Rehabilitation and Modernization of Sukkur Barrage
Additional Financing Sindh Barrages Improvement Project
IDA Credit 62420

Prequalification Document

for the Procurement of

Package 1: Restoration and Upgradation of Sukkur Barrage

RFB NO: PK-AFOF SBIP-98651-CW-RFB

Contract No. SBIP/S1

Project: Sindh Barrages Improvement Project
Employer: Project Director, Sindh Barrages Improvement Project
Country: Islamic Republic of Pakistan
Issued Date: October-2019
SPECIFIC PROCUREMENT NOTICE

Invitation for Prequalification

Country: Islamic Republic of Pakistan

Name of Project: Sindh Barrages Improvement Project
Rehabilitation and Modernization of Sukkur Barrage

Contract Title: Package 1: Restoration and Upgradation of Sukkur Barrage

Contract No. SBIP/S1

RFB NO: PK-AFOF SBIP-98651-CW-RFB

Sector: _______________________________________________________

Loan No./Credit No./Grant No.: 62420PAK

Prequalification Reference No.: SBIP/S1

1. The Islamic Republic of Pakistan has received financing from the World Bank toward the cost of the Sindh Barrages Improvement Project for Rehabilitation and Modernization of Sukkur Barrage and intends to apply part of the proceeds toward payments under the contract for Package-1: Restoration and Upgradation of Sukkur Barrage, Contract Nr. SBIP/S1.

2. The Irrigation Department, Government of Sindh, Pakistan intends to prequalify contractors for SBIP/S1 involving following works of Sukkur Barrage, located in the Province of Sindh, Pakistan:

   - Repairs in stone masonry of super structure and RCC arches, matching with the existing finishes.
   - RCC Jacketing of piers in Canal Head Regulators and foundation overlay concrete (during closure period).
   - Inspection of top surface of barrage foundation during closure periods with required repairs.
   - Scour protection works at downstream of main barrage and canal head regulators (during closure period).
   - Replacement of selected main barrage gates with new gates and rehabilitation of remaining main barrage gates in all respect with increase in gate height by 2ft.
   - Changes in existing gate hoisting mechanism in main barrage to work without counterweights.
   - Rehabilitation or Replacement of gates in canal head regulators with improved grade.
   - New gate lifting motors for all main barrage gates.
   - Replacement of lifting motors in all canal head regulator gates.
   - Replacement of all electrical system and installation of new 11/0.4 kV transformers and standby generators.
- Instrumentation and Monitoring system with automatic data acquisition system.
- CCTV and Telecommunication system.
- Complete replacement of existing electrical works with improved grade.
- Construction of new Buildings and renovation of Executive Engineers office at Barrage with matching of monumental and aesthetic architectural aspects

It is expected that the Request for Bids will be made in **December 2019**.

3. Prequalification will be conducted through the procedures as specified in the World Bank’s Procurement Regulations for IPF Borrowers July 2016, revised August 2018 and is open to all eligible Applicants as defined in the Procurement Regulations.

4. Interested eligible Applicants may obtain further information from the Project Director, Project Management Office, Sindh Barrages Improvement Project, Irrigation Department Government of Sindh, Pakistan at the address below during office hours. A complete set of prequalification documents in English language may be purchased by interested Applicants on the submission of a written application to the address below and upon payment of a nonrefundable fee of PKR 25,000 or US$200. The method of payment will be pay order / demand draft / dollar draft in the name of:

“PROJECT DIRECTOR SINDH BARRAGES IMPROVEMENT PROJECT”
Sindh Bank, Kehkashan Clifton Branch, Karachi, Pakistan
IBAN: PK75SIND0003594067701000
Account No.: 0359-406770-1000

The document will be sent by registered courier.

5. Applications for prequalification should be submitted in clearly marked envelopes and delivered to the address below by **15:00 Hours on November 25, 2019**. Late applications may be rejected.

Shafqat Hussain Wadho
Project Director
Project Management Office
Sindh Barrages Improvement Project
Irrigation Department, Government of Sindh

Irrigation Colony, City School PAF Chapter, Shaheed-e-Millat Express Road Baloch Colony, Karachi

021-35297041

sbipproc2020@gmail.com
www.sbip.org.pk
STANDARD PROCUREMENT DOCUMENT

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# Section I - Instructions to Applicants

## A. General

| 1. **Scope of Application** | 1.1 In connection with the invitation for Prequalification indicated in Section II (Prequalification Data Sheet) (PDS), the Employer, as defined in the PDS, issues this Prequalification Document (“Prequalification Document”) to prospective applicants (“Applicants”) interested in submitting applications (“Applications”) for prequalification to bid for the Works described in Section VII (Scope of Works). In case the Works are to be bid as individual contracts (i.e., the slice and package procedure), these are listed in the PDS. The Request for Bids (RFB) number corresponding to this prequalification is also provided in the PDS. |
| 2. **Source of Funds** | The Borrower or Recipient (hereinafter called “Borrower”) indicated in the PDS has applied for or received financing (hereinafter called “funds”) from the International Bank for Reconstruction and Development or the International Development Association (hereinafter called “the Bank”) in an amount specified in the PDS, towards the cost of the project named in the PDS. The Borrower intends to apply a portion of the funds to eligible payments under the contract(s) resulting from the Bidding for which this prequalification is conducted. Payment by the Bank will be made only at the request of the Borrower and upon approval by the Bank, and will be subject, in all respects, to the terms and conditions of the Loan (or other financing) Agreement. The Loan (or other financing) Agreement prohibits a withdrawal from the loan (or credit) account for the purpose of any payment to persons or entities, or for any import of goods, equipment, plant or materials, or services if such payment or import, to the knowledge of the Bank, is prohibited by a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations. No party other than the Borrower shall derive any rights from the Loan (or other financing) Agreement or have any claim to the proceeds of the loan (or credit). |
| 3. **Fraud and Corruption** | 3.1 The Bank requires compliance with the Bank’s Anti-Corruption Guidelines and its prevailing sanctions policies and procedures as set forth in the WBG’s Sanctions Framework, as set forth in Section VI, Fraud and Corruption. 3.2 In further pursuance of this policy, Applicants shall permit and shall cause their agents (where declared or not), subcontractors, sub-consultants, service providers, suppliers, and their personnel, to permit the Bank to inspect all accounts, records and other documents relating to any initial selection process, prequalification process, bid submission (in case prequalified), proposal submission, and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank. |
### 4. Eligible Applicants

| 4.1 | Applicants shall meet the eligibility criteria as per this ITA and ITA 5.1 and 5.2. |
| 4.2 | An Applicant may be a firm that is a private entity, a state-owned enterprise or institution subject to ITA 4.8 or any combination of such entities in the form of a joint venture (“JV”) under an existing agreement or with the intent to enter into such an agreement supported by a letter of intent. In the case of a joint venture, all members shall be jointly and severally liable for the execution of the entire Contract in accordance with the Contract terms. The JV shall nominate a Representative who shall have the authority to conduct all business for and on behalf of any and all the members of the JV during the prequalification process, Bidding (in the event the JV submits a Bid) and during contract execution (in the event the JV is awarded the Contract). Unless specified in the PDS, there is no limit on the number of members in a JV. |
| 4.3 | A firm may apply for prequalification both individually, and as part of a joint venture, or participate as a subcontractor. If prequalified, it will not be permitted to bid for the same contract both as an individual firm and as a part of the joint venture or as a subcontractor. However, a firm may participate as a subcontractor in more than one Bid, but only in that capacity. Bids submitted in violation of this procedure will be rejected. |
| 4.4 | A firm and any of its affiliates (that directly or indirectly control, are controlled by or are under common control with that firm) may submit its application for prequalification either individually, as joint venture or as a subcontractor among them for the same contract. However, if prequalified, only one prequalified Applicant will be allowed to bid for the same contract. All Bids submitted in violation of this procedure will be rejected. |
| 4.5 | An Applicant may have the nationality of any country, subject to the restrictions pursuant to ITA 5.1 and 5.2. An Applicant shall be deemed to have the nationality of a country if the Applicant is constituted, incorporated or registered in and operates in conformity with the provisions of the laws of that country, as evidenced by its articles of incorporation (or equivalent documents of constitution or association) and its registration documents, as the case may be. This criterion also shall apply to the determination of the nationality of proposed specialized sub-contractors or suppliers for any part of the Contract including related Services. |
4.6 Applicants shall not have a conflict of interest. Applicants shall be considered to have a conflict of interest, if they, or any of their affiliates, participated as a consultant in the preparation of the design or technical specifications or have been hired or proposed to be hired by the Employer or Borrower as Engineer for contract implementation of the Works that are the subject of this prequalification. In addition, Applicants may be considered to have a conflict of interest if they have a close business or family relationship with a professional staff of the Borrower (or of the project implementing agency, or of a recipient of a part of the loan) who: (i) are directly or indirectly involved in the preparation of the prequalification Document or Request for Bids (RFB) Document or specifications of the Contract, and/or the Bid evaluation process of such Contract; or (ii) would be involved in the implementation or supervision of such Contract, unless the conflict stemming from such relationship has been resolved in a manner acceptable to the Bank throughout the prequalification, RFB process and execution of the Contract.

4.7 An Applicant that has been sanctioned by the Bank, pursuant to the Bank’s Anti-Corruption Guidelines, and in accordance with its prevailing sanctions policies and procedures as set forth in the WBG’s Sanctions Framework, as described in Section VI, paragraph 2.2 d. shall be ineligible to be prequalified for, initially selected for, bid for, propose for, or be awarded a Bank-financed contract or benefit from a Bank-financed contract, financially or otherwise, during such period of time as the Bank shall have determined. The list of debarred firms and individuals is available at the electronic address specified in the PDS.

4.8 Applicants that are state-owned enterprise or institutions in the Employer’s Country may be eligible to prequalify, compete and be awarded a Contract(s) only if they can establish, in a manner acceptable to the Bank, that they (i) are legally and financially autonomous (ii) operate under commercial law, and (iii) are not under supervision of the Employer.

4.9 An Applicant shall not be under suspension from Bidding by the Employer as the result of the execution of a Bid/Proposal–Securing Declaration.

4.10 An Applicant shall provide such documentary evidence of eligibility satisfactory to the Employer, as the Employer shall reasonably request.
4.11 A firm that is under a sanction of debarment by the Borrower from being awarded a contract is eligible to participate in this procurement, unless the Bank, at the Borrower’s request, is satisfied that the debarment; (a) relates to fraud or corruption, and (b) followed a judicial or administrative proceeding that afforded the firm adequate due process.

5. Eligibility

5.1 Firms and individuals may be ineligible if they are nationals of ineligible countries as indicated in Section V. The countries, persons or entities are ineligible if:

(a) as a matter of law or official regulations, the Borrower’s country prohibits commercial relations with that country, provided that the Bank is satisfied that such exclusion does not preclude effective competition for the supply of goods or the contracting of works or services required; or

(b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower’s country prohibits any import of goods or contracting of works or services from that country, or any payments to any country, person, or entity in that country.

5.2 When the Works are implemented across jurisdictional boundaries (and more than one country is a Borrower, and is involved in the procurement), then exclusion of a firm or individual on the basis of ITA 5.1(a) above by any country may be applied to that procurement across other countries involved, if the Bank and the Borrowers involved in the procurement agree.

B. Contents of the Prequalification Document

6. Sections of Prequalification Document

6.1 This Prequalification Document consists of parts 1 and 2 which comprise all the sections indicated below, and which should be read in conjunction with any Addendum issued in accordance with ITA 8.

PART 1 Prequalification Procedures
- Section I - Instructions to Applicants (ITA)
- Section II - Prequalification Data Sheet (PDS)
- Section III - Qualification Criteria and Requirements
- Section IV - Application Forms
- Section V – Eligible Countries
- Section VI – Fraud and Corruption

PART 2 Works Requirements
- Section VII - Scope of Works
6.2 Unless obtained directly from the Employer, the Employer accepts no responsibility for the completeness of the document, responses to requests for clarification, the minutes of the pre-Application meeting (if any), or Addenda to the Prequalification Document in accordance with ITA 8. In case of any discrepancies, documents issued directly by the Employer shall prevail.

6.3 The Applicant is expected to examine all instructions, forms, and terms in the Prequalification Document and to furnish with its Application all information or documentation as is required by the Prequalification Document.

7. **Clarification of Prequalification Document and Pre-Application Meeting**

7.1 An Applicant requiring any clarification of the Prequalification Document shall contact the Employer in writing at the Employer’s address indicated in the PDS. The Employer will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for submission of the applications. The Employer shall forward a copy of its response to all prospective Applicants who have obtained the Prequalification Document directly from the Employer, including a description of the inquiry but without identifying its source. If so indicated in the PDS, the Employer shall also promptly publish its response at the web page identified in the PDS. Should the Employer deem it necessary to amend the Prequalification Document as a result of a clarification, it shall do so following the procedure under ITA 8. and in accordance with the provisions of ITA 17.2.

7.2 If indicated in the PDS, the Applicant’s designated representative is invited at the Applicant’s cost to attend a pre-Application meeting at the place, date and time mentioned in the PDS. During this pre-Application meeting, prospective Applicants may request clarification of the project requirement, the criteria for qualifications or any other aspects of the Prequalification Document.

7.3 Minutes of the pre-Application meeting, if applicable, including the text of the questions asked by Applicants, including those during the meeting (without identifying the source) and the responses given, together with any responses prepared after the meeting will be transmitted promptly to all prospective Applicants who have obtained the Prequalification Document. Any modification to the Prequalification Document that may become necessary as a result of the pre-Application meeting shall be made by the Employer exclusively through the use of an Addendum pursuant to ITA 8. Non-attendance at the pre-Application meeting will not be a cause for disqualification of an Applicant.
### 8. Amendment of Prequalification Document

8.1 At any time prior to the deadline for submission of Applications, the Employer may amend the Prequalification Document by issuing an Addendum.

8.2 Any Addendum issued shall be part of the Prequalification Document and shall be communicated in writing to all Applicants who have obtained the Prequalification Document from the Employer. The Employer shall promptly publish the Addendum at the Employer’s web page identified in the PDS.

8.3 To give Applicants reasonable time to take an Addendum into account in preparing their Applications, the Employer may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 17.2.

### C. Preparation of Applications

#### 9. Cost of Applications

9.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Employer will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.

#### 10. Language of Application

10.1 The Application as well as all correspondence and documents relating to the prequalification exchanged by the Applicant and the Employer, shall be written in the language specified in the PDS. Supporting documents and printed literature that are part of the Application may be in another language, provided they are accompanied by an accurate translation of the relevant passages in the language specified in the PDS, in which case, for purposes of interpretation of the Application, the translation shall govern.

#### 11. Documents Comprising the Application

11.1 The Application shall comprise the following:

- (a) **Application Submission Letter**, in accordance with ITA 12.1;
- (b) **Eligibility**: documentary evidence establishing the Applicant’s eligibility, in accordance with ITA 13.1;
- (c) **Qualifications**: documentary evidence establishing the Applicant’s qualifications, in accordance with ITA 14;
- (d) any other document required as specified in the PDS.

11.2 The Applicant shall furnish information on commissions and gratuities, if any, paid or to be paid to agents or any other party relating to this Application.

#### 12. Application Submission Letter

12.1 The Applicant shall complete an Application Submission Letter as provided in Section IV (Application Forms). This Letter must be completed without any alteration to its format.
### 13. Documents Establishing the Eligibility of the Applicant

13.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Letter and Forms ELI (eligibility) 1.1 and 1.2, included in Section IV (Application Forms).

### 14. Documents Establishing the Qualifications of the Applicant

14.1 To establish its qualifications to perform the contract(s) in accordance with Section III, Qualification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Information Sheets included in Section IV (Application Forms).

14.2 Wherever an Application Form requires an Applicant to state a monetary amount, Applicants should indicate the USD equivalent using the rate of exchange determined as follows:

(a) For construction turnover or financial data required for each year - Exchange rate prevailing on the last day of the respective calendar year (in which the amounts for that year is to be converted).
(b) Value of single contract - Exchange rate prevailing on the date of the contract.

Exchange rates shall be taken from the publicly available source identified in the PDS. Any error in determining the exchange rates in the Application may be corrected by the Employer.

### 15. Signing of the Application and Number of Copies

15.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA 11 and clearly mark it “ORIGINAL”. The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by an authorized representative of the JV on behalf of the JV and so as to be legally binding on all the members as evidenced by a power of attorney signed by their legally authorized signatories.

15.2 The Applicant shall submit copies of the signed original Application, in the number specified in the PDS, and clearly mark them “COPY”. In the event of any discrepancy between the original and the copies, the original shall prevail.
# D. Submission of Applications

## 16. Sealing and Marking of Applications

16.1 The Applicant shall enclose the original and the copies of the Application in a sealed envelope that shall:
   (a) bear the name and address of the Applicant;
   (b) be addressed to the Employer, in accordance with ITA 17.1; and
   (c) bear the specific identification of this prequalification process indicated in the PDS 1.1.

16.2 The Employer will accept no responsibility for not processing any envelope that was not identified as required in ITA 16.1 above.

## 17. Deadline for Submission of Applications

17.1 Applicants may either submit their Applications by mail or by hand. Applications shall be received by the Employer at the address and no later than the deadline indicated in the PDS. When so specified in the PDS, Applicants have the option of submitting their Applications electronically, in accordance with electronic Application submission procedures specified in the PDS.

17.2 The Employer may, at its discretion, extend the deadline for the submission of Applications by amending the Prequalification Document in accordance with ITA 8, in which case all rights and obligations of the Employer and the Applicants subject to the previous deadline shall thereafter be subject to the deadline as extended.

## 18. Late Applications

18.1 The Employer reserves the right to accept applications received after the deadline for submission of applications, unless otherwise specified in the PDS.

## 19. Opening of Applications

19.1 The Employer shall open all Applications at the date, time and place specified in the PDS. Late Applications shall be treated in accordance with ITA 18.1.

19.2 Applications submitted electronically (if permitted pursuant to ITA 17.1) shall be opened in accordance with the procedures specified in the PDS.

19.3 The Employer shall prepare a record of the opening of Applications to include, as a minimum, the name of the Applicants. A copy of the record shall be distributed to all Applicants.
### E. Procedures for Evaluation of Applications

| 20. Confidentiality | 20.1 Information relating to the Applications, their evaluation and results of the prequalification shall not be disclosed to Applicants or any other persons not officially concerned with the prequalification process until the notification of prequalification results is made to all Applicants in accordance with ITA 28.  

20.2 From the deadline for submission of Applications to the time of notification of the results of the prequalification in accordance with ITA 28, any Applicant that wishes to contact the Employer on any matter related to the prequalification process may do so only in writing. |

| 21. Clarification of Applications | 21.1 To assist in the evaluation of Applications, the Employer may, at its discretion, ask an Applicant for a clarification (including missing documents) of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Employer and all clarifications from the Applicant shall be in writing.  

21.2 If an Applicant does not provide clarifications and/or documents requested by the date and time set in the Employer’s request for clarification, its Application shall be evaluated based on the information and documents available at the time of evaluation of the Application. |

| 22. Responsiveness of Applications | 22.1 The Employer may reject any Application which is not responsive to the requirements of the Prequalification Document. In case the information furnished by the Applicant is incomplete or otherwise requires clarification as per ITA 21.1, and the Applicant fails to provide satisfactory clarification and/or missing information, it may result in disqualification of the Applicant. |

| 23. Margin of Preference | 23.1 Unless otherwise specified in the PDS, a margin of preference for domestic bidders\(^1\) shall not apply in the Bidding process resulting from this prequalification. |

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\(^1\) An individual firm is considered a domestic bidder for purposes of the margin of preference if it is registered in the country of the Employer, has more than 50 percent ownership by nationals of the country of the Employer, and if it does not subcontract more than 10 percent of the contract price, excluding provisional sums, to foreign contractors. JVs are considered as domestic Bidders and eligible for domestic preference only if the individual member firms are registered in the country of the Employer, have more than 50 percent ownership by nationals of the country of the Employer, and the JV shall be registered in the country of the Borrower. The JV shall not subcontract more than 10 percent of the contract price, excluding provisional sums, to foreign firms. JVs between foreign and national firms will not be eligible for domestic preference.
### 24. Subcontractors

24.1 Unless otherwise stated in the PDS, the Employer does not intend to execute any specific elements of the Works by subcontractors selected in advance by the Employer (so-called “Nominated Subcontractors”).

24.2 The Applicant shall not propose to subcontract the whole of the Works. The Employer, in ITA 25.2, may permit the Applicant to propose subcontractors for certain specialized parts of the work as indicated therein as (“Specialized Subcontractors”). Applicants planning to use such Specialized Subcontractors shall specify, in the Application Submission Letter, the activity(ies) or parts of the Works proposed to be subcontracted along with details of the proposed subcontractors including their qualification and experience.

### F. Evaluation of Applications and Prequalification of Applicants

#### 25. Evaluation of Applications

25.1 The Employer shall use the factors, methods, criteria, and requirements defined in Section III, Qualification Criteria and Requirements, to evaluate the qualifications of the Applicants, and no other methods, criteria, or requirements shall be used. The Employer reserves the right to waive minor deviations from the qualification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the Contract.

25.2 Subcontractors proposed by the Applicant shall be fully qualified for their parts of the Works. The subcontractor’s qualifications shall not be used by the Applicant to qualify for the Works unless their parts of the Works were previously designated by the Employer in the PDS as can be met by Specialized Subcontractors, in which case, the qualifications of the Specialized Subcontractor proposed by the Applicant may be added to the qualifications of the Applicant for the purpose of the evaluation.

25.3 In case of multiple contracts, Applicants should indicate in their Applications the individual contract or combination of contracts in which they are interested. The Employer shall prequalify each Applicant for the maximum combination of contracts for which the Applicant has thereby indicated its interest and for which the Applicant meets the appropriate aggregate requirements. The qualification criteria and requirements are specified in Section III.
25.4 However, with respect to the specific experience under item Section III (Qualification Criteria and Requirements), 4.2 (a), the Employer will select any one or more of the options as identified below:

N is the minimum number of contracts
V is the minimum value of a single contract.

(a) Prequalification for one Contract:
Option 1: (i) N contracts, each of minimum value V;
   Or
Option 2: (i) N contracts, each of minimum value V,
   Or
(ii) Less than or equal to N contracts, each of minimum value V, but with total value of all contracts equal or more than N x V

(b) Prequalification for Multiple Contracts
Option 1: (i) Minimum requirements for combined contract(s) shall be the aggregate requirements for each contract for which the Applicant has applied for as follows, and N1, N2, N3, etc. shall be different contracts:
   Lot 1: N1 contracts, each of minimum value V1;
   Lot 2: N2 contracts, each of minimum value V2;
   Lot 3: N3 contracts, each of minimum value V3; ---- etc.
   Or
Option 2: (i) Minimum requirements for combined contract(s) shall be the aggregate requirements for each contract for which the Applicant has applied for as follows, and N1, N2, N3, etc. shall be different contracts:
   Lot 1: N1 contracts, each of minimum value V1;
   Lot 2: N2 contracts, each of minimum value V2;
   Lot 3: N3 contracts, each of minimum value V3; ---- etc,
   Or
(ii) Lot 1: N1 contracts, each of minimum value V1; or number of contracts less than or equal to N1, each of minimum value V1, but with total value of all contracts equal or more than N1 x V1
   Lot 2: N2 contracts, each of minimum value V2; or number of contracts less than or equal to N2, each of minimum value V2, but with total value of all contracts equal or more than N2 x V2
   Lot 3: N3 contracts, each of minimum value V3; or number of contracts less than or equal to N3, each of minimum value V3, but with total value of all contracts equal or more than N3 x V3 ----etc.
   Or
| **Option 3:** | Minimum requirements for combined contract(s) shall be the aggregate requirements for each contract for which the Applicant has applied for as follows, and N1, N2, N3, etc. shall be different contracts:

- **Lot 1:** N1 contracts, each of minimum value \( V_1 \);
- **Lot 2:** N2 contracts, each of minimum value \( V_2 \);
- **Lot 3:** N3 contracts, each of minimum value \( V_3 \);

Or

- **Lot 1:** N1 contracts, each of minimum value \( V_1 \); or number of contracts less than or equal to N1, each of minimum value \( V_1 \), but with total value of all contracts equal or more than \( N_1 \times V_1 \)
- **Lot 2:** N2 contracts, each of minimum value \( V_2 \); or number of contracts less than or equal to N2, each of minimum value \( V_2 \), but with total value of all contracts equal or more than \( N_2 \times V_2 \)
- **Lot 3:** N3 contracts, each of minimum value \( V_3 \); or number of contracts less than or equal to N3, each of minimum value \( V_3 \), but with total value of all contracts equal or more than \( N_3 \times V_3 \)

Or

- Subject to compliance as per (ii) above with respect to minimum value of single contract for each lot, total number of contracts is equal or less than \( N_1 + N_2 + N_3 \) but the total value of all such contracts is equal or more than \( N_1 \times V_1 + N_2 \times V_2 + N_3 \times V_3 \).

25.5 Only the qualifications of the Applicant shall be considered. The qualifications of other firms, including the Applicant’s subsidiaries, parent entities, affiliates, subcontractors (other than Specialized Subcontractors in accordance with ITA 25.2 above) or any other firm(s) different from the Applicant shall not be considered.

| **26. Employer’s Right to Accept or Reject Applications** | 26.1 The Employer reserves the right to accept or reject any Application, and to annul the prequalification process and reject all Applications at any time, without thereby incurring any liability to the Applicants. |
| **27. Prequalification of Applicants** | 27.1 All Applicants whose Applications substantially meet or exceed the specified qualification requirements will be prequalified by the Employer. |

<p>| 27.2 An Applicant may be “conditionally prequalified,” that is, qualified subject to the Applicant submitting or correcting certain specified nonmaterial documents or deficiencies to the satisfaction of the Employer. |</p>
<table>
<thead>
<tr>
<th>Section</th>
<th>Description</th>
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</thead>
<tbody>
<tr>
<td>27.3</td>
<td>Applicants that are conditionally prequalified will be so informed along with the statement of the condition(s) which must be met to the satisfaction of the Employer before or at the time of submitting their Bids.</td>
</tr>
</tbody>
</table>
| **28. Notification of Prequalification** | 28.1 The Employer shall notify all Applicants in writing of the names of those Applicants who have been prequalified or conditionally prequalified. In addition, those Applicants who have been disqualified will be informed separately.  
28.2 Applicants that have not been prequalified may write to the Employer to request, in writing, the grounds on which they were disqualified. |
| **29. Request for Bids** | 29.1 Promptly after the notification of the results of the prequalification, the Employer shall invite Bids from all the Applicants that have been prequalified or conditionally prequalified.  
29.2 Bidders may be required to provide a Bid Security or a Bid-Securing Declaration acceptable to the Employer in the form and an amount to be specified in the bidding document.  
29.3 The successful Bidder shall be required to provide a Performance Security as specified in the bidding document.  
29.4 If applicable, the successful Bidder shall be required to provide a separate Environmental, Social, Health and Safety (ESHS) Performance Security.  
29.5 Bidders shall be required to provide the Code of Conduct which will apply to their employees and sub-contractors to ensure compliance with the Environmental, Social (including sexual exploitation and abuse (SEA) and gender based violence (GBV)), Health and Safety (ESHS) requirements.  
29.6 Bidders shall be required to submit management strategies and implementation plans to ensure compliance with key Environmental, Social (including sexual exploitation and abuse (SEA) and gender based violence (GBV)), Health and Safety (ESHS) requirements.  
29.7 If required in the Bidding documents, the successful Bidder shall provide additional information about its beneficial ownership using the Beneficial Ownership Disclosure Form included in the bidding document. |
### 30. Changes in Qualifications of Applicants

| 30.1 | Any change in the structure or formation of an Applicant after being prequalified in accordance with ITA 27 and invited to bid (including, in the case of a JV, any change in the structure or formation of any member and also including any change in any specialized subcontractor whose qualifications were considered to prequalify the Applicant) shall be subject to the written approval of the Employer prior to the deadline for submission of Bids. Such approval shall be denied if (i) a prequalified applicant proposes to associate with a disqualified applicant or in case of a disqualified joint venture, any of its members; (ii) as a consequence of the change, the Applicant no longer substantially meets the qualification criteria set forth in Section III (Qualification Criteria and Requirements); or (iii) in the opinion of the Employer, the change may result in a substantial reduction in competition. Any such change should be submitted to the Employer not later than fourteen (14) days after the date of the Request for Bids. |

### 31. Procurement Related Complaint

| 31.1 | The procedures for making a Procurement-related Complaint are as specified in the PDS. |
## SECTION II - PREQUALIFICATION DATA SHEET (PDS)

### A. General

| ITA 1.1 | The identification of the Invitation for Prequalification is: **SBIP/PQ/S1**  
The Employer is: Project Director, Sindh Barrages Improvement Project, Irrigation Department, Government of Sindh.  
Attention: **Mr. Shafqat Hussain Wadho**  
Address: Irrigation Colony near City School PAF Chapter Shaheed-e-Millat Express Road, Karachi  
City: **Karachi**  
Country: **Islamic Republic of Pakistan**  
Telephone: +922135297041  
Electronic mail address: sbipproc2020@gmail.com |
| --- | --- |
| ITA 2.1 | The list of contracts is:  
The works for Rehabilitation and Modernization of Sukkur Barrage consists of following three packages:  

**Package 1:**  **Restoration and Upgradation of Sukkur Barrage**  

**Package 2:**  Procurement of Dredger.  

**Package 3:**  Dredging / Excavation & Canal De-silting  

This Prequalification Document is limited to the works of Package 1 only.  
RFB name and number are: **PK-AFOF SBIP -98651-CW-RFB** |

<table>
<thead>
<tr>
<th>ITA 2.1</th>
<th>The Borrower is: Islamic Republic of Pakistan</th>
</tr>
</thead>
<tbody>
<tr>
<td>ITA 2.1</td>
<td>Loan or Financing Agreement amount: <strong>US$ 148.80 million</strong></td>
</tr>
<tr>
<td>ITA 2.1</td>
<td>The name of the Project is: Sindh Barrages Improvement Project, Component A2 : Rehabilitation and Modernization of Sukkur Barrage</td>
</tr>
<tr>
<td>ITA 4.2</td>
<td>Maximum number of members in the JV shall be: three</td>
</tr>
<tr>
<td>ITA 4.7</td>
<td>A list of debarred firms and individuals is available on the Bank’s external website: <a href="http://www.worldbank.org/debarr">http://www.worldbank.org/debarr</a></td>
</tr>
</tbody>
</table>
### B. Contents of the Prequalification Document

| ITA 7.1 | For clarification purposes, the Employer's address is:  
Attention: Mr. Shafqat Hussain Wadho  
Chief Engineer/Project Director, PMO-SBIP  
Address: Irrigation Colony near City School PAF Chapter Shaheed-e-Millat Express Road, Karachi  
City: Karachi  
Country: Islamic Republic of Pakistan  
Telephone: +922135297041  
Electronic mail address: sbipproc2020@gmail.com |
| ITA 7.1 & 8.2 | Web page: www.sbip.org.pk |
| ITA 7.2 | Pre-Application Meeting will be held: on 5th November 2019 |

### C. Preparation of Applications

| ITA 10.1 | This Prequalification document has been issued in the English language.  
All correspondence exchange shall be in English language.  
The Application as well as all correspondence shall be submitted in English language. |
| ITA 11.1 (d) | The Applicant shall submit with its Application, the following additional documents:  
(i) In the case of a JV, a letter from the managing director of each partner to the partner authorized, to submit the application on its behalf.  
(ii) The Application, as single or in the case of a JV, each Partner shall submit with its application, the following additional documents:  
(a) The company’s Health and Safety Policy and Code of Conduct Policy signed by the managing director or chairman of the company (these documents will not be evaluated). |
| ITA 14.2 | The source for determining exchange rates is State Bank of Pakistan |
| ITA 15.2 | In addition to the original, the number of copies to be submitted with the Application is Three (3): The Applicant shall also submit an electronic versions (.doc and .pdf) of all documents on a removable media device (e.g. CD, Flash Drive), included with its application. In the event of a discrepancy between the original hard copy and the electronic version, the hard copy shall prevail. |
### D. Submission of Applications

| ITA 17.1 | The deadline for Application submission is:  
| Date: **November 20, 2019**  
| Time: **15:00 hours, Pakistan Standard Time**  
| For Application submission purposes only, the Employer's address is:  
| Employer’s address is the same as that indicated in ITA 1.1  
| Attention: **Mr. Shafqat Hussain Wadho, Project Director, PMO-SBIP**  
| Address: **Irrigation Colony Adjacent City School PAF Chapter, Shaheed-e-Millat Express Road Baloch Colony Karachi**  
| City: **Karachi**  
| Country: **Islamic Republic of Pakistan**  
| Telephone: **+922135297041**  
| Email address: **sbiiproc2020@gmail.com**  
| Applicants shall not have the option of submitting their Applications electronically. |

| ITA 18.1 | The Employer reserves the right to accept or reject late Applications. |

| ITA 19.1 | The opening of the Applications shall be on **November 20, 2019 at 1500 hrs**,  
| At office of Project Director, PMO-SBIP, Irrigation Colony Adjacent City School PAF Chapter, Shaheed-e-Millat Express Road Baloch Colony Karachi |

### E. Procedures for Evaluation of Applications

| ITA 23.1 | A margin of domestic preference shall not apply. |

| ITA 24.1 | At this time the Employer does not intend to execute certain specific parts of the Works by sub-contractors selected in advance. |

| ITA 25.2 | The parts of the Works for which the Employer permits Applicants to propose Specialized Subcontractors are designated as follows:  
| - **Gates and Mechanical Works involving** fabrication and installation of New Gates, Gate Rehabilitation/Re-strengthening, making changes in the Gate Hoisting System to work without Counterweights and for Installation of Gate Lifting Motors.  
| - **Rehabilitation of Stone Masonry Works** involving re-pointing of joints and stone removal & replacement works, ensuring all heritage requirements Including RCC guniting.  
| - **Works of Automatic Data Acquisition System (ADAS)**.  

For the above designated parts of the Works that may be required specialized Subcontractors, the relevant qualifications of the proposed Specialized Subcontractors will be added to the qualifications of the Applicant for the purpose of evaluation.
The procedures for making a Procurement-related Complaint are detailed in the “Procurement Regulations for IPF Borrowers (Annex III).” If an Applicant wishes to make a Procurement-related Complaint, the Applicant shall submit its complaint following these procedures, in writing (by the quickest means available, that is either by email or fax), to:

For the attention: Mr. Shafqat Wadho  
Title/position: Project Director, Sindh Barrages Improvement Project, Irrigation Department, Government of Sindh.  
Email address: sbipproc2020@gmail.com

In summary, at this stage, a Procurement-related Complaint may challenge any of the following:

1. The terms of the Prequalification Documents; and
2. The Employer’s decision not to prequalify an Applicant.
SECTION III - QUALIFICATION CRITERIA AND REQUIREMENTS

This section contains all the methods, criteria, and requirements that the Employer shall use to evaluate Applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms are included in the respective Application Forms.
<table>
<thead>
<tr>
<th>No</th>
<th>Subject</th>
<th>Requirement</th>
<th>Single Entity</th>
<th>Compliance Requirements</th>
<th>Joint Venture (existing or intended)</th>
<th>Submission Requirement</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Nationality</td>
<td>Nationality in accordance with ITA 4.5</td>
<td>Must meet requirement</td>
<td>Must meet requirement</td>
<td>Must meet requirement</td>
<td>N/A</td>
</tr>
<tr>
<td>1.2</td>
<td>Conflict of Interest</td>
<td>No conflicts of interest in accordance with ITA 4.6</td>
<td>Must meet requirement</td>
<td>Must meet requirement</td>
<td>Must meet requirement</td>
<td>N/A</td>
</tr>
<tr>
<td>1.3</td>
<td>Bank Eligibility</td>
<td>Not having been declared ineligible by the Bank, as described in ITA 4.7 and 5.1</td>
<td>Must meet requirement</td>
<td>Must meet requirement</td>
<td>Must meet requirement</td>
<td>N/A</td>
</tr>
<tr>
<td>1.4</td>
<td>State-owned Entity of the Borrower Country</td>
<td>Applicant required to meet conditions of ITA 4.8</td>
<td>Must meet requirement</td>
<td>Must meet requirement</td>
<td>Must meet requirement</td>
<td>N/A</td>
</tr>
<tr>
<td>1.5</td>
<td>United Nations resolution or Borrower’s country law</td>
<td>Not having been excluded as a result of prohibition in the Borrower’s country laws or official regulations against commercial relations with the Applicant’s country, or by an act of compliance with UN Security Council resolution, both in accordance with ITA 5.1 and 5.2 and Section V.</td>
<td>Must meet requirement</td>
<td>Must meet requirement</td>
<td>Must meet requirement</td>
<td>N/A</td>
</tr>
<tr>
<td>No</td>
<td>Subject</td>
<td>Requirement</td>
<td>Single Entity</td>
<td>Joint Venture (existing or intended)</td>
<td>Submission Requirement</td>
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<td>All Members Combined</td>
<td>Each Member</td>
<td>One Member</td>
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<td>2.</td>
<td>Historical Contract Non-Performance</td>
<td></td>
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</tr>
<tr>
<td>2.1</td>
<td>History of Non Performing Contracts</td>
<td>Non-performance of a contract did not occur as a result of contractor’s default since 1st January 2013</td>
<td>Must meet requirement¹</td>
<td>Must meet Requirements</td>
<td>Must meet requirement²</td>
<td>N/A</td>
</tr>
<tr>
<td>2.2</td>
<td>Suspension Based on Execution of Bid/Proposal Securing Declaration by the Employer</td>
<td>Not under suspension based on execution of a Bid/Proposal Securing Declaration pursuant to ITA 4.9.</td>
<td>Must meet requirement</td>
<td>Must meet requirement</td>
<td>Must meet requirement</td>
<td>N/A</td>
</tr>
</tbody>
</table>

¹ Nonperformance, as decided by the Employer, shall include all contracts where (a) nonperformance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Nonperformance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Nonperformance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

² This requirement also applies to contracts executed by the Applicant as JV member.
### Subject: Pending Litigation

**Requirement:** Applicant’s financial position and prospective long term profitability still sound according to criteria established in 3.1 below and assuming that all pending litigation will be resolved against the Applicant.

<table>
<thead>
<tr>
<th>Single Entity</th>
<th>Joint Venture (existing or intended)</th>
<th>Submission Requirement</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>[All Members Combined]</td>
<td>[Each Member]</td>
</tr>
<tr>
<td></td>
<td>Must meet requirement</td>
<td>Must meet requirement</td>
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</tbody>
</table>

### Subject: Litigation History

**Requirement:** No consistent history of court/arbitral award decisions against the Applicant since 1st January 2013.

<table>
<thead>
<tr>
<th>Single Entity</th>
<th>Joint Venture (existing or intended)</th>
<th>Submission Requirement</th>
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<tbody>
<tr>
<td></td>
<td>[All Members Combined]</td>
<td>[Each Member]</td>
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<td></td>
<td>Must meet requirement</td>
<td>Must meet requirement</td>
</tr>
</tbody>
</table>

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3 The Applicant shall provide accurate information on the related Application Form about any litigation or arbitration resulting from contracts completed or ongoing under its execution over the last five years. A consistent history of awards against the Applicant or any member of a joint venture may result in rejection of the Application.
<table>
<thead>
<tr>
<th>No</th>
<th>Subject</th>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture (existing or intended)</th>
<th>Submission Requirement</th>
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<td></td>
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<td></td>
<td>All Members Combined</td>
<td>Each Member</td>
</tr>
<tr>
<td>2.5</td>
<td><strong>Declaration: Environmental, and Social (ES) past performance</strong></td>
<td>Declare any civil work contracts that have been suspended or terminated and/or performance security called by an employer for reasons related to the non-compliance of any environmental, or social (including Sexual Exploitation and Assault or gender-based violence)) contractual obligations in the past five years⁴</td>
<td>Must make the declaration. Where there are Specialized Sub-contractor/s, the Specialized Sub-contractor/s must also make the declaration</td>
<td>Each must make the declaration. Where there are Specialized Sub-contractor/s, the Specialized Sub-contractor/s must also make the declaration</td>
<td>N/A</td>
</tr>
</tbody>
</table>

⁴ The Employer may use this information to seek further information or clarifications during the bidding stage and the associated due diligence.
<table>
<thead>
<tr>
<th>No</th>
<th>Subject</th>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture (existing or intended)</th>
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<td></td>
<td>All Members Combined</td>
<td>Each Member</td>
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<tr>
<td>3.1</td>
<td>Financial</td>
<td>(i) The Applicant shall demonstrate that it has access to, or has available,</td>
<td>Must meet requirement</td>
<td>Must meet requirement</td>
<td>N/A</td>
</tr>
<tr>
<td></td>
<td>Capabilities</td>
<td>liquid assets, unencumbered real assets, lines of credit, and other financial</td>
<td></td>
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<td></td>
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<td>means (independent of any contractual advance payment) sufficient to meet the</td>
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<td></td>
<td></td>
<td>construction cash flow requirements estimated as <strong>US$ 5 million</strong> for the</td>
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<td>subject contract(s) net of the Applicants other commitments</td>
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<td></td>
<td></td>
<td>(ii) The Applicant shall also demonstrate, to the satisfaction of the Employer,</td>
<td>Must meet requirement</td>
<td>Must meet requirement</td>
<td>N/A</td>
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<td>that it has adequate sources of finance to meet the cash flow requirements on</td>
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<td>works currently in progress and for future contract commitments.</td>
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<tr>
<td>No</td>
<td>Subject</td>
<td>Requirement</td>
<td>Single Entity</td>
<td>Joint Venture (existing or intended)</td>
<td>Submission Requirement</td>
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<td>(iii) The audited balance sheets or, if not required by the laws of the Applicant’s country, other financial statements acceptable to the Employer, for the last 5 years shall be submitted and must demonstrate the current soundness of the Applicant’s financial position and indicate its prospective long-term profitability.</td>
<td>Must meet requirement</td>
<td>All Members Combined</td>
<td>Each Member</td>
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<tr>
<td></td>
<td></td>
<td>Minimum average annual construction turnover of <strong>US$ 43 million</strong>, calculated as total certified payments received for contracts in progress and/or completed within the last 5 years, divided by five years</td>
<td>Must meet requirement</td>
<td>Must meet requirement</td>
<td>Must meet 35% of the requirement</td>
</tr>
<tr>
<td>No</td>
<td>Subject</td>
<td>Requirement</td>
<td>Single Entity</td>
<td>Joint Venture (existing or intended)</td>
<td>Submission Requirement</td>
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<td>All Members Combined</td>
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<tr>
<td>4.1 (a)</td>
<td>General Construction Experience</td>
<td>Experience under construction contracts, fabrication in the role of prime contractor, JV member, subcontractor, or management contractor for at least the last 10 years, starting 1st January 2009.</td>
<td>Must meet requirement</td>
<td>N/A</td>
<td>Must meet requirement</td>
</tr>
<tr>
<td>No.</td>
<td>Subject</td>
<td>Requirement</td>
<td>Single Entity</td>
<td>Joint Venture (existing or intended)</td>
<td>Submission Requirement</td>
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<td>All Members Combined</td>
<td>Each Member</td>
</tr>
<tr>
<td>4.2</td>
<td>Specific Construction &amp; Contract Management Experience</td>
<td>A minimum number of similar contracts specified below that have been satisfactorily and substantially completed as a prime contractor, joint venture member, subcontractor or management contractor between 1\textsuperscript{st} January 2009 and Application submission deadline:</td>
<td>Must meet requirement</td>
<td>Must meet requirement</td>
<td>N/A</td>
</tr>
<tr>
<td></td>
<td></td>
<td>(i) Two contracts, each of minimum value US$ Thirty five Million (35) US$ or one contract with a minimum value of US$ Sixty Five (65) million.</td>
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</tbody>
</table>

5 Substantial completion shall be based on 80% or more works completed under the contract.

6 For contracts under which the Applicant participated as a joint venture member or sub-contractor, only the Applicant’s share, by value, and role and responsibilities shall be considered to meet this requirement.

7 In the case of JV, the value of contracts completed by its members shall not be aggregated to determine whether the requirement of the minimum value of a single contract has been met. Instead, each contract performed by each member shall satisfy the minimum value of a single contract as required for single entity. In determining whether the JV meets the requirement of total number of contracts, only the number of contracts completed by all members each of value equal or more than the minimum value required shall be aggregated.
<table>
<thead>
<tr>
<th>No.</th>
<th>Subject</th>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture (existing or intended)</th>
<th>Submission Requirement</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>(i) Barrage Civil Works of Hydraulic Structure, with length of at least 1000m.</td>
<td></td>
<td>All Members Combined</td>
<td>Each Member</td>
</tr>
<tr>
<td></td>
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<td>(ii) Gate replacement/ fabrication and installation with at least 15m span gates.</td>
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<td></td>
<td>(iii) Electro-mechanical works, that may involved Specialized Sub-Contractor.</td>
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<tr>
<td></td>
<td></td>
<td>(iv) Works of Automatic Data Acquisition System, that may involved Specialized Sub-Contractor.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>No.</td>
<td>Subject</td>
<td>Requirement</td>
<td>Single Entity</td>
<td>Joint Venture (existing or intended)</td>
<td>Submission Requirement</td>
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<tr>
<td>4.2</td>
<td></td>
<td>For the above and any other contracts completed and under implementation as prime contractor, joint venture member, or subcontractor or Management Contractor in the last ten (10) years prior to the application submission deadline: a minimum construction experience in the following key activities successfully completed(^8):</td>
<td>Must meet requirement</td>
<td>Must meet requirement</td>
<td>N/A</td>
</tr>
<tr>
<td></td>
<td>(b)</td>
<td></td>
<td></td>
<td>Each Member</td>
<td>One Member</td>
</tr>
<tr>
<td></td>
<td></td>
<td>(i) One Contract of construction/rehabilitation of an existing barrage in flowing river water of not less than 8,500 cum/sec</td>
<td>Must meet Requirement (may be met by a specialized subcontractor)</td>
<td>Must meet Requirement (may be met by a specialized subcontractor)</td>
<td>N/A</td>
</tr>
</tbody>
</table>

\(^8\) Volume, number or rate of production of any key activity can be demonstrated in one or more contracts combined if executed during same time period. The rate of production shall be the annual production rate for the key construction activity (or activities). For the rate of production, either the average during the entire period or in any one or more years during the period should be specified.
<table>
<thead>
<tr>
<th>No.</th>
<th>Subject</th>
<th>Requirement</th>
<th>Single Entity</th>
<th>Joint Venture (existing or intended)</th>
<th>Submission Requirement</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>All Members Combined</td>
<td>Each Member</td>
</tr>
<tr>
<td>(ii)</td>
<td>One Contract for replacement of gates and mechanical works (design, fabrication and installation) in a barrage or similar hydraulic structure with at least 25 (twenty five) steel gates with minimum 15m span in a duration of three years.</td>
<td>Must meet Requirement (may be met by a specialized subcontractor)</td>
<td>Must meet Requirement (may be met by a specialized subcontractor)</td>
<td>N/A</td>
<td>Must meet requirements</td>
</tr>
<tr>
<td>(iii)</td>
<td>One Contract of rehabilitation of stone masonry works in a barrage or similar hydraulic structure or a historic monumental building involving stone masonry quantities of at least 10,000 sqm, in a duration of two years.</td>
<td>Must meet Requirement (may be met by a specialized subcontractor)</td>
<td>Must meet Requirement (may be met by a specialized subcontractor)</td>
<td>N/A</td>
<td>Must meet requirements</td>
</tr>
<tr>
<td>No.</td>
<td>Subject</td>
<td>Requirement</td>
<td>Single Entity</td>
<td>Joint Venture (existing or intended)</td>
<td>Submission Requirement</td>
</tr>
<tr>
<td>-----</td>
<td>---------</td>
<td>-------------</td>
<td>---------------</td>
<td>--------------------------------------</td>
<td>------------------------</td>
</tr>
<tr>
<td></td>
<td></td>
<td>(iv) One Contract for installation of Automatic Data Acquisition System (ADAS) system for a barrage or similar hydraulic structure involving high voltage motors and other monitoring instruments in a large network of at least 25 motors, in a duration of two years.</td>
<td>Must meet Requirement (may be met by a specialized subcontractor)</td>
<td>Must meet Requirement (may be met by a specialized subcontractor)</td>
<td>N/A</td>
</tr>
<tr>
<td>4.2</td>
<td>(c) Specific Experience in managing ES aspects</td>
<td>For the contracts in 4.2 (a) above and/or any other contracts [substantially completed and under implementation] as prime contractor, joint venture member, or Subcontractor between 1st January 2009 and Application submission deadline, experience in managing ES risks and impacts in the following aspects:</td>
<td>Must meet Requirement</td>
<td>Must meet Requirement</td>
<td>Must meet Requirement</td>
</tr>
<tr>
<td>No.</td>
<td>Subject</td>
<td>Requirement</td>
<td>Single Entity</td>
<td>Joint Venture (existing or intended)</td>
<td>Submission Requirement</td>
</tr>
<tr>
<td>-----</td>
<td>---------</td>
<td>-------------</td>
<td>---------------</td>
<td>--------------------------------------</td>
<td>------------------------</td>
</tr>
<tr>
<td></td>
<td></td>
<td>(i) One contract to Prepare and implement Contractor’s Environmental and Social Management Plan as per Environmental Code of Practice</td>
<td></td>
<td>All Members Combined</td>
<td>Each Member</td>
</tr>
</tbody>
</table>

35
SECTION IV - APPLICATION FORMS

Application Submission Letter

Date: [insert day, month, and year]
RFB No. and title: [insert RFB number and title]

To: [insert full name of Employer]

We, the undersigned, apply to be prequalified for the referenced RFB and declare that:

(a) **No reservations:** We have examined and have no reservations to the Prequalification Document, including Addendum(s) No(s), issued in accordance with ITA 8: [insert the number and issuing date of each addendum].

(b) **No conflict of interest:** We have no conflict of interest in accordance with ITA 4;

(c) **Eligibility:** We (and our subcontractors) meet the eligibility requirements as stated ITA 4, have not been suspended by the Employer based on execution of a Bid/Proposal-Securing Declaration in accordance with ITA 4.9;

   **Suspension and Debarment:** We, along with any of our subcontractors, suppliers, consultants, manufacturers, or service providers for any part of the contract, are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by the World Bank Group or a debarment imposed by the World Bank Group in accordance with the Agreement for Mutual Enforcement of Debarment Decisions between the World Bank and other development banks. Further, we are not ineligible under the Employer’s country laws or official regulations or pursuant to a decision of the United Nations Security Council;

   **State-owned enterprise or institution:** [select the appropriate option and delete the other] [We are not a state-owned enterprise or institution] / [We are a state-owned enterprise or institution but meet the requirements of ITA 4.8];

(f) **Subcontractors and Specialized Subcontractors:** We, in accordance with ITA 24.2 and 25.2, plan to subcontract the following key activities and/or parts of the works:

   [Insert any of the key activities identified in Section III - 4.2(a) or (b) which the Employer has permitted under the Prequalification Document and which the Applicant intends to subcontract along with complete details of the Specialized Subcontractors, their qualification and experience]

(g) **Commissions, gratuities, fees:** We declare that the following commissions, gratuities, or fees have been paid or are to be paid with respect to the prequalification process, the corresponding Bidding process or execution of the Contract:
Sukkur Barrage Rehabilitation and Modernization Project

Package 1: Restoration and Upgradation of Sukkur Barrage

Prequalification Document

Name of Recipient | Address | Reason | Amount
[insert full name for each occurrence] | [insert street/number/city/country] | [indicate reason] | [specify amount currency, value, exchange rate and US$ equivalent]

_________________________ | ______________________ | ____________________ | __________________________
_________________________ | ______________________ | ____________________ | __________________________
_________________________ | ______________________ | ____________________ | __________________________
_________________________ | ______________________ | ____________________ | __________________________
_________________________ | ______________________ | ____________________ | __________________________
_________________________ | ______________________ | ____________________ | __________________________
[If no payments are made or promised, add the following statement: “No commissions or gratuities have been or are to be paid by us to agents or any third party relating to this Application]

(h) **Not bound to accept:** We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any Application that you may receive nor to invite the prequalified Applicants to bid for the contract subject of this Prequalification process, without incurring any liability to the Applicants, in accordance with ITA 26.1.

(i) **True and correct:** All information, statements and description contained in the Application are in all respect true, correct and complete to the best of our knowledge and belief.

Signed [insert signature(s) of an authorized representative(s) of the Applicant]

Name [insert full name of person signing the Application]

In the capacity of [insert capacity of person signing the Application]

Duly authorized to sign the Application for and on behalf of: Applicant’s Name [insert full name of Applicant or the name of the JV]

Address [insert street number/town or city/country address]

Dated on [insert day number] day of [insert month], [insert year]

[For an a joint venture, either all members shall sign or only the authorized representative, in which case the power of attorney to sign on behalf of all members shall be attached]
Form ELI -1.1

Applicant Information Form

Date: [insert day, month, year]
RFB No. and title: [insert RFB number and title]
Page [insert page number] of [insert total number] pages

<table>
<thead>
<tr>
<th>Applicant's name</th>
</tr>
</thead>
<tbody>
<tr>
<td>[insert full name]</td>
</tr>
</tbody>
</table>

| In case of Joint Venture (JV), name of each member: |
| [insert full name of each member in JV]             |

| Applicant's actual or intended country of registration: |
| [indicate country of Constitution]                    |

| Applicant's actual or intended year of incorporation: |
| [indicate year of Constitution]                       |

| Applicant's legal address [in country of registration]: |
| [insert street/ number/ town or city/ country]         |

| Applicant's authorized representative information     |
| Name: [insert full name]                              |
| Address: [insert street/ number/ town or city/ country]|
| Telephone/Fax numbers: [insert telephone/fax numbers, including country and city codes] |
| E-mail address: [indicate e-mail address]             |

1. Attached are copies of original documents of
   - Articles of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 4.5.
   - In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.2.
   - In case of state-owned enterprise or institution, in accordance with ITA 4.8 documents establishing:
     - Legal and financial autonomy
     - Operation under commercial law
     - Establishing that the Applicant is not under supervision of the Employer

2. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership.
Form ELI -1.2

Applicant's JV Information Form

[The following form is additional to Form ELI – 1.1., and shall be completed to provide information relating to each JV member (in case the Applicant is a JV) as well as any Specialized Subcontractor proposed to be used by the Applicant for any part of the Contract resulting from this prequalification]

Date: [insert day, month, year]
RFB No. and title: [insert RFB number and title]
Page [insert page number] of [insert total number] pages

<table>
<thead>
<tr>
<th>Applicant name:</th>
<th>[insert full name]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Applicant's JV Member’s name:</td>
<td>[insert full name of Applicant's JV Member]</td>
</tr>
<tr>
<td>Applicant's JV Member’s country of registration:</td>
<td>[indicate country of registration]</td>
</tr>
<tr>
<td>Applicant JV Member’s year of constitution:</td>
<td>[indicate year of constitution]</td>
</tr>
<tr>
<td>Applicant JV Member’s legal address in country of constitution:</td>
<td>[insert street/ number/ town or city/ country]</td>
</tr>
<tr>
<td>Applicant JV Member’s authorized representative information Name:</td>
<td>[insert full name]</td>
</tr>
<tr>
<td>Address:</td>
<td>[insert street/ number/ town or city/ country]</td>
</tr>
<tr>
<td>Telephone/Fax numbers:</td>
<td>[insert telephone/fax numbers, including country and city codes]</td>
</tr>
<tr>
<td>E-mail address:</td>
<td>[indicate e-mail address]</td>
</tr>
</tbody>
</table>

1. Attached are copies of original documents of
   - Articles of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITA 4.5.
   - In case of a state-owned enterprise or institution, documents establishing legal and financial autonomy, operation in accordance with commercial law, and they are not under the supervision of the Employer, in accordance with ITA 4.8.

2. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership.
Form CON – 2
Historical Contract Non-Performance, and Pending Litigation and Litigation History

[The following table shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant’s Name: [insert full name]
Date: [insert day, month, year]
Joint Venture Member’s Name: [insert full name]
RFB No. and title: [insert RFB number and title]
Page [insert page number] of [insert total number] pages

<table>
<thead>
<tr>
<th>Non-Performed Contracts in accordance with Section III, Qualification Criteria and Requirements</th>
</tr>
</thead>
<tbody>
<tr>
<td>□ Contract non-performance did not occur since 1st January [insert year] specified in Section III, Qualification Criteria and Requirements, Sub-Factor 2.1.</td>
</tr>
<tr>
<td>□ Contract(s) not performed since 1st January [insert year] specified in Section III, Qualification Criteria and Requirements, requirement 2.1</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Year</th>
<th>Non-performed portion of contract</th>
<th>Contract Identification</th>
<th>Total Contract Amount (current value, currency, exchange rate and US$ equivalent)</th>
</tr>
</thead>
</table>
| [insert year] | [insert amount and percentage] | Contract Identification: [indicate complete contract name/ number, and any other identification]
Name of Employer: [insert full name]
Address of Employer: [insert street/city/country]
Reason(s) for nonperformance: [indicate main reason(s)] | [insert amount] |

Pending Litigation, in accordance with Section III, Qualification Criteria and Requirements

□ No pending litigation in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.3.
□ Pending litigation in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.3 as indicated below.
### Year of dispute | Amount in dispute (currency) | Contract Identification | Total Contract Amount (currency), USD Equivalent (exchange rate)
--- | --- | --- | ---
[insert year] | [insert amount] | Contract Identification: [indicate complete contract name, number, and any other identification] Name of Employer: [insert full name] Address of Employer: [insert street/city/country] Matter in dispute: [indicate main issues in dispute] Party who initiated the dispute: [indicate “Employer” or “Contractor”] Status of dispute: [Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary] | [insert amount]

#### Litigation History in accordance with Section III, Qualification Criteria and Requirements

- ☐ No Litigation History in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.4.
- ☐ Litigation History in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.4 as indicated below.

<table>
<thead>
<tr>
<th>Year of award</th>
<th>Outcome as percentage of Net Worth</th>
<th>Contract Identification</th>
<th>Total Contract Amount (currency), USD Equivalent (exchange rate)</th>
</tr>
</thead>
<tbody>
<tr>
<td>[insert year]</td>
<td>[insert percentage]</td>
<td>Contract Identification: [indicate complete contract name, number, and any other identification] Name of Employer: [insert full name] Address of Employer: [insert street/city/country] Matter in dispute: [indicate main issues in dispute] Party who initiated the dispute: [indicate “Employer” or “Contractor”] Reason(s) for Litigation and award decision [indicate main reason(s)]</td>
<td>[insert amount]</td>
</tr>
</tbody>
</table>
Form CON – 3

ES Performance Declaration

[The following table shall be filled in for the Applicant, each member of a Joint Venture and each Specialized Subcontractor]

<table>
<thead>
<tr>
<th>Environmental and Performance Declaration in accordance with Section III, Qualification Criteria, and Requirements</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ No suspension or termination of contract: An employer has not suspended or terminated a contract and/or called the performance security for a contract for reasons related to Environmental or Social (ES) performance since the date specified in Section III, Qualification Criteria, and Requirements, Sub-Factor 2.5.</td>
</tr>
<tr>
<td>☐ Declaration of suspension or termination of contract: The following contract(s) has/have been suspended or terminated and/or Performance Security called by an employer(s) for reasons related to Environmental or Social (ES) performance since the date specified in Section III, Qualification Criteria, and Requirements, Sub-Factor 2.5. Details are described below:</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Year</th>
<th>Suspended or terminated portion of contract</th>
<th>Contract Identification</th>
<th>Total Contract Amount (current value, currency, exchange rate and US$ equivalent)</th>
</tr>
</thead>
<tbody>
<tr>
<td>[insert year]</td>
<td>[insert amount and percentage]</td>
<td>Contract Identification: [indicate complete contract name/ number, and any other identification] Name of Employer: [insert full name] Address of Employer: [insert street/city/country] Reason(s) for suspension or termination: [indicate main reason(s) e.g. for gender based violence (GBV)/ sexual exploitation and abuse (SEA) breaches]</td>
<td>[insert amount]</td>
</tr>
</tbody>
</table>
### Sukkur Barrage Rehabilitation and Modernization Project

**Package 1: Restoration and Upgradation of Sukkur Barrage**

<table>
<thead>
<tr>
<th>[insert year]</th>
<th>[insert amount and percentage]</th>
<th>Contract Identification: [indicate complete contract name/ number, and any other identification]</th>
<th>[insert amount]</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Name of Employer: [insert full name]</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Address of Employer: [insert street/city/country]</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Reason(s) for suspension or termination: [indicate main reason(s)]</td>
<td></td>
</tr>
</tbody>
</table>

... ... [list all applicable contracts] ... 

### Performance Security called by an employer(s) for reasons related to ESHS performance

<table>
<thead>
<tr>
<th>Year</th>
<th>Contract Identification</th>
<th>Total Contract Amount (current value, currency, exchange rate and US$ equivalent)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>[insert year]</td>
<td>Contract Identification: [indicate complete contract name/ number, and any other identification]</td>
<td>[insert amount]</td>
</tr>
<tr>
<td></td>
<td>Name of Employer: [insert full name]</td>
<td></td>
</tr>
</tbody>
</table>
Form FIN – 3.1
Financial Situation and Performance

[The following table shall be filled in for the Applicant and for each member of a Joint Venture]
Applicant’s Name: [insert full name]
Date: [insert day, month, year]
Joint Venture Member Name: [insert full name]
RFB No. and title: [insert RFB number and title]
Page [insert page number] of [insert total number] pages

1. Financial data

<table>
<thead>
<tr>
<th>Type of Financial information in (currency)</th>
<th>Historic information for previous [insert number] years, [insert in words] (amount in currency, currency, exchange rate*, USD equivalent)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Year 1</td>
</tr>
</tbody>
</table>

Statement of Financial Position (Information from Balance Sheet)

<table>
<thead>
<tr>
<th>Total Assets (TA)</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Total Liabilities (TL)</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Total Equity/Net Worth (NW)</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Current Assets (CA)</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Current Liabilities (CL)</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Working Capital (WC)</th>
</tr>
</thead>
</table>

Information from Income Statement

<table>
<thead>
<tr>
<th>Total Revenue (TR)</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Profits Before Taxes (PBT)</th>
</tr>
</thead>
</table>

Cash Flow Information

<table>
<thead>
<tr>
<th>Cash Flow from Operating Activities</th>
</tr>
</thead>
</table>

* Refer ITA 14 for the exchange rate
2. Sources of Finance
[The following table shall be filled in for the Applicant and all parties combined in case of a Joint Venture]

Specify sources of finance to meet the cash flow requirements on works currently in progress and for future contract commitments.

<table>
<thead>
<tr>
<th>No.</th>
<th>Source of finance</th>
<th>Amount (US$ equivalent)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

3. Financial documents

The Applicant and its parties shall provide copies of financial statements for [number] years pursuant Section III, Qualifications Criteria and Requirements, Sub-factor 3.1. The financial statements shall:

(a) reflect the financial situation of the Applicant or in case of JV member, and not an affiliated entity (such as parent company or group member).

(b) be independently audited or certified in accordance with local legislation.

(c) be complete, including all notes to the financial statements.

(d) correspond to accounting periods already completed and audited.

☐ Attached are copies of financial statements\(^1\) for the [number] years required above; and complying with the requirements

---

\(^1\) If the most recent set of financial statements is for a period earlier than 12 months from the date of Application, the reason for this should be justified.
Form FIN - 3.2
Average Annual Construction Turnover

[The following table shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant’s Name: [insert full name]
Date: [insert day, month, year]
Joint Venture Member Name: [insert full name]
RFB No. and title: [insert RFB number and title]
Page [insert page number] of [insert total number] pages

<table>
<thead>
<tr>
<th>Year</th>
<th>Amount Currency</th>
<th>Exchange rate*</th>
<th>USD equivalent</th>
</tr>
</thead>
<tbody>
<tr>
<td>[indicate calendar year]</td>
<td>[insert amount and indicate currency]</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Average Annual Construction Turnover **

* Refer ITA 14 for date and source of exchange rate.
** Total USD equivalent for all years divided by the total number of years. See Section III, Qualification Criteria and Requirements, 3.2.
Form EXP - 4.1
General Construction Experience

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]

Applicant’s Name: [insert full name]
Date: [insert day, month, year]
Joint Venture Member Name: [insert full name]
RFB No. and title: [insert RFB number and title]
Page [insert page number] of [insert total number] pages

[Identify contracts that demonstrate continuous construction work over the past [number] years pursuant to Section III, Qualification Criteria and Requirements, Sub-Factor 4.1.List contracts chronologically, according to their commencement (starting) dates.]

<table>
<thead>
<tr>
<th>Starting Year</th>
<th>Ending Year</th>
<th>Contract Identification</th>
<th>Role of Applicant</th>
</tr>
</thead>
<tbody>
<tr>
<td>[indicate year]</td>
<td>[indicate year]</td>
<td>Contract name: [insert full name] Brief Description of the Works performed by the Applicant: [describe works performed briefly] Amount of contract: [insert amount in currency, mention currency used, exchange rate and US$ equivalent*] Name of Employer: [indicate full name] Address: [indicate street/number/town or city/country]</td>
<td>[insert &quot;Prime Contractor&quot; or &quot;JV Member&quot; or &quot;Sub-contractor&quot; or &quot;Management Contractor&quot;]</td>
</tr>
<tr>
<td>Contract name: [insert full name] Brief Description of the Works performed by the Applicant: [describe works performed briefly] Amount of contract: [insert amount in currency, mention currency used, exchange rate and US$ equivalent*] Name of Employer: [indicate full name] Address: [indicate street/number/town or city/country]</td>
<td>[insert &quot;Prime Contractor&quot; or &quot;JV Member&quot; or &quot;Sub-contractor&quot; or &quot;Management Contractor&quot;]</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Contract name: [insert full name] Brief Description of the Works performed by the Applicant: [describe works performed briefly] Amount of contract: [insert amount in currency, mention currency used, exchange rate and US$ equivalent*] Name of Employer: [indicate full name] Address: [indicate street/number/town or city/country]</td>
<td>[insert &quot;Prime Contractor&quot; or &quot;JV Member&quot; or &quot;Sub-contractor&quot; or &quot;Management Contractor&quot;]</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* Refer ITA 14 for date and source of exchange rate.
Form EXP - 4.2(a)
Specific Construction and Contract Management Experience

[The following table shall be filled in for contracts performed by the Applicant, each member of a Joint Venture, and Specialized Sub-contractors]

<table>
<thead>
<tr>
<th>Similar Contract No.</th>
<th>Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>[insert number] of [insert number of similar contracts required]</td>
<td>[insert contract name and number, if applicable]</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Contract Identification</th>
<th>[insert contract name and number, if applicable]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Award date</td>
<td>[insert day, month, year, e.g., 15 June, 2015]</td>
</tr>
<tr>
<td>Completion date</td>
<td>[insert day, month, year, e.g., 03 October, 2017]</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Role in Contract</th>
<th>Prime Contractor</th>
<th>Member in JV</th>
<th>Management Contractor</th>
<th>Sub-contractor</th>
</tr>
</thead>
<tbody>
<tr>
<td>[check the appropriate box]</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Total Contract Amount</th>
<th>[insert total contract amount in local currency]</th>
<th>US$ [insert exchange rate and total contract amount in US$ equivalent]*</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>If member in a JV or sub-contractor, specify share in value in total Contract amount and roles and responsibilities</th>
<th>[insert a percentage amount]</th>
<th>[insert total contract amount in local currency]</th>
<th>[insert exchange rate and total contract amount in US$ equivalent]*</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Employer's Name:</th>
<th>[insert full name]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Address:</td>
<td>[indicate street / number / town or city / country]</td>
</tr>
<tr>
<td>Telephone/fax number</td>
<td>[insert telephone/fax numbers, including country and city area codes]</td>
</tr>
<tr>
<td>E-mail:</td>
<td>[insert e-mail address, if available]</td>
</tr>
</tbody>
</table>

* Refer ITA 14 for date and source of exchange rate.
Form EXP - 4.2(a) (cont.)
Specific Construction and Contract Management Experience (cont.)

<table>
<thead>
<tr>
<th>Similar Contract No.</th>
<th>Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>[insert number] of [insert number of similar contracts required]</td>
<td></td>
</tr>
</tbody>
</table>

Description of the similarity in accordance with Sub-Factor 4.2(a) of Section III:

1. Amount
   [insert amount in local currency, exchange rate, US$ in words and in Figures]

2. Physical size of required works items
   [insert physical size of items]

3. Complexity
   [insert description of complexity]

4. Methods/Technology
   [insert specific aspects of the methods/technology involved in the contract]

5. Construction rate for key activities
   [insert rates and items]

6. Other Characteristics
   [insert other characteristics as described in Section VII, Scope of Works]
### Form EXP - 4.2(b)

**Construction Experience in Key Activities**

Applicant's Name: [insert full name]

Date: [insert day, month, year]

Applicant's JV Member’s Name: [insert full name]

Sub-contractor's Name\(^2\) (as per ITA 24.2 and 24.3): [insert full name]

RFB No. and title: [insert RFB number and title]

Page [insert page number] of [insert total number] pages

All Sub-contractors for key activities must complete the information in this form as per ITA 24.2 and 24.3 and Section III, Qualification Criteria and Requirements, 4.2.

1. **Key Activity No. One:** [insert brief description of the Activity, emphasizing its specificity]

<table>
<thead>
<tr>
<th>Information</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Contract Identification</strong></td>
</tr>
<tr>
<td>[insert contract name and number, if applicable]</td>
</tr>
<tr>
<td><strong>Award date</strong></td>
</tr>
<tr>
<td>[insert day, month, year, e.g., 15 June, 2015]</td>
</tr>
<tr>
<td><strong>Completion date</strong></td>
</tr>
<tr>
<td>[insert day, month, year, e.g., 03 October, 2017]</td>
</tr>
<tr>
<td><strong>Role in Contract</strong></td>
</tr>
<tr>
<td>[check the appropriate box]</td>
</tr>
<tr>
<td>Prime Contractor</td>
</tr>
<tr>
<td>Member in JV</td>
</tr>
<tr>
<td>Management Contractor</td>
</tr>
<tr>
<td>Sub-contractor</td>
</tr>
<tr>
<td><strong>Total Contract Amount</strong></td>
</tr>
<tr>
<td>[insert total contract amount in contract currency(ies)]</td>
</tr>
<tr>
<td>US$ [insert exchange rate and total contract amount in US$ equivalent]</td>
</tr>
<tr>
<td><strong>Quantity (Volume, number or rate of production, as applicable) performed under the contract per year or part of the year</strong></td>
</tr>
<tr>
<td>[Insert extent of participation indicating actual quantity of key activity successfully completed in the role performed]</td>
</tr>
<tr>
<td><strong>Year 1</strong></td>
</tr>
<tr>
<td><strong>Year 2</strong></td>
</tr>
</tbody>
</table>

---

\(^2\) If applicable
### Prequalification Document

**Package 1: Restoration and Upgradation of Sukkur Barrage**

<table>
<thead>
<tr>
<th>Year 3</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Year 4</td>
<td></td>
</tr>
</tbody>
</table>

| Employer’s Name: | [insert full name] |
| Address: Telephone/fax number | [indicate street / number / town or city / country] Telephone/fax number, including country and city area codes |
| E-mail: | [insert e-mail address, if available] |

2. Activity No. Two

3. ....................

<table>
<thead>
<tr>
<th>Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description of the key activities in accordance with Sub-Factor 4.2(b) of Section III:</td>
</tr>
<tr>
<td>[insert response to inquiry indicated in left column]</td>
</tr>
</tbody>
</table>
**Form EXP - 4.2(c)**

**Specific Experience in Managing ES aspects**

*The following table shall be filled in for contracts performed by the Bidder, and each member of a Joint Venture*

| Bidder’s Name: | ____________________ |
| Date: | ____________________ |
| Bidder’s JV Member Name: | ____________________ |
| RFB No. and title: | ____________________ |

Page ____________________ of ____________________ pages

1. Key Requirement no 1 in accordance with 4.2 (c): ____________________

<table>
<thead>
<tr>
<th>Contract Identification</th>
<th>Award date</th>
<th>Completion date</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Role in Contract</th>
<th>Prime Contractor □</th>
<th>Member in JV □</th>
<th>Management Contractor □</th>
<th>Subcontractor □</th>
</tr>
</thead>
</table>

Total Contract Amount

Details of relevant experience

2. Key Requirement no 2 in accordance with 4.2 (c): ____________________

3. Key Requirement no 3 in accordance with 4.2 (c): ____________________

4. …
SECTION V - ELIGIBLE COUNTRIES

Eligibility for the Provision of Goods, Works and Services in Bank-Financed Procurement

In reference to ITA 5.1 and 5.2, for the information of the Applicants, at the present time firms and individuals, supply of goods, or contracting of works or services, from the following countries are excluded from this prequalification process:

Under ITA 5.1 (a): [insert a list of the countries following approval by the Bank to apply the restriction or state “none”]

Under ITA 5.1 (b): [list the countries or state “none”]
SECTION VI - FRAUD AND CORRUPTION
(This Section VI shall not be modified)

1. Purpose

1.1 The Bank’s Anti-Corruption Guidelines and this annex apply with respect to procurement under Bank Investment Project Financing operations.

2. Requirements

2.1 The Bank requires that Borrowers (including beneficiaries of Bank financing); bidders (applicants/proposers), consultants, contractors and suppliers; any sub-contractors, sub-consultants, service providers or suppliers; any agents (whether declared or not); and any of their personnel, observe the highest standard of ethics during the procurement process, selection and contract execution of Bank-financed contracts, and refrain from Fraud and Corruption.

2.2 To this end, the Bank:

a. Defines, for the purposes of this provision, the terms set forth below as follows:

   i. “corrupt practice” is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;

   ii. “fraudulent practice” is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;

   iii. “collusive practice” is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;

   iv. “coercive practice” is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;

   v. “obstructive practice” is:

      (a) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or

      (b) acts intended to materially impede the exercise of the Bank’s inspection and audit rights provided for under paragraph 2.2 e. below.

b. Rejects a proposal for award if the Bank determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;
c. In addition to the legal remedies set out in the relevant Legal Agreement, may take other appropriate actions, including declaring misprocurement, if the Bank determines at any time that representatives of the Borrower or of a recipient of any part of the proceeds of the loan engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices during the procurement process, selection and/or execution of the contract in question, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur, including by failing to inform the Bank in a timely manner at the time they knew of the practices;

d. Pursuant to the Bank’s Anti-Corruption Guidelines, and in accordance with the Bank’s prevailing sanctions policies and procedures, may sanction a firm or individual, either indefinitely or for a stated period of time, including by publicly declaring such firm or individual ineligible: (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner; (ii) to be a nominated sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project;

e. Requires that a clause be included in bidding/request for proposals documents and in contracts financed by a Bank loan, requiring (i) bidders (applicants/proposers), consultants, contractors, and suppliers, and their sub-contractors, sub-consultants, service providers, suppliers, agents personnel, permit the Bank to inspect all accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the Bank.

---

1 For the avoidance of doubt, a sanctioned party’s ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

2 A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

3 Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm’s or individual’s financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.
PART 2 – WORKS’ REQUIREMENTS
# SECTION VII - SCOPE OF WORKS

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1. DESCRIPTION OF WORKS

The Sindh Barrages Improvement Project (SBIP) was created with primary objective for rehabilitation of Guddu Barrage and to improve the efficiency and effectiveness of irrigation water distribution from the barrage. The SBIP Phase-II now includes remaining two barrages in Sindh; Sukkur Barrage and Kotri Barrage.

The Component A2, Sukkur Barrage Rehabilitation and Modernization Project (The Project) aims to extend the useable life of this 85 years old monumental structure to further 30-50 years, by increasing its flood handling capacity to 1.3 million cusecs (100 years return flood) and ensuring the required safeguards for continued safe operation of Sukkur Barrage.

The broad goal of the project is to uplift the agro-based economy at provincial level thereby ensuring the growth in national GDP by share of agriculture produce from Sindh. The Project is divided into three Contract Packages and this prequalification process is limited to Package-1, only.

Package 1: Restoration and Upgradation of Sukkur Barrage

Package 2: Procurement of Dredger

Package 3: Dredging / Excavation & De-silting of Right Bank Canals

The specific items of Works under this prequalification document of Package 1 are detailed below.

Contract SBIP/S1
Package 1: Restoration and Upgradation of Sukkur Barrage

The principal items of Works to be executed under this package are:

Rehabilitation / Repair Works of Barrage Structure
- Repairwork in RCC Arches of Main Barrage (66 arches of 60ft span)
  - Concrete spalling, 6,000 sft
  - Patch repairs, 16,000 sft
  - Shotcrete, 32,000 sft

- Repairwork in Stone Arches of Canal Head Regulators (165 arches of 25ft span), repair in spandrel stone filling of RCC and stone arches and repair in Stone Masonry Piers (128 Nrs. in main barrage and 110 Nrs. in Canal Head Regulators)
  - Coursed stone masonry, Ashlar Stone masonry and Cut stone masonry repairs 680,000 sft
  - Stone Masonry removal and replacement, 64,000 sft
  - Drilling for lime mortar grouting, 185,000, Lft
  - Lime mortar grouting, 152,000 cft

- RCC Jacketing of Canal Head Regulator Piers (110 Nrs)
  - 1:1.5:3 Concrete, 68,000 cft
  - Reinforcing steel, 220 ton
- Foundation overlay above existing foundation at immediate downstream of Canal Head Regulator
  - 1:1.5:3 concrete, 74,000 cft
- Complete replacement of gate deck flooring of Main Barrage.
- Rehabilitation/Replacement of road surface with storm water drainage system

**Foundation Inspection**
- Inspection of top surface of barrage foundation during closure periods with required repairs.
  - Drilling for lime mortar grouting, 92,000, Lft
  - Lime mortar grouting, 178,000 cft
- Construction and removal of required coffer dams at upstream and downstream of the Barrage, for maintaining dry conditions in spans/bay selected for inspection.
  - Quantity 22,000,000 cft

**Electrical Works**
- Provide 11kV Feeder from sub-station for power supply to Incoming switchboard at Barrage on Left and Right Banks, 28 km.
- Replacement of all switchgears, incoming and auxiliary switchboards and local control panels, 230 Nrs.
- Provide new cabling, earthing, cable trays etc.
- New 11/0.4 kV Transformers at left and right banks, 2 Nrs
- Standby Generators 200kVA, 2 Nrs.

**Mechanical Works**
- Replacement of selected barrage gates from existing 55 gates and rehabilitation of remaining gates through general repairs, rust removal and application of corrosion protection coating and strengthening measures for increasing in height of gate by 2 ft.
- Replacement of 25 selected canal head regulator gates from existing 165 gates (3 in each of 55 regulator spans) and rehabilitation of remaining gates (140 Nrs)
- Replacement of gearing, cables, seals and other moving parts
- Changes in gate hoisting in main barrage gates to work without counterweights, 55 nrs.
- Installation of gate lifting motors for every barrage gate, 55 nrs.
- Replacement of all gate lifting motors of canal head regulator gates, 28 nrs.
- Purchase of a mobile crane for canal head regulator gates.

**Automatic Data Acquisition System**
- Supply and installation of automatic water level recorders at six locations.
- Replacement of 23 number staff gauges.
- Reactivation of 30 number pressure pipes and installation of vibrating wire piezometers.
- Replacement of existing 48 numbers existing vibrating wire piezometers and installation of additional 8 number vibrating wire piezometers.
- Supply and installation of 130 number tilt meters in barrage piers.
- Supply of Acoustic Doppler Current Profiler (ADCP) with a boat for river bed sounding and discharge measurement.
- Supply and installation of permanent flow measuring stations at two upstream gorges.
- Supply and installation of Video Surveillance to enable 24 hours monitoring of specific barrage locations and a dial-up voice telephone system including all cabling and instruments.
- Design, supply and installation of automatic data acquisition system (ADAS), including loggers, power, backup, transmission and analysis peripherals.

**Embankment and surface protection works**
- Scour protection at immediate downstream of all canal head regulators, 1,200,000 cft.
- Scour protection works at downstream of main barrage, nose of left divide wall, nose of outer bank and at downstream of sub-diverged weir, 2,750,000 cft

**Building Works**
- Renovation of the existing office of Executive Engineer, Sukkur Barrage.
- New buildings for Central Monitoring, Workshops, Mosque, Officer’s Residence and Rest House.

2. **CONSTRUCTION PERIOD**

The completion duration of Sukkur Barrage Rehabilitation and Modernization Project is forty-eight (48) Months with further twelve (12) months for defects notification period.

The construction duration for Package-1 is thirty six (36) months.

Three annual closure of one month in January of each year will be available during this period, for works identified to be completed under closure period. These include works for RCC Jacketing of canal head regulator piers and foundation overlays at immediate downstream of canal head regulators.

The flood season is normally from July to September each year and may require slowing down of works during high flows, or even complete stoppage of work for a certain period, if required.

3. **SITE AND OTHER DATA**

3.1 **Brief Overview**

Sukkur Barrage is located on River Indus in province of Sindh, Pakistan (68° 50’ 43.38” E, 27° 40’ 48.45” N), about 225 air miles north east of coastal city Karachi. The Barrage is about 100 miles downstream of Guddu Barrage and about 300 miles upstream of Kotri Barrage (Figure-1). The historic Lansdowne bridge is located about 3 miles upstream of Sukkur Barrage. The cities of Sukkur and Rohri lie on the right and left banks of the river, respectively.
Sukkur Barrage is said to be the lifeline for Sindh province. It supplies 65,000 cusec water for agricultural and domestic use with command area of about 80 million acres.

Sukkur Barrage comprises of 66 spans main barrage, 4,725ft (approx. 1.4 km) long constructed across the Indus. The structure is mainly of stone masonry construction with reinforced concrete arches spanning 60ft (18.3m) openings for the two bridge decks; an upper deck used for operating the gates and a lower road deck. The canal head regulator structures on both the banks used for operating the gates and a lower road deck. The canal head regulator structures on both the banks control flow in the three right bank canals of Dadu, Rice and North Western canals and four left bank canals of Khairpur Feeder West, Rohri, Khairpur Feeder East and Nara Canals. The canal regulators are low level structures carrying a deck area for operating the gates and a road bridge.
The training works upstream of the main barrage comprise divide walls, a submerged weir and approach/tail channel with outer and middle banks within the river and an inner bank adjacent with the right guide bank. An island is also present between the middle bank and approach channel. Figure 2 shows the existing layout of the barrage training works and the river approach to the barrage from Lansdowne Bridge. Figure-3 shows the main features of Barrage.

Figure 2: Plan View of Existing Barrage and River Training Works
Figure 3: Sukkur Barrage Layout Plan
3.2 GEOLOGY

The barrage site is surrounded by Kirthar range extending from southwest to the north and Thar Desert in the east. The barrage is constructed just 4.6km downstream of Brahui Limestone region which was formed throughout the tertiary Cenozic period whereas remaining area comprises of recent alluvial deposits.

At Sukkur, the Indus River passes through a narrow gorge (Bakhur Gorge), through these hills. These limestone formations are some 40km long and 16km wide and extend in a north-south direction between the course of Indus and the cities of Sukkur and Rohri. The hills consist of fossiliferous limestone rocks.

The thickness of the river alluvium varies along the river, minimum being at Bakhar gorge which increase to downstream. At barrage location the thickness of river alluvium is about 100 to 150ft.

3.3 Climate

The project area is located in hot arid climate with June and July as hottest months with minimum temperature of around 49oC (120oF). December, January and February are coolest months with temperature as low as 2oC (35°F).

The rainfall in the project area is scanty with annual rainfall of maximum 455mm (18 inches) to minimum 6mm (0.25 inch). The maximum rainfall for a month is recorded for the month of July, 336mm (13 inches).

4. ENVIRONMENTAL, SOCIAL, HEALTH AND SAFETY (ESHIS) REQUIREMENT

The Employer has conducted Environment and Social Impact Assessment, Social Management Framework and prepared Environmental Social Health and Safety documents as required local Laws, National and International and World Bank Environmental and Social Guideline policies for Environmental Compliances which are uploaded on the Web site of Sindh Barrages Improvement Project www.sbip.org.pk Refer this web page for downloaded documents related to Environment, Social Assessment and Social Management Frame regarding Rehabilitation and Modernization Sukkur Barrage Project as ready reference.