>N/A</td>
<td>Both parties have completed CON-2 indicating they have no issues of pending litigation in the last five years. No signed supporting statements have been provided.</td>
</tr>
</tbody>
</table>

#### Result
P

### 3 FINANCIAL SITUATION

#### 3.1 Financial Performance

Submission of audited balance sheets or if not required by the law of the Applicant's country, other financial statements acceptable to the Employer, for the last five (5) years to demonstrate:

<table>
<thead>
<tr>
<th>Ref</th>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>MMR</td>
<td>N/A</td>
<td>MMR</td>
<td>N/A</td>
<td>Five years audited financial statements provided for both firms.</td>
</tr>
</tbody>
</table>

a. the current soundness of the applicant’s financial position and its prospective long term profitability (As a minimum, an Applicant’s net worth calculated as the difference between total assets and total liabilities should be positive in each of the last 3 years) and

<table>
<thead>
<tr>
<th>Ref</th>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>MMR</td>
<td>N/A</td>
<td>MMR</td>
<td>N/A</td>
<td>Net worth of both firms is positive in each of the last three years.</td>
</tr>
</tbody>
</table>

#### Result
P

b.capacity to have a cash flow amount of **US$10 million**.

The applicant should demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit, and other financial means sufficient to meet the amount stated above net of the Applicant’s commitments for other contracts

<table>
<thead>
<tr>
<th>Ref</th>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
<td>N/A</td>
<td>CHEC has provided a Letter of Bank Credit from Alfala Bank Branch extending a credit line of US$ 10 million specifically for SBIP/G2.</td>
</tr>
</tbody>
</table>

#### Result
P

### 3.2 Average Annual Construction Turnover

Minimum average annual construction turnover of **US$ 51 million** calculated as total certified payments received for construction contracts in progress and / or completed, during the last five (5) years, divided by five years.

<table>
<thead>
<tr>
<th>Ref</th>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>MMR</td>
<td>MMR</td>
<td>Must meet 35% of the req’t</td>
<td>Must meet 70% of the req’t</td>
<td>CHEC has an average annual construction turnover of US$ 3,277 million.</td>
</tr>
</tbody>
</table>

GHCB has an average annual construction turnover of US$ 339 million

#### Result
P
<table>
<thead>
<tr>
<th>Ref</th>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>All Partners Combined</td>
<td>Each Partner</td>
<td>At Least One Partner</td>
</tr>
<tr>
<td></td>
<td></td>
<td>MMR</td>
<td>N/A</td>
<td>MMR</td>
</tr>
<tr>
<td>4</td>
<td>EXPERIENCE</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.1</td>
<td>General Construction Experience</td>
<td></td>
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</tr>
<tr>
<td></td>
<td>Experience under construction contracts in the role of contractor, subcontractor, or management contractor for at least the last five (5) years prior to the application submission deadline, and with activity in at least nine (9) months in each year.</td>
<td>MMR</td>
<td>N/A</td>
<td>MMR</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Result</th>
<th>Overall Result (P = Pass; F = Fail; NC = not clear)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>P</td>
</tr>
</tbody>
</table>
4.2 Specific Construction Experience

4.2a A minimum number of similar contracts specified below that have been satisfactorily and substantially completed as a prime contractor, joint venture member, management contractor or subcontractor in the last five (5) years prior to the application submission deadline: either two contracts, each of minimum value US$ fifty-five (55) Million or one contract with a minimum value of US$ one hundred and ten (110) Million.

The similarity shall be based on the physical size, complexity, methods / technology or other characteristics as described in Section VI, Scope of Works

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<tr>
<td></td>
<td>All Partners Combined</td>
<td>Each Partner</td>
<td>At Least One Partner</td>
</tr>
<tr>
<td>4.2a</td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
</tr>
</tbody>
</table>

CHEC has demonstrated compliance with this requirement with the following projects:
1. Reconstruction and Extension of Funchun River Ship Lock Project (Oct 2012 – ongoing)
   Contract value US$101.9
   % completion not indicated

Clarification: indicates 85% completion. No supporting documents provided.

GHCB has demonstrated compliance with this requirement with the following projects:
1. Lomaum HPP rehabilitation project, Angola (June 2009 to December 2013)
   Contract value US$59.9 million
2. Nam Ngiep II HPP, Laos (March 2008 to Sept 2015)
   Contract value US$82.8 million
   Request documents to confirm completion date.

Clarification: completion certificates provided.

Result: P

4.2b For the above and any other contracts completed and under implementation as prime contractor, joint venture member, management contractor or sub-contractor in the last five (5) years prior to the application submission deadline: a minimum construction experience in the following key activities successfully completed:

(i) The removal of existing gates and the fabrication and installation of five (5) steel gates with associated hoisting and operating equipment of a minimum span of 10m in 6 months.

(ii) execution of reinforced concrete works of at least 30,000 cft/month

(iii) Constructing or rehabilitating an existing structure in flowing river water of 300,000 cusecs.

<table>
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<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
</tr>
</tbody>
</table>

Lomaum HPP rehabilitation project
8 gates of span 11m replaced. Rate of installation unclear.

Lomaum HPP rehabilitation project
46,200 m3 reinforced concrete placed in 53 months
Average rate >30,000 cft/month.

Reconstruction and Extension of Funchun River Ship Lock
Flowing river water > 300,000 cusecs.

Result: P
# PQ Application Evaluation Sheet

<table>
<thead>
<tr>
<th>Contract:</th>
<th>SBIP/G2 – Barrage Rehabilitation Works</th>
</tr>
</thead>
<tbody>
<tr>
<td>Applicant Reference</td>
<td>10</td>
</tr>
<tr>
<td>Applicant Name:</td>
<td>M/s JSC Trust Hydromontazh</td>
</tr>
<tr>
<td>Lead Partner (if a JV)</td>
<td>N/A</td>
</tr>
<tr>
<td>Other Partners (If applicable)</td>
<td>N/A</td>
</tr>
</tbody>
</table>

## Completeness of Application

<table>
<thead>
<tr>
<th>Provided?</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td></td>
</tr>
</tbody>
</table>

### Copies of Bid (ITA 15.2)

- One original + 3 copies: Yes
- Readable CD with complete application as .doc AND .pdf file(s): Yes

### Application Forms

- Application Submission Form *(on company letter headed paper)*: Yes
- Application Information Form ELI-1.1 *(and including required attachments)*: Yes
- Applicant’s Party Information Form *(for all JV partners if applicable and including required attachments)* ELI-1.2: Yes
- Financial Situation *(for all JV partners if applicable and including required attachments)* FIN-3.1: Yes
- Average Annual Construction Turnover *(for all JV partners if applicable)* FIN-3.2: Yes
- General Construction Experience *(for all JV partners if applicable)* EXP-4.1: Yes
- Similar Construction Experience *(for all JV partners if applicable)* EXP-4.2(a): Yes
- Construction Experience in Key Activities *(for all JV partners if applicable)* EXP-4.2(b): Yes
### Completeness of Application

<table>
<thead>
<tr>
<th>Provided?</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>Application signed by Mr Sergey Yu Yanson, General Director.</td>
</tr>
<tr>
<td>No</td>
<td>Authority of the above is not clear.</td>
</tr>
</tbody>
</table>

### Additional Items as ITA 11.1(d)

- If the application submission form is not signed by a partner of the company, the managing director or the chief executive officer of the applicant, then the application shall include a specific letter of authority to the signatory from a partner, the managing director or the chief executive officer including the signatures of both the partner, the managing director or the chief executive officer and the person receiving the authority.
  - Yes

- In the case of a JV, a letter from the managing director of each partner (that is not the lead partner) to the lead partner authorizing the lead partner to submit the application on its behalf.
  - N/A

- The company’s Health and Safety Policy signed by the managing director or chairman of the company. (In the case of a JV this should be provided by each partner.)
  - Yes

- The company’s Anti-Corruption Policy signed by the managing director or chairman of the company. (In the case of a JV this should be provided by each partner.)
  - Yes

### Requirement Table

<table>
<thead>
<tr>
<th>Ref</th>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
<th>Overall Result (P = Pass; F = Fail; NC = not clear)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>ELIGIBILITY</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>1. Articles of incorporation or constitution of the legal entity named above, in accordance with ITA 4.5.</td>
<td>MMR</td>
<td>MMR</td>
<td>MMR</td>
<td>Articles of incorporation provided.</td>
</tr>
<tr>
<td></td>
<td>2. In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.2 (all parties shall be jointly and severally liable.</td>
<td>N/A</td>
<td>MMR</td>
<td>N/A</td>
<td>N/A</td>
</tr>
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<td></td>
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<td>Single Entity</td>
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<td>Comments</td>
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<tr>
<td>-----</td>
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<td>---------------</td>
<td>--------------</td>
<td>----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>All Partners</td>
<td>At Least One Partner</td>
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<td></td>
</tr>
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<td></td>
<td>Combined</td>
<td>Partner</td>
<td></td>
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</tr>
<tr>
<td>1.1</td>
<td>Nationality in accordance ITA 4.5</td>
<td>MMR</td>
<td>MMR</td>
<td>Russia</td>
<td>P</td>
</tr>
<tr>
<td>1.2</td>
<td>No conflict of interest in accordance with ITA 4.6</td>
<td>MMR</td>
<td>MMR</td>
<td>No conflict of interest</td>
<td>P</td>
</tr>
<tr>
<td>1.3</td>
<td>Not having been declared ineligible by the Bank, as described in</td>
<td>MMR</td>
<td>MMR</td>
<td>Firm has not been declared ineligible by the World Bank (debar list checked on 12th January 2015).</td>
<td>P</td>
</tr>
<tr>
<td></td>
<td>ITA Sub-Clause 4.7 and 5.1</td>
<td></td>
<td>N/A</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1.4</td>
<td>Government owned entity –requirements as ITA 4.9</td>
<td>MMR</td>
<td>MMR</td>
<td>Privately owned company, not applicable.</td>
<td>P</td>
</tr>
<tr>
<td>1.5</td>
<td>Not having been excluded in accordance with ITA 5.1</td>
<td>MMR</td>
<td>MMR</td>
<td>Not excluded by the Employer.</td>
<td>P</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>N/A</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>HISTORICAL CONTRACT NON-PERFORMANCE</td>
<td></td>
<td></td>
<td></td>
<td>P</td>
</tr>
<tr>
<td>2.1</td>
<td>History of Non-Performing Contracts</td>
<td></td>
<td></td>
<td>Form CON-2 indicates firm have had no issues of non-performance in the last five years. Signed by Mr Sergey Yu Yanson, General Director.</td>
<td>P</td>
</tr>
<tr>
<td></td>
<td>Non-performance of a contract did not occur within the last five (5) years</td>
<td>MMR</td>
<td>MMR</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>prior to the deadline for application submission based on all information on</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>fully settled disputes or litigation. A fully settled dispute or litigation</td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td></td>
<td>is one that has been resolved in accordance with the Dispute Resolution</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Mechanism under the respective contract and where all appeal instances</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>available to the applicant have been exhausted.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>N/A</td>
<td>MMR</td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>2.2</td>
<td>Failure to Sign Contract</td>
<td></td>
<td></td>
<td></td>
<td>P</td>
</tr>
<tr>
<td></td>
<td>Not being under execution of a Bid Securing Declaration pursuant to Sub-Cla</td>
<td>MMR</td>
<td>MMR</td>
<td></td>
<td>P</td>
</tr>
<tr>
<td></td>
<td>use 4.10 for five (5) years</td>
<td></td>
<td>N/A</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.3</td>
<td>Pending Litigation</td>
<td></td>
<td></td>
<td>Form CON-2 indicates firm has no pending litigation in the last five years. Signed by Mr Sergey Yu Yanson, General Director.</td>
<td>P</td>
</tr>
<tr>
<td></td>
<td>All pending litigation shall in total not represent more than ten</td>
<td>MMR</td>
<td>MMR</td>
<td></td>
<td>P</td>
</tr>
<tr>
<td></td>
<td>(10 %) of the Applicant’s net worth and shall be treated as resolved</td>
<td></td>
<td>N/A</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>against the Applicant.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>N/A</td>
<td>MMR</td>
<td></td>
<td></td>
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</table>

G2 Evaluation Sheet - Applicant 10
<table>
<thead>
<tr>
<th>Ref</th>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
<th>Overall Result (P = Pass; F = Fail; NC = not clear)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>All Partners Combined</td>
<td>Each Partner</td>
<td>At Least One Partner</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
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</tr>
<tr>
<td>3</td>
<td>FINANCIAL SITUATION</td>
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</tr>
<tr>
<td>3.1</td>
<td>Financial Performance</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Submission of audited balance sheets or if not required by the law of the Applicant’s country, other financial statements acceptable to the Employer, for the last five (5) years to demonstrate:</td>
<td>MMR</td>
<td>N/A</td>
<td>MMR</td>
<td>N/A Five years audited financial statements provided. P</td>
</tr>
<tr>
<td>3.1</td>
<td>Financial Performance</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>a. the current soundness of the applicant’s financial position and its prospective long term profitability (As a minimum, an Applicant’s net worth calculated as the difference between total assets and total liabilities should be positive in each of the last 3 years) and</td>
<td>MMR</td>
<td>N/A</td>
<td>MMR</td>
<td>N/A Net worth is positive in each of the last three years. P</td>
</tr>
<tr>
<td></td>
<td>b. capacity to have a cash flow amount of US$10 million.</td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
<td>N/A Firm’s cash flow from operating activities is sufficient to meet this requirement. Min. US$172 million in last five years. P</td>
</tr>
<tr>
<td>3.2</td>
<td>Average Annual Construction Turnover</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Minimum average annual construction turnover of US$ 51 million calculated as total certified payments received for construction contracts in progress and / or completed, during the last five (5) years, divided by five years.</td>
<td>MMR</td>
<td>MMR</td>
<td>Must meet 35% of the req’t</td>
<td>Must meet 70% of the req’t</td>
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</table>

G2 Evaluation Sheet - Applicant 10
<table>
<thead>
<tr>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>4 EXPERIENCE</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.1 General Construction Experience</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Experience under construction contracts in the role of contractor, subcontractor, or management contractor for at least the last five (5) years prior to the application submission deadline, and with activity in at least nine (9) months in each year.</td>
<td>MMR</td>
<td>N/A</td>
<td>MMR</td>
</tr>
<tr>
<td>Result</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.2 Specific Construction Experience</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.2a A minimum number of similar contracts specified below that have been satisfactorily and substantially completed as a prime contractor, joint venture member, management contractor or subcontractor in the last five (5) years prior to the application submission deadline: either two contracts, each of minimum value US$ fifty-five (55) Million or one contract with a minimum value of US$ one hundred and ten (110) Million. The similarity shall be based on the physical size, complexity, methods / technology or other characteristics as described in Section VI, Scope of Works</td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
</tr>
<tr>
<td>Result</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ref</td>
<td>Requirement</td>
<td>Single Entity</td>
<td>Joint Venture</td>
</tr>
<tr>
<td>-----</td>
<td>-------------</td>
<td>---------------</td>
<td>---------------</td>
</tr>
<tr>
<td></td>
<td></td>
<td>MMR</td>
<td>MMR</td>
</tr>
<tr>
<td>4.2b</td>
<td>For the above and any other contracts completed and under implementation as prime contractor, joint venture member, management contractor or sub-contractor in the last five (5) years prior to the application submission deadline: a minimum construction experience in the following key activities successfully completed:</td>
<td>(MMR = must meet requirement)</td>
<td></td>
</tr>
</tbody>
</table>
|     | (i) The removal of existing gates and the fabrication and installation of five (5) steel gates with associated hoisting and operating equipment of a minimum span of 10m in 6 months. | MMR | MMR | N/A
|     | | | | N/A |
|     | | | | Rehabilitation of Zhigulevskaya HPP JSC Trust Hydromontazh was the Main Contractor. 3 gates of span 20m replaced in 6 months from March to August 2013. |
|     | | | | Rehabilitation of Boguchanskaya HPP JSC Trust Hydromontazh was the Main Contractor. 2 gates of span 10m in 6 months from March to August 2013. |
|     | | | | Performance certificate provided for second project. First is still under construction. |
|     | | | | Clarification: commissioning certificates provided. |
|     | (ii) execution of reinforced concrete works of at least 30,000 cft/month | MMR | MMR | N/A
|     | | | | N/A |
|     | | | | Nizhne-Bureiskaya HPP JSC Trust Hydromontazh was the Main Contractor. 440,500m3 reinforced concrete poured in 30 months at average rate of 14,683m3 per month (approx. 500,000 cuft per month). |
|     | | | | Performance certificate provided which confirms quantities and time period. |
|     | (iii) Constructing or rehabilitating an existing structure in flowing river water of 300,000 cusecs. | MMR | MMR | N/A
|     | | | | N/A |
|     | | | | Rehabilitation of Boguchanskaya HPP JSC Trust Hydromontazh was the Main Contractor. |
|     | | | | Flow in river up to 13,930 m3/s (approx. 480,000 cusecs) Performance certificate provided. Confirms spillway capacity in excess of 300,000 cusecs. |

Result: P
## PQ Application Evaluation Sheet

<table>
<thead>
<tr>
<th>Contract:</th>
<th>SBIP/G2 – Barrage Rehabilitation Works</th>
</tr>
</thead>
<tbody>
<tr>
<td>Applicant Reference</td>
<td>11</td>
</tr>
<tr>
<td>Applicant Name:</td>
<td>M/s Sinotec - ZZHPC Joint Venture</td>
</tr>
<tr>
<td>Lead Partner (if a JV)</td>
<td>M/s Sinotec Co Ltd</td>
</tr>
<tr>
<td>Other Partners (If applicable)</td>
<td>M/s Zhejiang Zhang Bang Hydroelectric Power Construction Corporation Ltd</td>
</tr>
</tbody>
</table>

### COMPLETENESS OF APPLICATION

<table>
<thead>
<tr>
<th>Provided?</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>No</td>
</tr>
</tbody>
</table>

#### COPIES OF BID (ITA 15,2)

- One original + 3 copies | Yes
- Readable CD with complete application as .doc AND .pdf file(s) | Yes

#### APPLICATION FORMS

<table>
<thead>
<tr>
<th>Application Form</th>
<th>Provided?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>Application Submission Form (on company letter headed paper)</td>
<td>Yes</td>
</tr>
<tr>
<td>Application Information Form ELI-1.1 (and including required attachments)</td>
<td>Yes</td>
</tr>
<tr>
<td>Applicant’s Party Information Form (for all JV partners if applicable and including required attachments) ELI-1.2</td>
<td>Yes</td>
</tr>
<tr>
<td>Historical Contract Non-Performance (for all JV partners if applicable) CON-2</td>
<td>Yes</td>
</tr>
<tr>
<td>Financial Situation (for all JV partners if applicable and including required attachments) FIN-3.1</td>
<td>Yes</td>
</tr>
<tr>
<td>Average Annual Construction Turnover (for all JV partners if applicable) FIN-3.2</td>
<td>Yes</td>
</tr>
<tr>
<td>General Construction Experience (for all JV partners if applicable) EXP-4.1</td>
<td>Yes</td>
</tr>
<tr>
<td>Similar Construction Experience (for all JV partners if applicable) EXP-4.2(a)</td>
<td>Yes</td>
</tr>
<tr>
<td>Construction Experience in Key Activities (for all JV partners if applicable) EXP-4.2(b)</td>
<td>Yes</td>
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</tbody>
</table>
### COMPLETENESS OF APPLICATION

<table>
<thead>
<tr>
<th>Provided?</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>Application signed by Mr Song Shuangping.</td>
</tr>
<tr>
<td>No</td>
<td>POA authorizing Mr Song Shuangping signed by Mr Jin Guangming, Chairman of Sinotec and Mr Yang Yong, Chairman of ZZHPC</td>
</tr>
</tbody>
</table>

### ADDITIONAL ITEMS AS ITA 11.1(d)

If the application submission form is not signed by a partner of the company, the managing director or the chief executive officer of the applicant, then the application shall include a specific letter of authority to the signatory from a partner, the managing director or the chief executive officer including the signatures of both the partner, the managing director or the chief executive officer and the person receiving the authority.

<table>
<thead>
<tr>
<th>Provided?</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>POA from authorizing Sinotec to lead the consortium signed by Mr Jin Guangming, Chairman of Sinotec and Mr Yang Yong, Chairman of ZZHPC</td>
</tr>
</tbody>
</table>

In the case of a JV, a letter from the managing director of each partner (that is not the lead partner) to the lead partner authorizing the lead partner to submit the application on its behalf.

<table>
<thead>
<tr>
<th>Provided?</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>HSE Plan provided for the consortium but is not signed.</td>
</tr>
</tbody>
</table>

The company’s Health and Safety Policy signed by the managing director or chairman of the company. (In the case of a JV this should be provided by each Partner.)

<table>
<thead>
<tr>
<th>Provided?</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>Anti-corruption policy and guide provided by Sinotec is signed by the Chairman.</td>
</tr>
</tbody>
</table>

The company’s Anti-Corruption Policy signed by the managing director or chairman of the company. (In the case of a JV this should be provided by each Partner.)

<table>
<thead>
<tr>
<th>Provided?</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>Anti-corruption policy and guide provided by ZZHPC is signed by the Chairman.</td>
</tr>
</tbody>
</table>

---

### ELIGIBILITY

<table>
<thead>
<tr>
<th>Ref</th>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1. Articles of incorporation or constitution of the legal entity named above, in accordance with ITA 4.5.</td>
<td>MMR</td>
<td>MMR</td>
<td>Articles of association provided for Sinotec with accompanying certificate from the China Council for the Promotion of International Trade, China Chamber of Commerce. Business license for ZZHPC provided.</td>
</tr>
<tr>
<td></td>
<td>2. In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.2 (all parties shall be jointly and severally liable.</td>
<td>N/A</td>
<td>MMR</td>
<td>Pre-bid consortium agreement provided. Indicates Joint and Several liability (Clause 2.4). Signed by Mr Jin Guangming, Chairman of Sinotec and Mr Yang Yong, Chairman of ZZHPC</td>
</tr>
<tr>
<td></td>
<td>Result</td>
<td></td>
<td></td>
<td>P</td>
</tr>
</tbody>
</table>

(P = Pass; F = Fail; NC = not clear)
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<th>Comments</th>
<th>Overall Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.1</td>
<td>Nationality in accordance ITA 4.5</td>
<td>MMR</td>
<td>MMR</td>
<td>MMR N/A China / China</td>
<td>P</td>
</tr>
<tr>
<td>1.2</td>
<td>No conflict of interest in accordance with ITA 4.6</td>
<td>MMR</td>
<td>MMR</td>
<td>MMR N/A No conflict of interest</td>
<td>P</td>
</tr>
<tr>
<td>1.3</td>
<td>Not having been declared ineligible by the Bank, as described in ITA Sub-Clause 4.7 and 5.1</td>
<td>MMR</td>
<td>MMR</td>
<td>MMR N/A Neither firm has been declared ineligible by the World Bank (debar list checked on 12th January 2015).</td>
<td>P</td>
</tr>
<tr>
<td>1.4</td>
<td>Government owned entity –requirements as ITA 4.9</td>
<td>MMR</td>
<td>MMR</td>
<td>MMR N/A Chinese based firms, not applicable.</td>
<td>P</td>
</tr>
<tr>
<td>1.5</td>
<td>Not having been excluded in accordance with ITA 5.1</td>
<td>MMR</td>
<td>MMR</td>
<td>MMR N/A Not excluded by the Employer.</td>
<td>P</td>
</tr>
<tr>
<td></td>
<td>Result</td>
<td></td>
<td></td>
<td></td>
<td>P</td>
</tr>
</tbody>
</table>

### 2 HISTORICAL CONTRACT NON-PERFORMANCE

#### 2.1 History of Non-Performing Contracts

Non-performance of a contract did not occur within the last five (5) years prior to the deadline for application submission based on all information on fully settled disputes or litigation. A fully settled dispute or litigation is one that has been resolved in accordance with the Dispute Resolution Mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

Both Sinotec and ZZHPC have completed CON-2 indicating they have had no issues of non-performance in the last five years. Neither have provided supporting signed statements to this effect.

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
<th>Overall Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-performance of a contract did not occur within the last five (5) years prior to the deadline for application submission based on all information on fully settled disputes or litigation. A fully settled dispute or litigation is one that has been resolved in accordance with the Dispute Resolution Mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.</td>
<td>MMR</td>
<td>N/A</td>
<td>MMR N/A</td>
<td>P</td>
</tr>
<tr>
<td>Result</td>
<td></td>
<td></td>
<td></td>
<td>P</td>
</tr>
</tbody>
</table>

#### 2.2 Failure to Sign Contract

Not being under execution of a Bid Securing Declaration pursuant to Sub-Clause 4.10 for five (5) years

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
<th>Overall Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>Not being under execution of a Bid Securing Declaration pursuant to Sub-Clause 4.10 for five (5) years</td>
<td>MMR</td>
<td>MMR</td>
<td>MMR N/A</td>
<td>P</td>
</tr>
<tr>
<td>Result</td>
<td></td>
<td></td>
<td></td>
<td>P</td>
</tr>
</tbody>
</table>

#### 2.3 Pending Litigation

All pending litigation shall in total not represent more than ten (10 %) of the Applicant’s net worth and shall be treated as resolved against the Applicant.

Both Sinotec and ZZHPC have completed CON-2 indicating they have had no pending litigation in the last five years. Neither have provided supporting signed statements to this effect.

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
<th>Overall Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>All pending litigation shall in total not represent more than ten (10 %) of the Applicant’s net worth and shall be treated as resolved against the Applicant.</td>
<td>MMR</td>
<td>N/A</td>
<td>MMR N/A</td>
<td>P</td>
</tr>
<tr>
<td>Result</td>
<td></td>
<td></td>
<td></td>
<td>P</td>
</tr>
</tbody>
</table>
### 3 FINANCIAL SITUATION

#### 3.1 Financial Performance

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
<th>Overall Result</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>P</td>
</tr>
<tr>
<td>Submission of audited balance sheets or if not required by the law of the Applicant’s country, other financial statements acceptable to the Employer, for the last five (5) years to demonstrate:</td>
<td>MMR</td>
<td>N/A</td>
<td>MMR</td>
<td>N/A</td>
</tr>
<tr>
<td>a. the current soundness of the applicant’s financial position and its prospective long term profitability (As a minimum, an Applicant’s net worth calculated as the difference between total assets and total liabilities should be positive in each of the last 3 years) and</td>
<td>MMR</td>
<td>N/A</td>
<td>MMR</td>
<td>N/A</td>
</tr>
<tr>
<td>b. capacity to have a cash flow amount of US$10 million.</td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
<td>N/A</td>
</tr>
</tbody>
</table>

#### 3.2 Average Annual Construction Turnover

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
<th>Overall Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>Minimum average annual construction turnover of US$ 51 million calculated as total certified payments received for construction contracts in progress and / or completed, during the last five (5) years, divided by five years.</td>
<td>MMR</td>
<td>MMR</td>
<td>Must meet 35% of the req’t</td>
<td>Must meet 70% of the req’t</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Result</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>P</td>
</tr>
<tr>
<td>Ref</td>
<td>Requirement</td>
</tr>
<tr>
<td>-----</td>
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</tr>
<tr>
<td>4</td>
<td>EXPERIENCE</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>4.1</td>
<td>General Construction Experience</td>
</tr>
<tr>
<td></td>
<td>Experience under construction contracts in the role of contractor, subcontractor, or management contractor for at least the last five (5) years prior to the application submission deadline, and with activity in at least nine (9) months in each year.</td>
</tr>
<tr>
<td>4.2</td>
<td>Specific Construction Experience</td>
</tr>
<tr>
<td>4.2a</td>
<td>A minimum number of similar contracts specified below that have been satisfactorily and substantially completed as a prime contractor, joint venture member, management contractor or subcontractor in the last five (5) years prior to the application submission deadline: either two contracts, each of minimum value US$ fifty-five (55) Million or one contract with a minimum value of US$ one hundred and ten (110) Million. The similarity shall be based on the physical size, complexity, methods / technology or other characteristics as described in Section VI, Scope of Works</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>Ref</td>
<td>Requirement</td>
</tr>
<tr>
<td>-----</td>
<td>-------------</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>4.2b</td>
<td>For the above and any other contracts completed and under implementation as prime contractor, joint venture member, management contractor or sub-contractor in the last five (5) years prior to the application submission deadline: a minimum construction experience in the following key activities successfully completed:</td>
</tr>
<tr>
<td></td>
<td>(i) The removal of existing gates and the fabrication and installation of five (5) steel gates with associated hoisting and operating equipment of a minimum span of 10m in 6 months.</td>
</tr>
<tr>
<td></td>
<td>Xin Jiang Aletai Kesaiyi Hydropower Station (Sept 2011 to March 2015)</td>
</tr>
</tbody>
</table>

|     | (ii) execution of reinforced concrete works of at least 30,000 cft/month | MMR | MMR | N/A | N/A |
|     | Xin Jiang Aletai Kesaiyi Hydropower Station (Sept 2011 to March 2015) | ZZHPC is the Main Contractor | 147,260 m³ concrete poured in 42 months at average rate of 3506 m³ per month (approx. 120,000 cu ft pe month) | Copy of contract agreement provided including principal quantities | Completion certificate provided | P |

|     | (iii) Constructing or rehabilitating an existing structure in flowing river water of 300,000 cusecs. | MMR | MMR | N/A | N/A |
|     | Xin Jiang Aletai Kesaiyi Hydropower Station (Sept 2011 to March 2015) | ZZHPC is the Main Contractor | Annual average flow: 389,579 cusecs | Minimum flow: 325,389 cusecs | Maximum flow: 453,794 cusecs | P |
|     | Xin Jiang Aletai Kesaiyi Hydropower Station (Sept 2011 to March 2015) | ZZHPC is the Main Contractor | | | |

| Result | **P** |
PQ Application Evaluation Sheet

<table>
<thead>
<tr>
<th>Contract:</th>
<th>SBIP/G2 – Barrage Rehabilitation Works</th>
</tr>
</thead>
<tbody>
<tr>
<td>Applicant Reference</td>
<td>16</td>
</tr>
<tr>
<td>Applicant Name:</td>
<td>M/s Zarukbezhvodstroy PC</td>
</tr>
<tr>
<td>Lead Partner (if a JV)</td>
<td>N/A</td>
</tr>
<tr>
<td>Other Partners (If applicable)</td>
<td>N/A</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>COMPLETENESS OF APPLICATION</th>
<th>Provided?</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>COPIES OF BID (ITA 15,2)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>One original + 3 copies</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Readable CD with complete application as .doc AND .pdf file(s)</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td><strong>APPLICATION FORMS</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Application Submission Form (on company letter headed paper)</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Application Information Form ELI-1.1 (and including required attachments)</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Applicant’s Party Information Form (for all JV partners if applicable and including required attachments) ELI-1.2</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Historical Contract Non-Performance (for all JV partners if applicable) CON-2</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Financial Situation (for all JV partners if applicable and including required attachments) FIN-3.1</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Average Annual Construction Turnover (for all JV partners if applicable) FIN-3.2</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>General Construction Experience (for all JV partners if applicable) EXP-4.1</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Similar Construction Experience (for all JV partners if applicable) EXP-4.2(a)</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Construction Experience in Key Activities (for all JV partners if applicable) EXP-4.2(b)</td>
<td>Yes</td>
<td></td>
</tr>
</tbody>
</table>
## COMPLETENESS OF APPLICATION

<table>
<thead>
<tr>
<th>Provided?</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Yes</strong></td>
<td></td>
</tr>
</tbody>
</table>

### ADDITIONAL ITEMS AS ITA 11.1(d)

- **If the application submission form is not signed by a partner of the company, the managing director or the chief executive officer of the applicant, then the application shall include a specific letter of authority to the signatory from a partner, the managing director or the chief executive officer including the signatures of both the partner, the managing director or the chief executive officer and the person receiving the authority.**
  - **Yes**  
    - Application signed by Mr Evgeny Gudzenchuk, General Director.

- **In the case of a JV, a letter from the managing director of each partner (that is not the lead partner) to the lead partner authorizing the lead partner to submit the application on its behalf.**
  - **N/A**

- **The company’s Health and Safety Policy signed by the managing director or chairman of the company. (In the case of a JV this should be provided by each Partner.)**
  - **Yes**  
    - H&S Policy signed by Mr Evgeny Gudzenchuk, General Director.

- **The company’s Anti-Corruption Policy signed by the managing director or chairman of the company. (In the case of a JV this should be provided by each Partner.)**
  - **Yes**  
    - H&S Policy signed by Mr Evgeny Gudzenchuk, General Director.

### Eligibility

<table>
<thead>
<tr>
<th>Ref</th>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
<th>Overall Result (P = Pass; F = Fail; NC = not clear)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>ELIGIBILITY</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>1. Articles of incorporation or constitution of the legal entity named above, in accordance with ITA 4.5.</td>
<td>MMR</td>
<td>MMR</td>
<td>MMR</td>
<td>Articles of incorporation provided.</td>
</tr>
<tr>
<td></td>
<td>2. In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.2 (all parties shall be jointly and severally liable,</td>
<td>N/A</td>
<td>MMR</td>
<td>N/A</td>
<td></td>
</tr>
</tbody>
</table>

**Result:** P
<table>
<thead>
<tr>
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<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
<th>Overall Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.1</td>
<td>Nationality in accordance ITA 4.5</td>
<td>MMR</td>
<td>MMR</td>
<td>MMR N/A Russia</td>
<td>P</td>
</tr>
<tr>
<td>1.2</td>
<td>No conflict of interest in accordance with ITA 4.6</td>
<td>MMR</td>
<td>MMR</td>
<td>MMR N/A No conflict of interest</td>
<td>P</td>
</tr>
<tr>
<td>1.3</td>
<td>Not having been declared ineligible by the Bank, as described in ITA Sub-Clause 4.7 and 5.1</td>
<td>MMR</td>
<td>MMR</td>
<td>MMR N/A Firm has not been declared ineligible by the World Bank (debar list checked on 12\textsuperscript{th} January 2015).</td>
<td>P</td>
</tr>
<tr>
<td>1.4</td>
<td>Government owned entity –requirements as ITA 4.9</td>
<td>MMR</td>
<td>MMR</td>
<td>MMR N/A Privately owned company, not applicable.</td>
<td>P</td>
</tr>
<tr>
<td>1.5</td>
<td>Not having been excluded in accordance with ITA 5.1</td>
<td>MMR</td>
<td>MMR</td>
<td>MMR N/A Not excluded by the Employer.</td>
<td>P</td>
</tr>
</tbody>
</table>

## 2 HISTORICAL CONTRACT NON-PERFORMANCE

### 2.1 History of Non-Performing Contracts

Non-performance of a contract did not occur within the last five (5) years prior to the deadline for application submission based on all information on fully settled disputes or litigation. A fully settled dispute or litigation is one that has been resolved in accordance with the Dispute Resolution Mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
<th>Overall Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>2.1</td>
<td>MMR</td>
<td>MMR N/A</td>
<td>MMR N/A</td>
<td>Form CON-2 indicates firm have had no issues of non-performance in the last five years. Signed by Mr Evgeny Gudzenchuk, General Director.</td>
</tr>
</tbody>
</table>

### 2.2 Failure to Sign Contract

Not being under execution of a Bid Securing Declaration pursuant to Sub-Clause 4.10 for five (5) years

<table>
<thead>
<tr>
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<th>Joint Venture</th>
<th>Comments</th>
<th>Overall Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>2.2</td>
<td>MMR</td>
<td>MMR</td>
<td>MMR N/A</td>
<td>Form CON-2 indicates firm has no pending litigation in the last five years. Signed by Mr Evgeny Gudzenchuk, General Director.</td>
</tr>
</tbody>
</table>

### 2.3 Pending Litigation

All pending litigation shall in total not represent more than ten (10 \%) of the Applicant’s net worth and shall be treated as resolved against the Applicant.

<table>
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<tr>
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<th>Joint Venture</th>
<th>Comments</th>
<th>Overall Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>2.3</td>
<td>MMR</td>
<td>MMR N/A</td>
<td>MMR N/A</td>
<td>Form CON-2 indicates firm has no pending litigation in the last five years. Signed by Mr Evgeny Gudzenchuk, General Director.</td>
</tr>
</tbody>
</table>
## FINANCIAL SITUATION

### 3.1 Financial Performance

<table>
<thead>
<tr>
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<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
<th>Overall Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>Submission of audited balance sheets or if not required by the law of the</td>
<td>MMR</td>
<td>MMR</td>
<td>Five years audited financial statements provided.</td>
<td>P</td>
</tr>
<tr>
<td>Applicant’s country, other financial statements acceptable to the Employer,</td>
<td>N/A</td>
<td>N/A</td>
<td></td>
<td></td>
</tr>
<tr>
<td>for the last five (5) years to demonstrate:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a. the current soundness of the applicant’s financial position and its</td>
<td>MMR</td>
<td>MMR</td>
<td>Net worth is positive in each of the last three years.</td>
<td>P</td>
</tr>
<tr>
<td>prospective long term profitability (As a minimum, an Applicant’s net</td>
<td>N/A</td>
<td>N/A</td>
<td></td>
<td></td>
</tr>
<tr>
<td>worth calculated as the difference between total assets and total</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>liabilities should be positive in each of the last 3 years) and</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b. capacity to have a cash flow amount of US$10 million.</td>
<td>MMR</td>
<td>MMR</td>
<td>Letter from Intercommerz Bank, dated 5th August 2015, indicates a credit</td>
<td>P</td>
</tr>
<tr>
<td></td>
<td>N/A</td>
<td>N/A</td>
<td>line of US$50 million valid to 30th December 2018.</td>
<td></td>
</tr>
<tr>
<td></td>
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</tr>
<tr>
<td>3.2 Average Annual Construction Turnover</td>
<td>MMR</td>
<td>MMR</td>
<td>Average annual construction turnover is US$108.2 million.</td>
<td>P</td>
</tr>
<tr>
<td>Minimum average annual construction turnover of US$ 51 million calculated</td>
<td>MMR</td>
<td>MMR</td>
<td></td>
<td></td>
</tr>
<tr>
<td>as total certified payments received for construction contracts in</td>
<td></td>
<td>Must meet</td>
<td></td>
<td></td>
</tr>
<tr>
<td>progress and / or completed, during the last five (5) years, divided by</td>
<td></td>
<td>35% of the</td>
<td></td>
<td></td>
</tr>
<tr>
<td>five years.</td>
<td></td>
<td>req’t</td>
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<tr>
<td></td>
<td></td>
<td>Must meet 70% of the req’t</td>
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</tr>
<tr>
<td>Ref</td>
<td>Requirement</td>
<td>Single Entity</td>
<td>Joint Venture</td>
<td>Comments</td>
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<td>-----</td>
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</tr>
<tr>
<td>4</td>
<td>EXPERIENCE</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>4.1 General Construction Experience</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Experience under construction contracts in the role of contractor, subcontractor, or management contractor for at least the last five (5) years prior to the application submission deadline, and with activity in at least nine (9) months in each year.</td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
</tr>
<tr>
<td>4.2</td>
<td>Specific Construction Experience</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>4.2a A minimum number of similar contracts specified below that have been satisfactorily and substantially completed as a prime contractor, joint venture member, management contractor or subcontractor in the last five (5) years prior to the application submission deadline: either two contracts, each of minimum value US$ fifty-five (55) Million or one contract with a minimum value of US$ one hundred and ten (110) Million. The similarity shall be based on the physical size, complexity, methods / technology or other characteristics as described in Section VI, Scope of Works</td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
</tr>
</tbody>
</table>
### Requirement 4.2b

For the above and any other contracts completed and under implementation as prime contractor, joint venture member, management contractor or sub-contractor in the last five (5) years prior to the application submission deadline: a minimum construction experience in the following key activities successfully completed:

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>(i) The removal of existing gates and the fabrication and installation of five (5) steel gates with associated hoisting and operating equipment of a minimum span of 10m in 6 months.</td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
</tr>
<tr>
<td>(ii) Execution of reinforced concrete works of at least 30,000 cft/month</td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
</tr>
<tr>
<td>(iii) Constructing or rehabilitating an existing structure in flowing river water of 300,000 cusecs.</td>
<td>MMR</td>
<td>MMR</td>
<td>N/A</td>
</tr>
</tbody>
</table>

**Overall Result**

P = Pass; F = Fail; NC = not clear